
Lattice Codes in Information Theory

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What a Lattice Means ?...

For my kid :



For a physicist / crystallographer :



For a mathematician :



For a Computer Scientist :



For a coding theorist : $\Lambda_8, \Lambda_{24}, \dots$



For an Information Theorist :

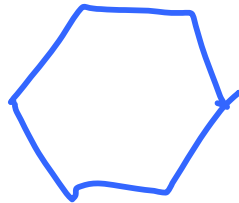
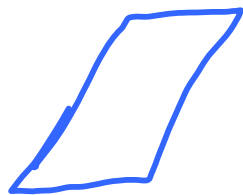
$$n \rightarrow \infty$$

We'll talk about...

1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

1. Representation & Partition

$\text{Vol}(\Lambda)$



modulo Λ

Lattice: Definition

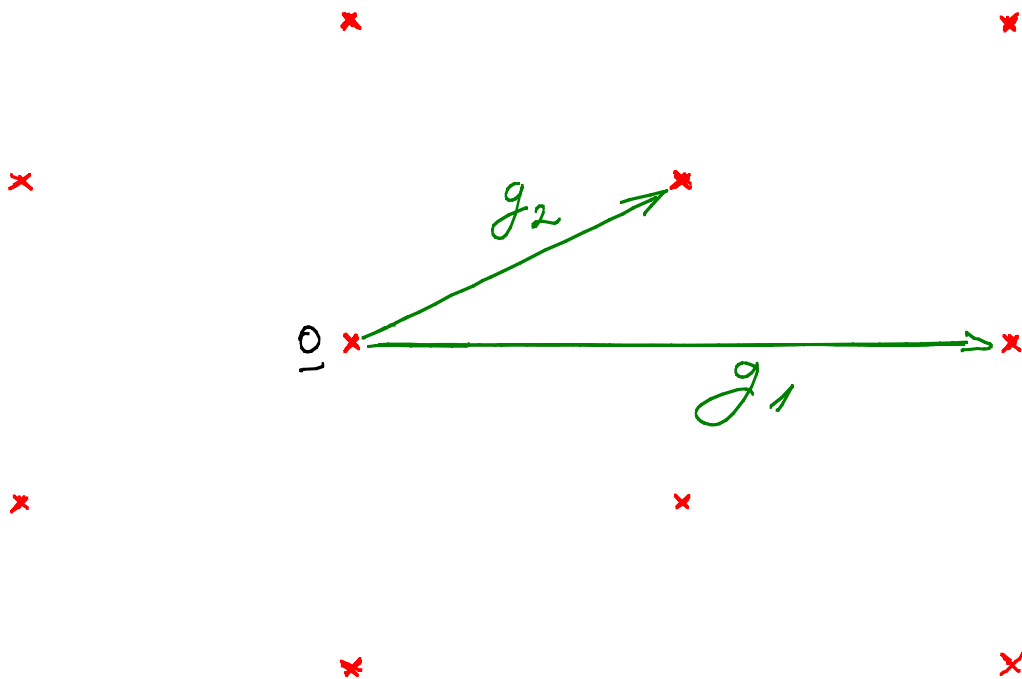
Let $\underline{g}_1, \dots, \underline{g}_n$ - linearly independent vectors in \mathbb{R}^n

$$\underline{G} = \left(\underline{g}_1 \mid \dots \mid \underline{g}_n \right) = \text{generator matrix}$$

$$\Lambda(G) = \{ i_1 \underline{g}_1 + \dots + i_n \underline{g}_n : i_1, \dots, i_n \in \mathbb{Z} \}$$

$$= \{ \underline{G} \cdot \underline{i} : \underline{i} \in \mathbb{Z}^n \}$$

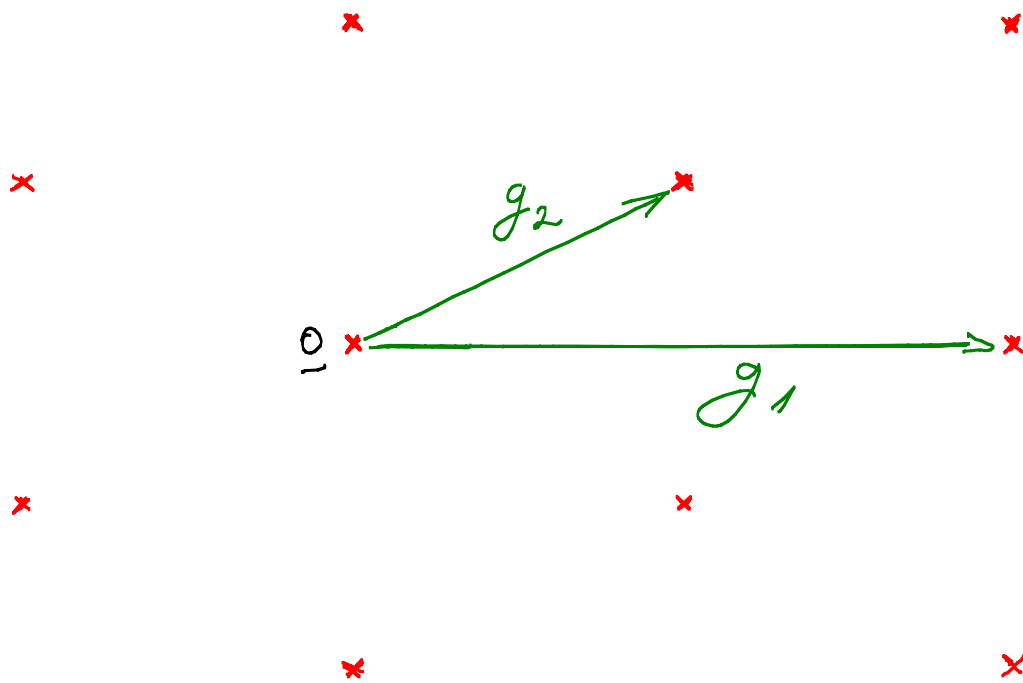
$$= \underline{G} \cdot \mathbb{Z}^n$$



n-dimensional lattice: Definition

Let $\underline{g}_1, \dots, \underline{g}_n$ - linearly independent vectors in \mathbb{R}^n

$$\underline{G} = \left(\underline{g}_1 \mid \dots \mid \underline{g}_n \right) = \text{generator matrix}$$



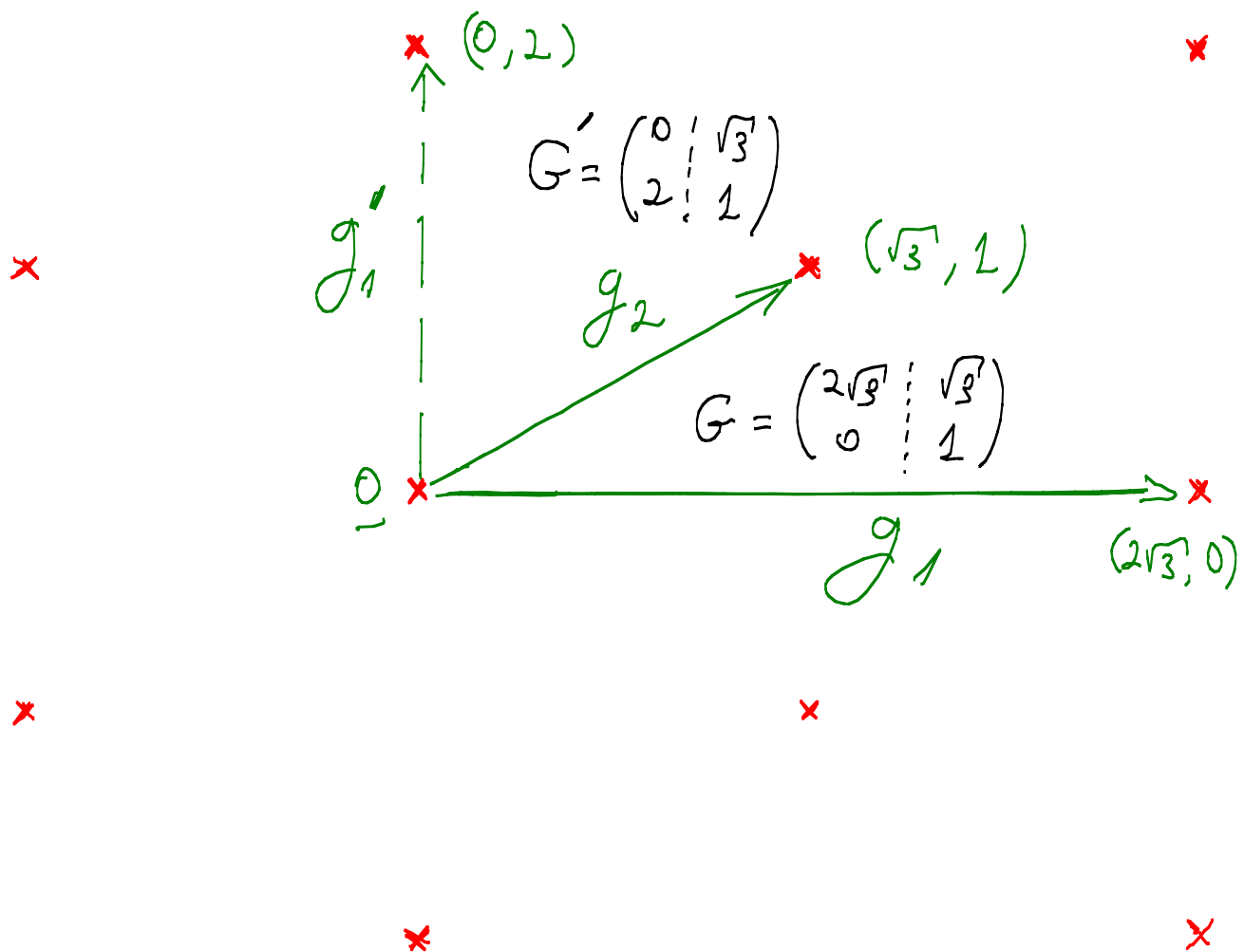
Linearity :

$$\lambda_1, \lambda_2 \in \mathbb{Z} \Rightarrow \lambda_1 \pm \lambda_2 \in \mathbb{Z}$$

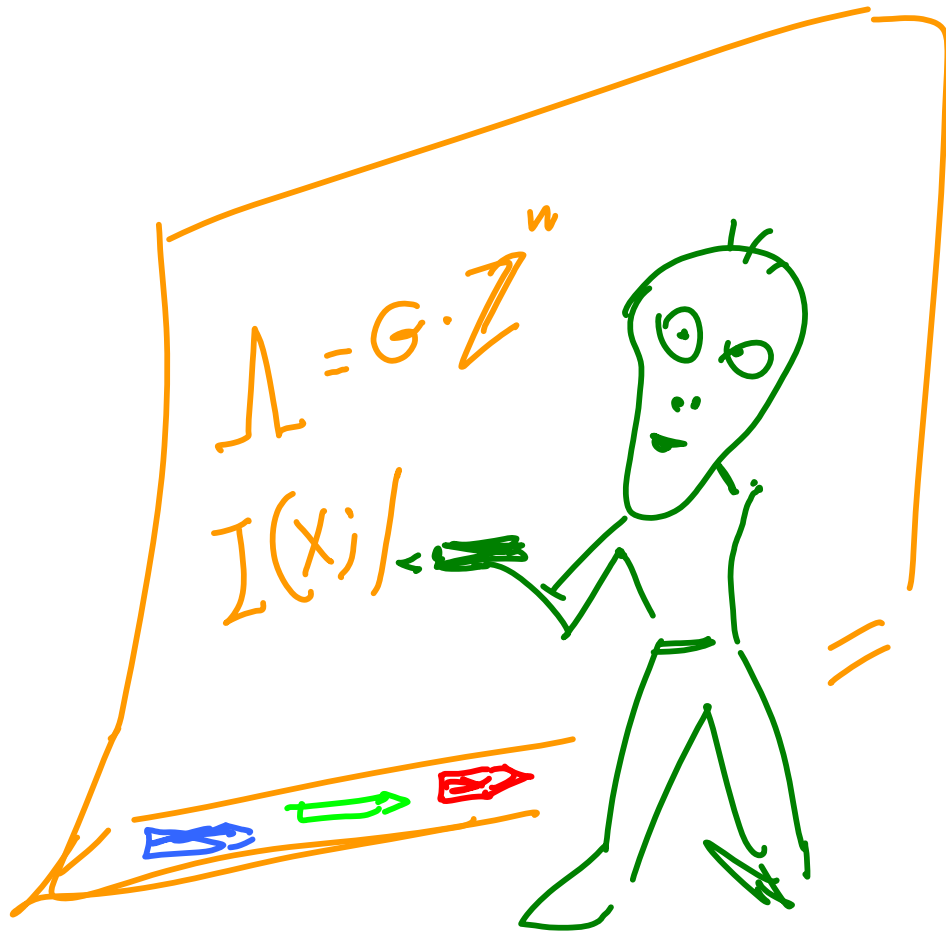
Lattice : Equivalent Representations

$T =$ unimodular matrix
(integer elements, $\det(T) = \pm 1$)

$$\Rightarrow \mathcal{L}(G \cdot T) = \mathcal{L}(G)$$



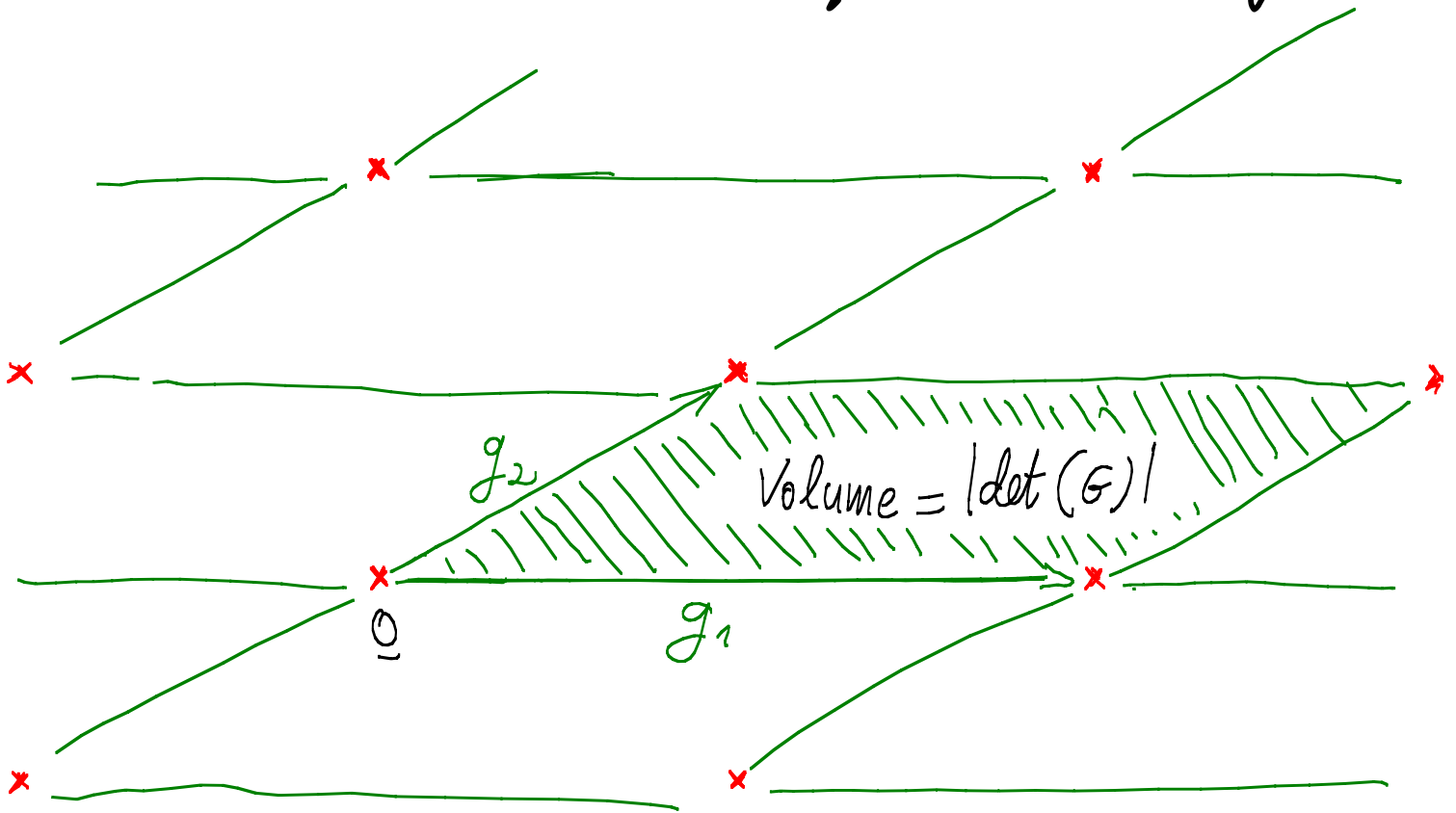
On-Board Calculation...



$$\therefore \det(\Lambda) \triangleq |\det(G)| = \text{basis invariant}$$

Lattice Partition:

* Quantization / Decision Regions

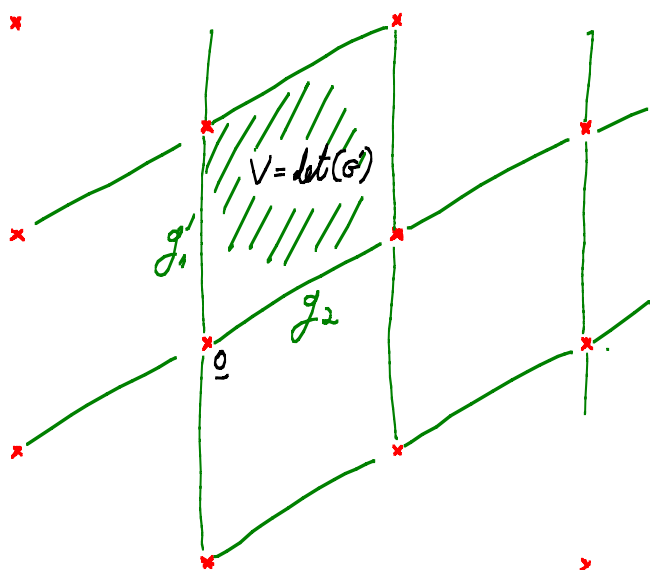


* Parallelogipeds

$$P_0 = \{ \alpha_1 g_1 + \alpha_2 g_2 : 0 \leq \alpha_1, \alpha_2 \leq 1 \}$$

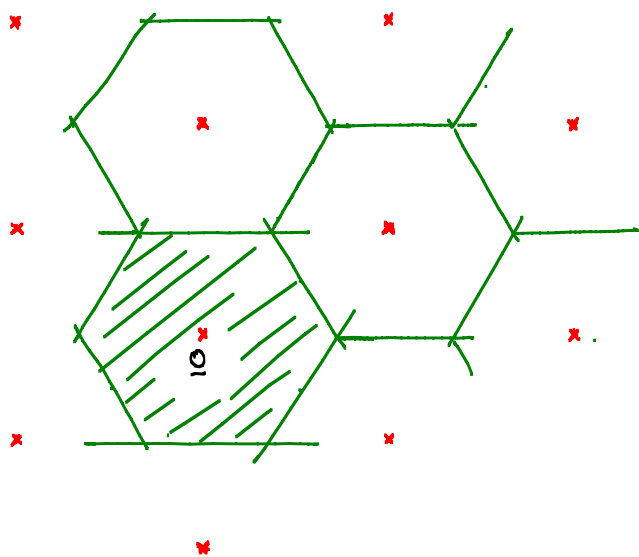
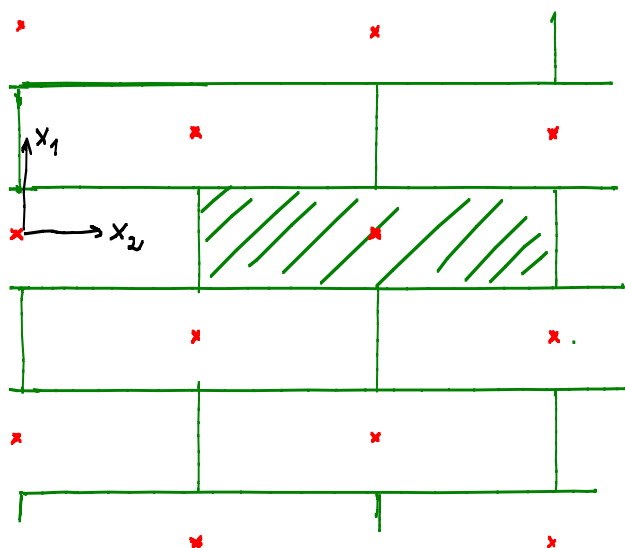
$$\Lambda + P_0 = \mathbb{R}^n$$

Partitions, Fundamental Cells



Other Basis \Rightarrow
 other parallelepiped
 \Rightarrow Cell Volume V is
 invariant of partition

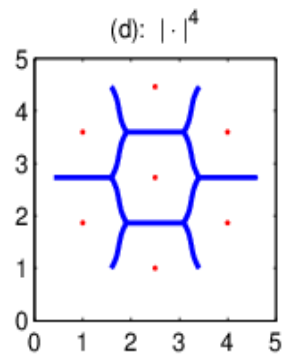
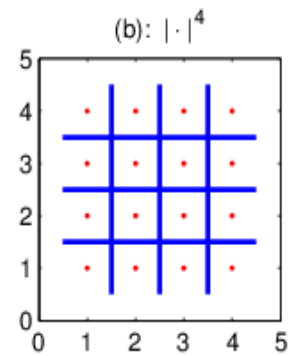
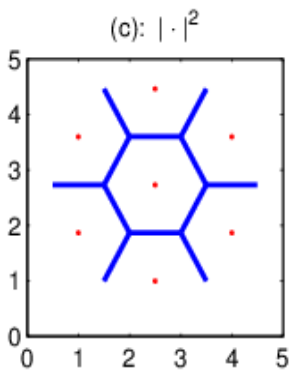
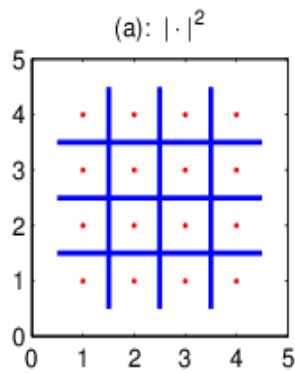
Sequential
 Quantization



Voronoi Partition

$$P_0 = \{x : \|x\| \leq \|x - l_i\| \text{ for all } l_i \in \Lambda\}$$

Non-Euclidean Voronoi partition



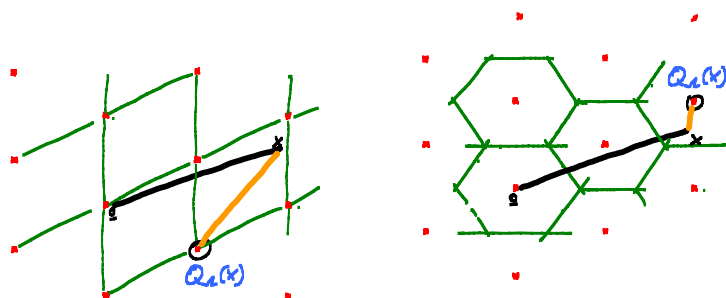
Lattice Quantization, Modulo Lattice

Given lattice Λ and fund. cell P_0 :

$$Q(x) = \lambda \quad \text{if} \quad x \in (\lambda + P_0)$$

$$x \bmod \Lambda = x - Q(x)$$

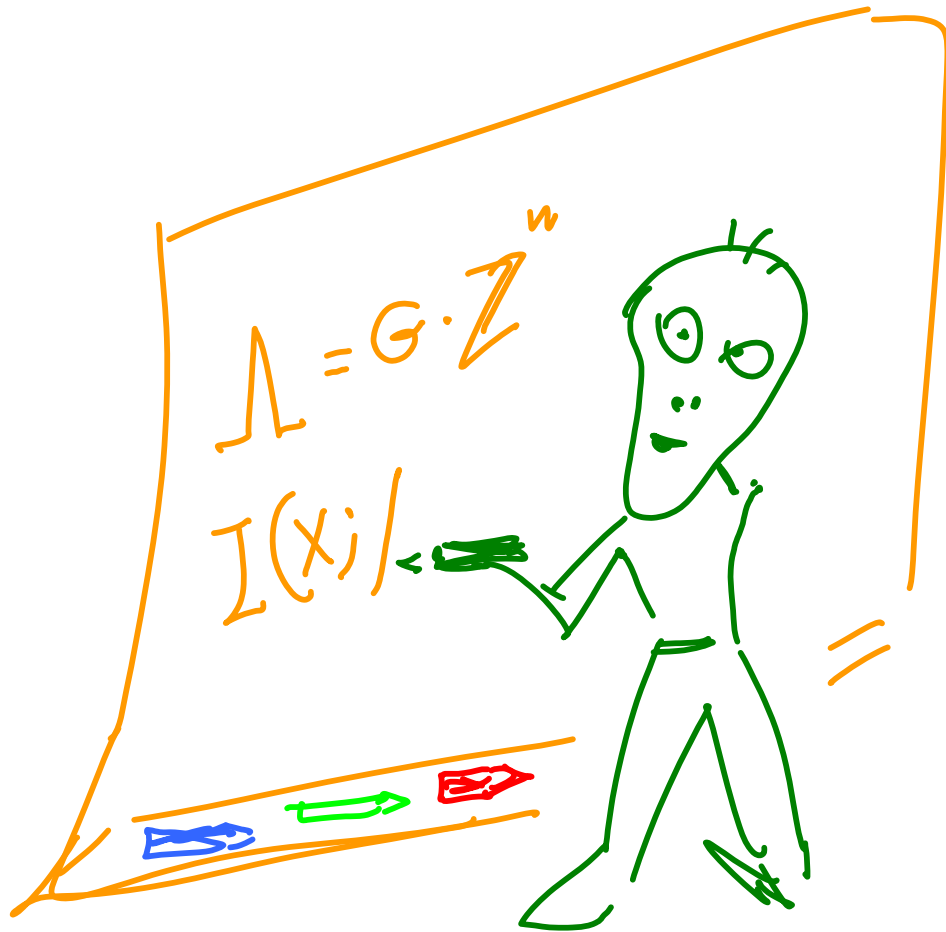
$\Rightarrow x \in \mathbb{R}^n$ uniquely written as $\underbrace{Q_\Lambda(x)}_{\text{quantization}} + \underbrace{(x \bmod \Lambda)}_{\text{error}}$



Modulo Laws:

- * $a \bmod \Lambda = a + \lambda(a), \quad \lambda(a) \in \Lambda$
- * $(a + \lambda) \bmod \Lambda = a \bmod \Lambda, \quad \forall \lambda \in \Lambda$
- * $[(a \bmod \Lambda) + b] \bmod \Lambda = (a + b) \bmod \Lambda$
- * $(a \bmod_{P_0} \Lambda) \bmod_{Q_0} \Lambda = a \bmod_{Q_0} \Lambda$

On-Board Calculation...



$$\therefore V(\Lambda) \triangleq \text{cell volume} = \det(\Lambda) \\ = \text{invariant of partition}$$

Similarity

$\Lambda(G')$ is similar to $\Lambda(G)$ if

$$G' = \alpha \cdot A \cdot G \cdot T$$

scaling orthonormal transformation (rotation) unimodular transformation (basis change)

Example: E8 lattice

Definition 1: all all-integer or all half-integer vectors in \mathbb{R}^8 whose coordinate sum is even.

Definition 2 (construction A): $\{ \underline{x} \in \mathbb{R}^8 : \underline{x} \bmod 2 \in \mathbb{C}_H \}$

$\mathbb{C}_H = (8, 4, 4)$ extended Hamming code = ...

Nested Lattices

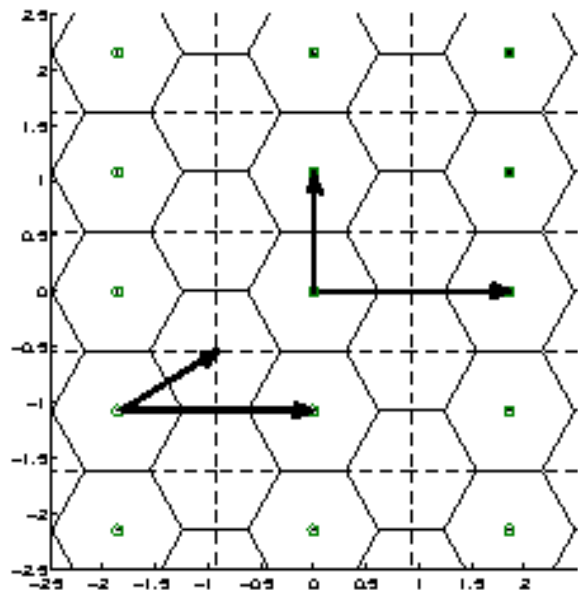
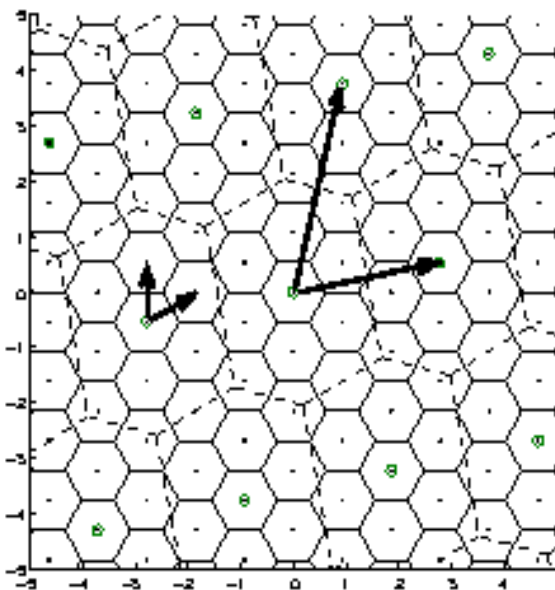
$$\Lambda_2 \subset \Lambda_1 \Rightarrow \underline{G}_2 = \underline{G}_1 \cdot \underline{J}$$

coarse
lattice

fine
lattice

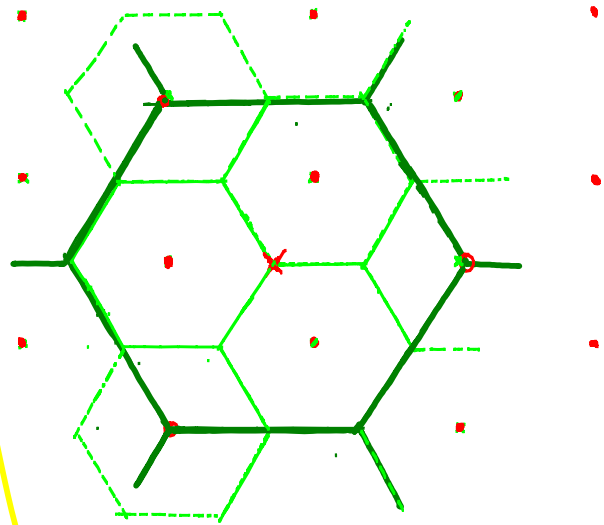
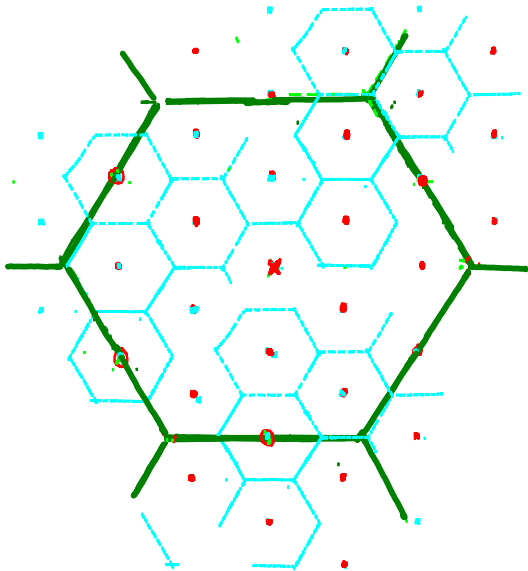
integer
matrix

$$\text{Nesting Ratio} = \left(\frac{V(\Lambda_2)}{V(\Lambda_1)} \right)^{1/n} = |\det(\underline{J})|^{1/n}$$



Not necessarily "Self Similar"!
 $\Rightarrow V_2 \not\subset V_1$

Nested & Self Similar



Relatively periodic
 (non nested)

Diagonal Form

If $\Lambda_2 \subset \Lambda_1$, then \exists generator matrices G_1, G_2
 s.t. the nesting matrix J is diagonal

$$J = \begin{pmatrix} j_1 & & 0 \\ & \ddots & \\ 0 & & j_n \end{pmatrix}$$

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2. Construction from Linear Codes

0000
0101
1010
1111



construction A



x x x x
x x
x x x
x x
x x x

Construction A

Let \mathcal{C} be an (n, M, d) binary code:

$$\mathcal{C} = \{c_i\}_{i=1}^M, \quad c_i \in \{0, 1\}^n, \quad d = \text{minimum Hamming distance.}$$

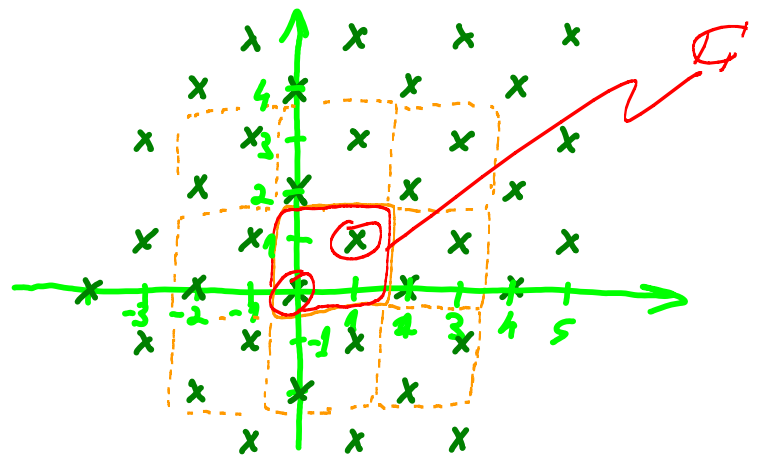
Construction A lifts \mathcal{C} to \mathbb{R}^n periodically:

Def. I

$$\Gamma_{\mathcal{C}} = \{x \in \mathbb{Z}^n : x \bmod 2 \in \mathcal{C}\}$$

integer vectors

modulo 2 per each component



Equivalent definitions:

$$1) \quad \Gamma_{\mathcal{C}} = \mathcal{C} + 2 \cdot \mathbb{Z}^n$$

Def. II

2) Let $z = (\text{LSB}(z), \text{MSB}_1(z), \text{MSB}_2(z), \dots)$ = binary expansion of z

$$\Gamma_{\mathcal{C}} = \{x \in \mathbb{Z}^n : \text{LSB}(x) \in \mathcal{C}\}$$

Def. III

Construction A : Properties

$$1) d_{\min}^E(\Gamma) \triangleq \min \text{ Euclidean distance} \triangleq \min_{\substack{x, y \in \Gamma \\ x \neq y}} \|x - y\|$$

$$= \min \{2, \sqrt{d}\}$$

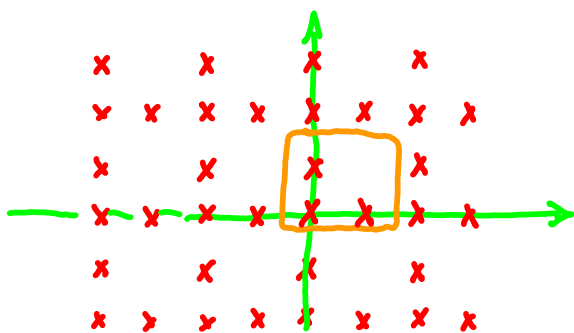
min Euclidean dist
in coset $\underline{c} + 2\mathbb{Z}^n$
for $\underline{c} \in \mathcal{C}$

$$d_H(\underline{c}_1, \underline{c}_2) = d$$

$$\Rightarrow \|\underline{c}_1 - \underline{c}_2\| = \sqrt{d} \quad (\text{Pythagoras})$$

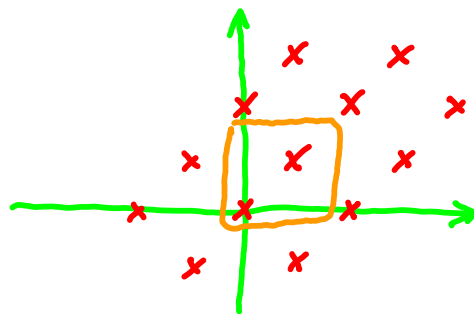
2) If \mathcal{C} is a linear (n, k, d) code ($M = 2^k$)

$\Rightarrow \Gamma_{\mathcal{C}} = \Lambda_{\mathcal{C}}$ is a modulo-2 lattice.



non lattice

$$\mathcal{C} = \{(00), (01), (10)\}$$



lattice

$$\mathcal{C} = \{(00), (11)\}$$

We'll talk about...

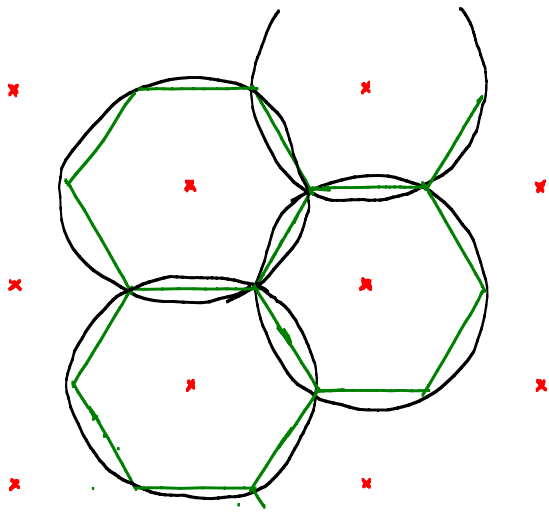
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3. Figures of merit

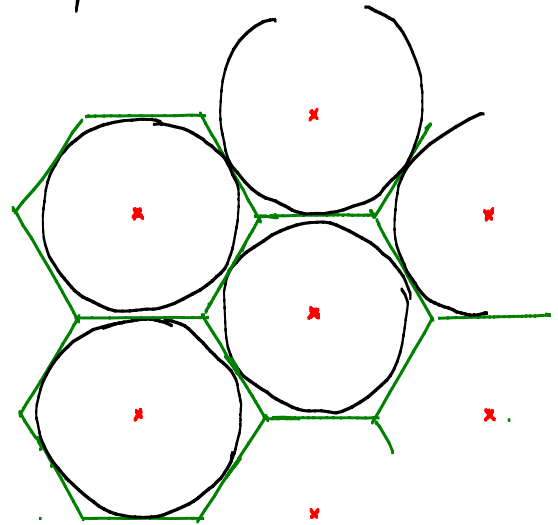
$G(\Lambda)$, $\mu(\Lambda, p_e)$

Covering, Packing, Kissing Number & More

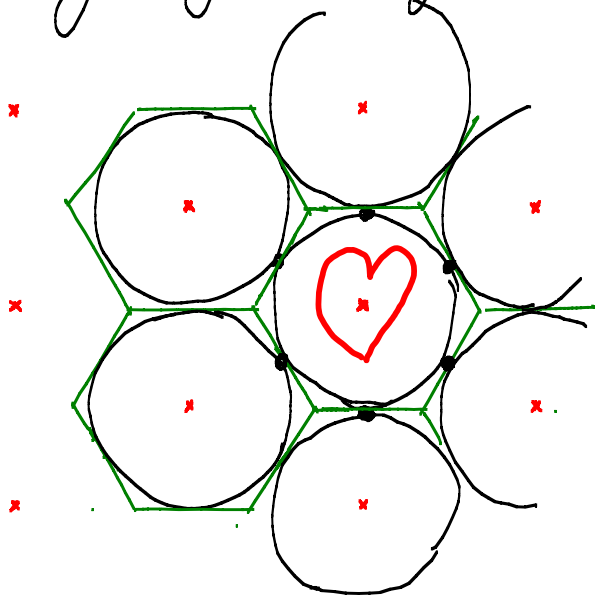
Covering \mathbb{R}^n with (few) Spheres



Packing (many) spheres in \mathbb{R}^n

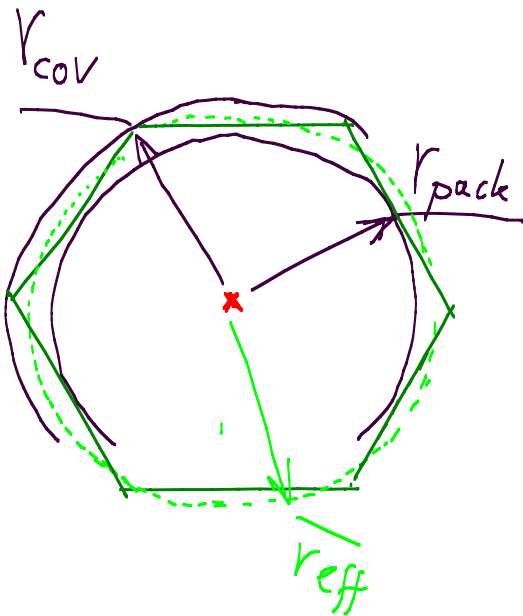


Kissing by (many) Spheres



&
good arrangements
for quantization
and AWGN channel
coding

Figures of Merit



Radiuses:

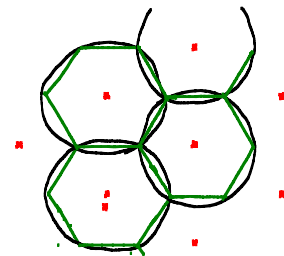
$r_{cov} = \min$ sphere containing V_0

$r_{pack} = \max$ sphere contained in V_0
 $= d_{min} / 2$

$r_{eff} = \text{Sphere with same volume}$

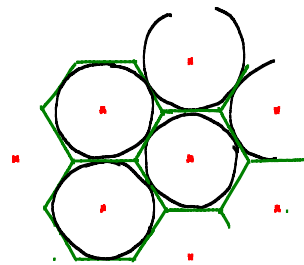
• Covering efficiency:

$$\rho_{cov}(\mathcal{L}) = \frac{r_{cov}}{r_{eff}} > 1$$



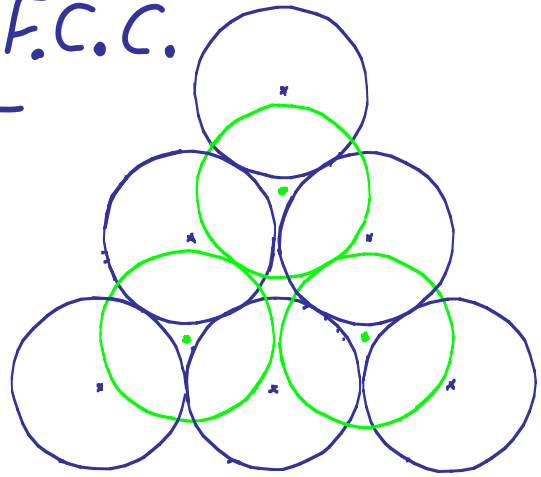
• Packing efficiency:

$$\rho_{pack}(\mathcal{L}) = \frac{r_{pack}}{r_{eff}} < 1$$



Not an "All-Purpose" Lattice!

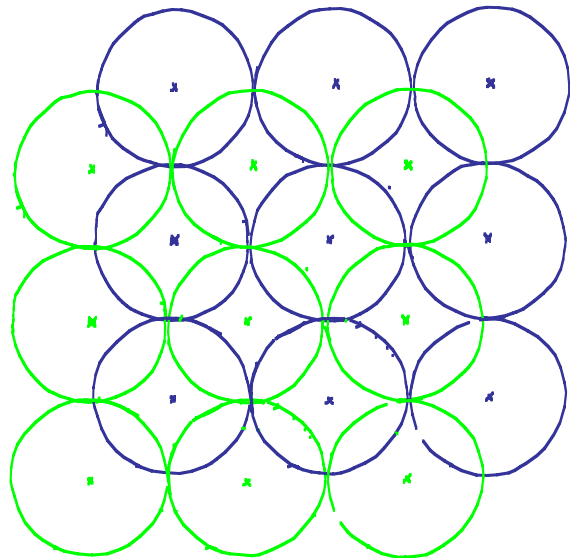
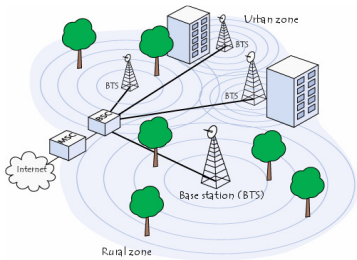
* Best 3-dim Packing: F.C.C.



each layer = hexagonal \wedge
layers are staggered

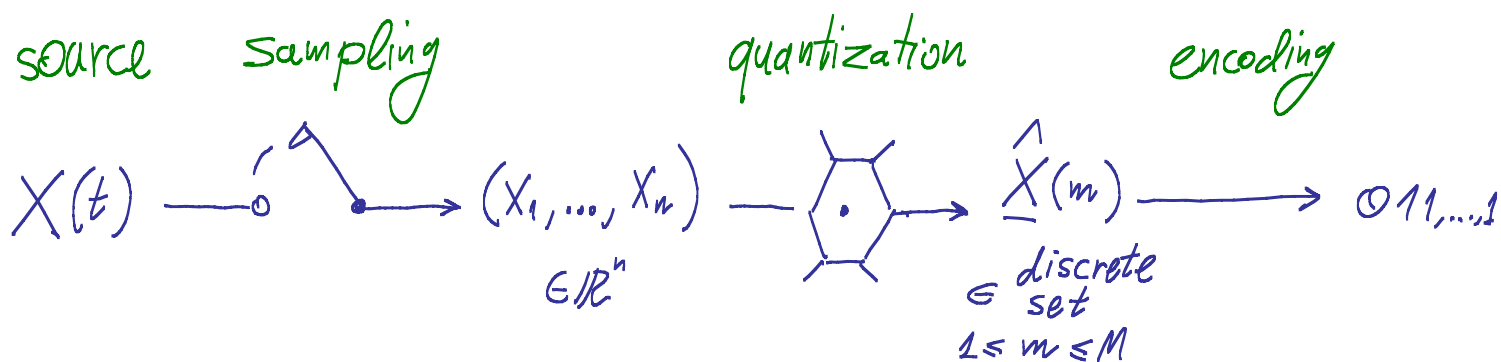
* Best 3-dim Covering: B.C.C.

each layer = cubic \wedge
layers are staggered

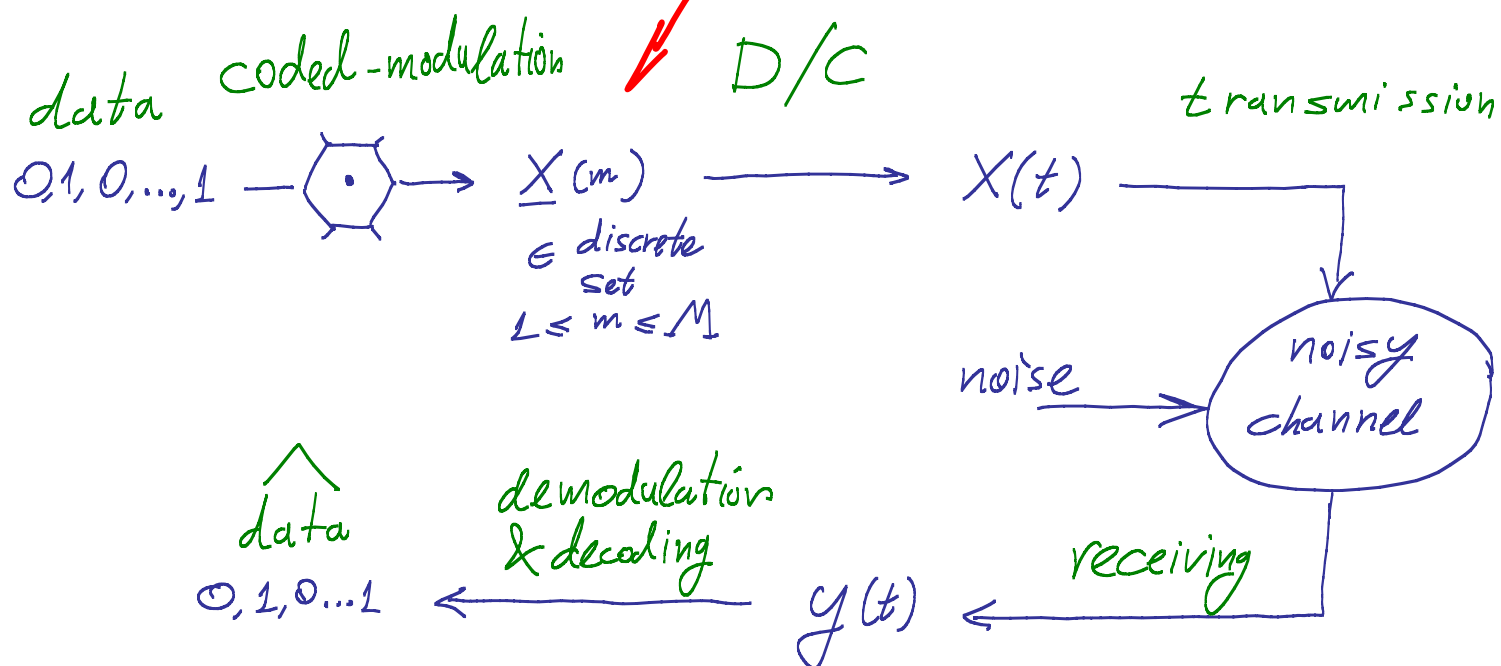


Source coding (quantization) & Channel coding (modulation)

Source coding:

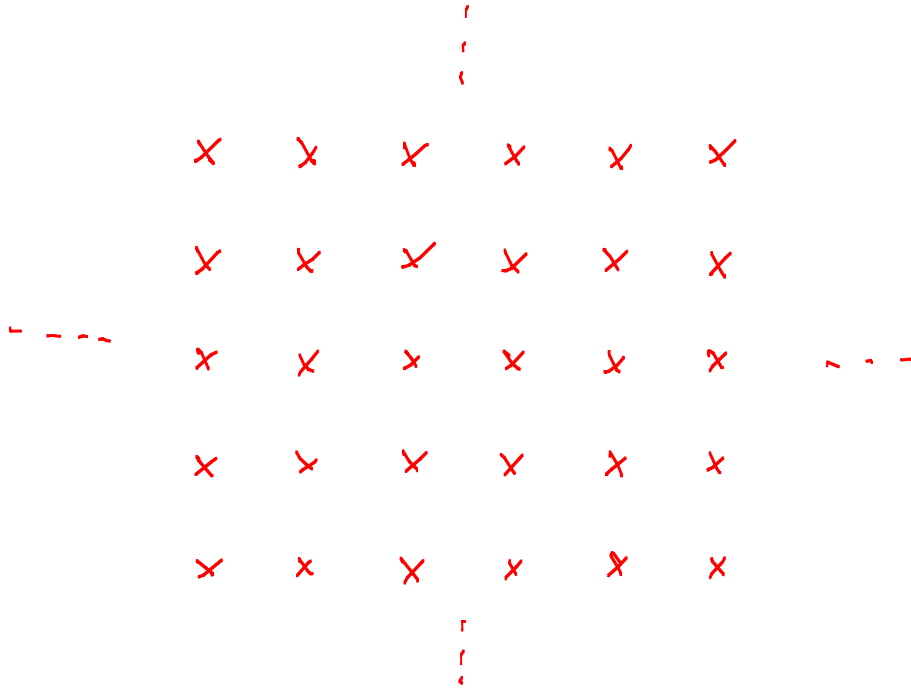


Channel coding:

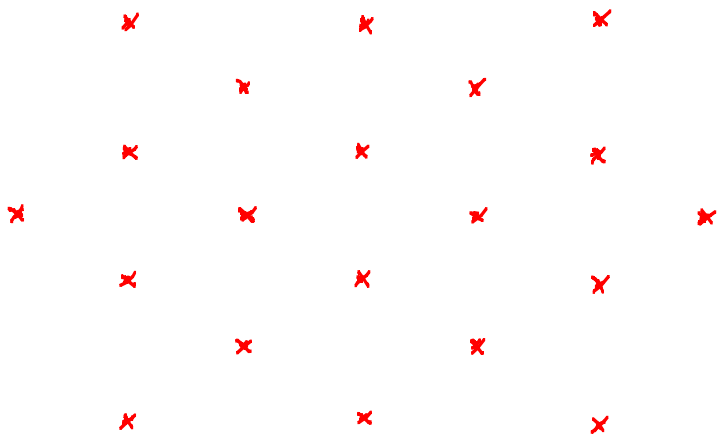


Lattice Codes in Signal Space

square (\mathbb{Z}) -lattice \Rightarrow uncoded constellation



More "interesting" lattice \Rightarrow coded constellation



Figures of Merit (Continued)

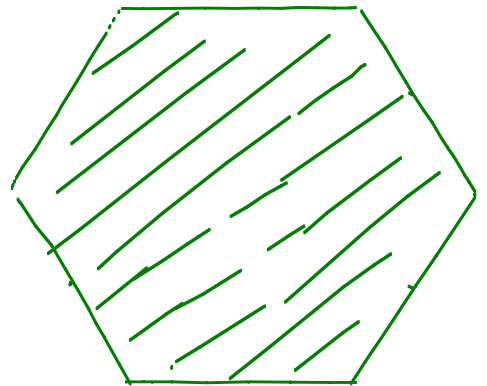
• Quantization efficiency:

$$\underline{X} \sim \text{Uniform}(V_0)$$

$$\sigma^2(\underline{X}) \triangleq \frac{1}{n} E \|\underline{X}\|^2$$

$$G(\underline{X}) \triangleq \frac{\sigma^2(\underline{X})}{\sqrt{2/n}}$$

= normalized second moment

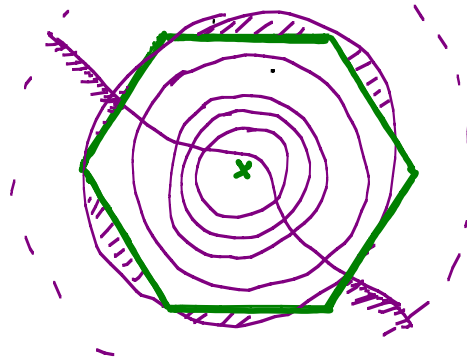


Figures of Merit (Continued)

- AWGN coding efficiency: $\underline{z} \sim \text{AWGN } \mathcal{N}(0, \sigma_z^2)$

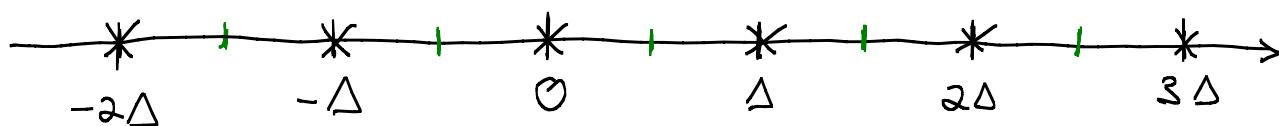
$$\mu(\Lambda, \sigma^2) \triangleq \frac{V^{2/n}}{\sigma_z^2} = \underline{\text{Volume-to-Noise Ratio}}$$

$$P_e \triangleq \Pr\{\underline{z} \notin \mathcal{V}_0\}$$



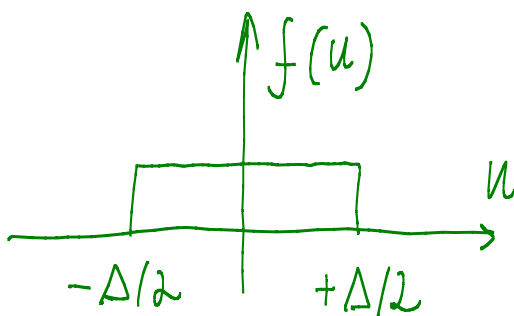
$$\mu(\Lambda, P_e) \triangleq \frac{V^{2/n}}{\sigma_z^2} \bigg|_{@ P_e}$$

Example: One dimensional lattice (Voronoi cell = interval)



1. NSM

u = dither
 \sim uniform
 on Voronoi cell
 $= (-\Delta/2, +\Delta/2)$



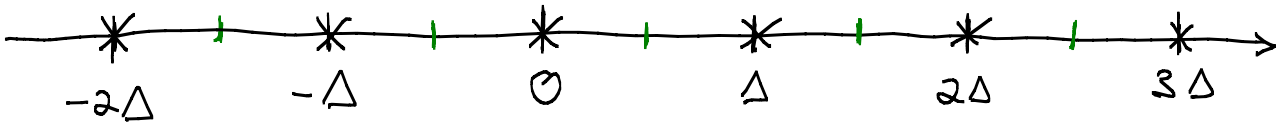
$$V(\mathcal{L}) = \Delta$$

$$Eu^2 = \frac{\Delta^2}{12}$$

$$\Rightarrow G(\boxed{}) = \frac{Eu^2}{V^2(\mathcal{L})} = \frac{\Delta^2/12}{\Delta^2} = \frac{1}{12}$$

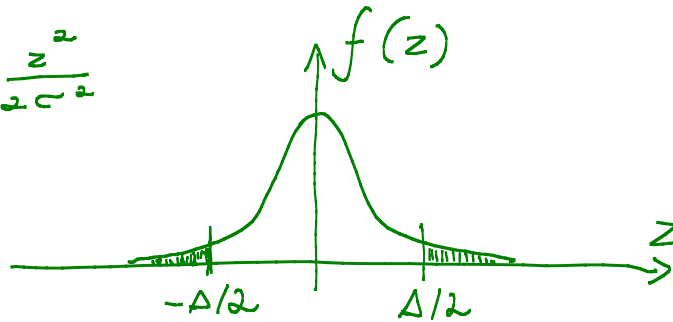
invariant of Δ

Example: One dimensional lattice
(Voronoi cell = interval)



2. NVNR

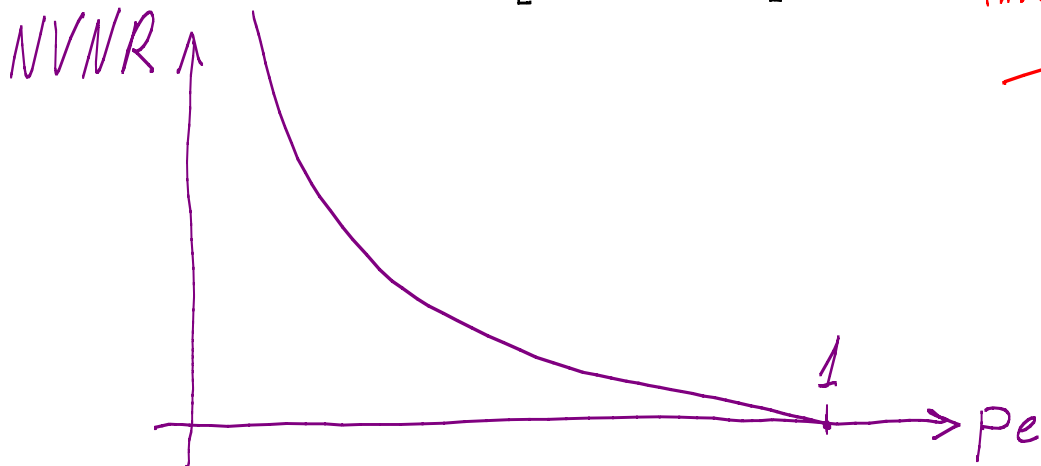
$$Z \sim \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{z^2}{2\sigma^2}}$$



$$P_e = \Pr\{|Z| > \frac{\Delta}{2}\} = 2 \cdot Q\left(\frac{\Delta/2}{\sigma}\right)$$

$$\Rightarrow \mu(L, P_e) = \frac{V^2(L)}{\sigma_{P_e}^2} = \left[\frac{\Delta}{\frac{\Delta/2}{Q^{-1}(P_e/2)}} \right]^2 = \left[2 \cdot Q^{-1}\left(\frac{P_e}{2}\right) \right]^2$$

invariant of Δ



Coding Gains (w.r.t. \mathbb{Z} - lattice)

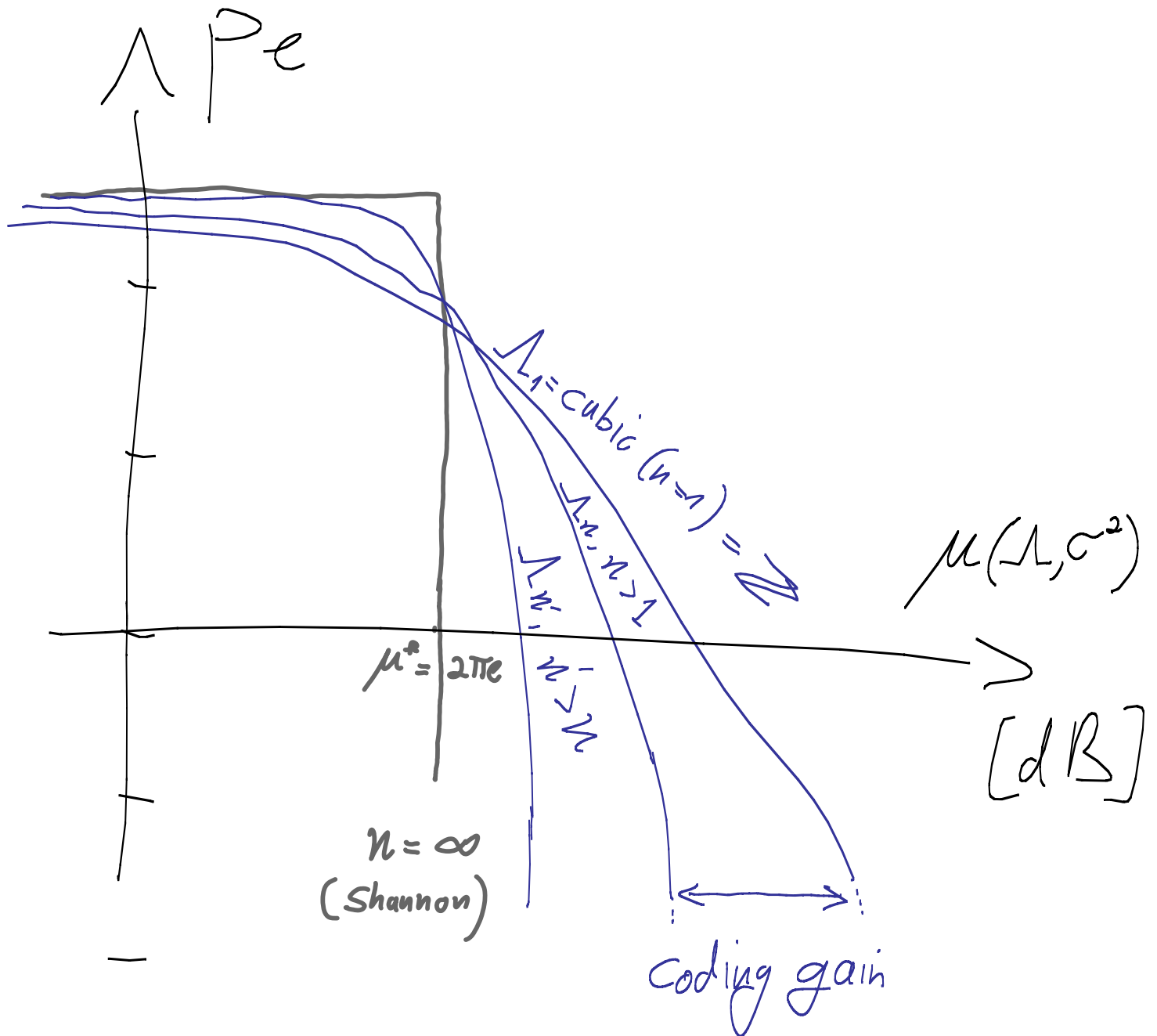
* Lattice vector quantizer gain :

$$\triangleq \frac{\sigma^2(\mathbb{Z})}{\sigma^2(\Lambda)} \Bigg/_{\text{@ Same } V} = \frac{G(\mathbb{Z})}{G(\Lambda)}$$

* Coding gain @ AWGN channel :

$$\triangleq \frac{\sigma_z^2 @ \Lambda}{\sigma_z^2 @ \mathbb{Z}} \Bigg/_{\substack{\text{@ same } p_e \\ \text{Same } V}} = \frac{\mu(\mathbb{Z}^n, p_e)}{\mu(\Lambda, p_e)} \xrightarrow{p_e \rightarrow 0} \frac{d_{\min}^2(\Lambda)}{d_{\min}^2(\mathbb{Z})} \Bigg/_{\text{@ same } V}$$

P_e versus V.N.R. for fixed V
(\sim " P_e versus SNR @ fixed Rate")



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4. Asymptotic goodness

dimension $\rightarrow \infty$

$$G(L_n) \xrightarrow{?} \frac{1}{2\pi e}, \text{ as } n \rightarrow \infty$$

$$\mu(L_n, p_0) \xrightarrow{?} 2\pi e, \text{ as } n \rightarrow \infty \quad \forall p_0 > 0$$

$G(\Lambda_n)$ and $\mu(\Lambda_n, p_e)$ as a function of dimension n

n.

[Conway & Sloane Book 1988]

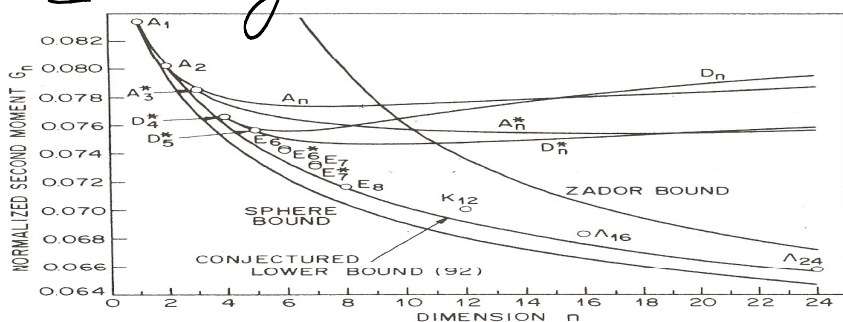
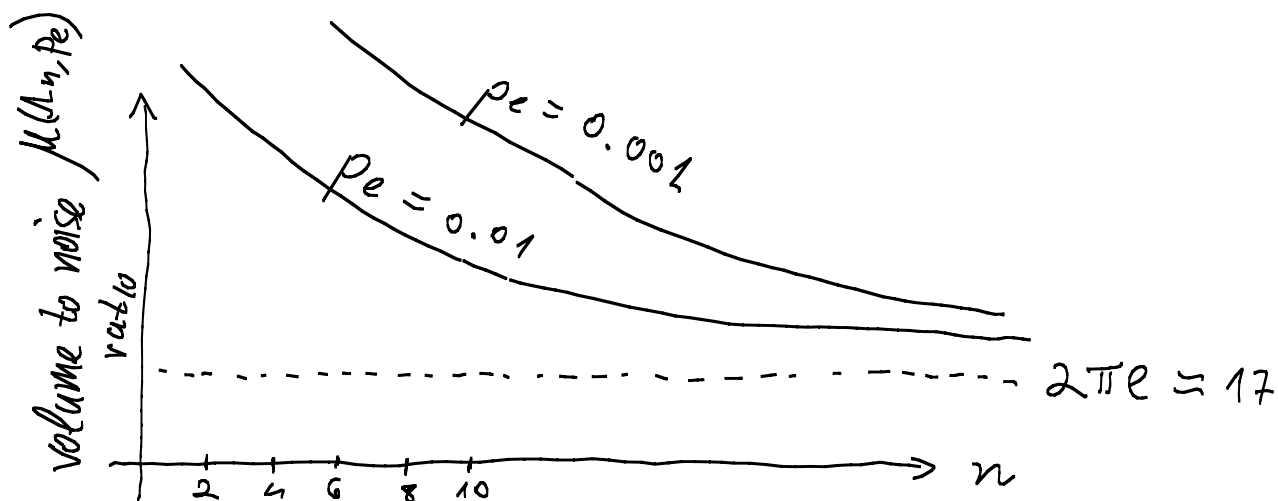


Figure 2.9. The best quantizers known in dimensions $n \leq 24$.

----- $\frac{1}{2\pi e} \approx 0.058$

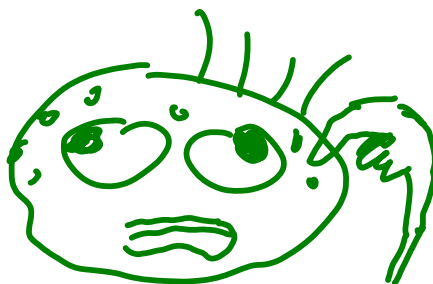


$\Lambda_k^{opt} \rightarrow$

$G_k \rightarrow$

$\mu_k \rightarrow$

?



Vector Quantization Gain of Λ_n , for $n=1, 2, 3, \dots$

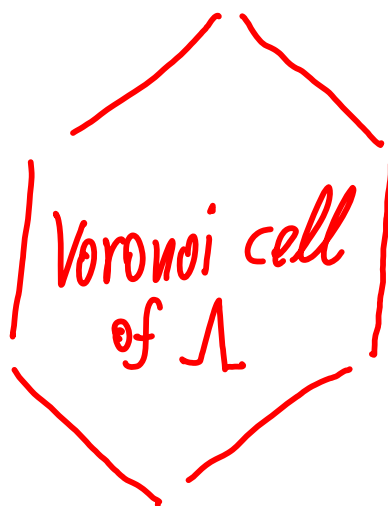
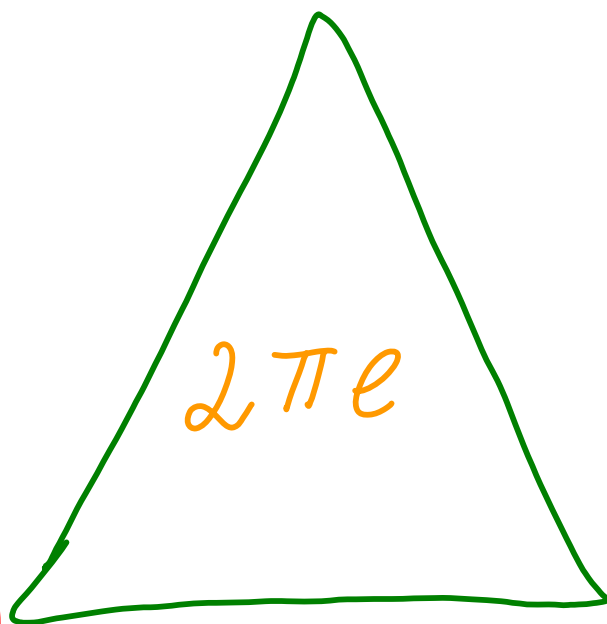
Dimension	Lattice		Γ_q [dB]	Sphere Bound
1	\mathbb{Z}	integer	0	0
2	A_2	hexagonal	0.17	0.20
3	A_3	FCC	0.24	0.34
3	A_3^*	BCC	0.26	0.34
4	D_4	(Example 2.4.2)	0.36	0.45
5	D_5^*		0.42	0.54
6	E_6^*		0.50	0.61
7	E_7^*		0.57	0.67
8	E_8^*	Gosset*	0.65	0.72
12	K_{12}		0.75	0.87
16	BW_{16}	Barnes-Wall	0.86	0.97
24	Λ_{24}^*	Leech*	1.03	1.10
∞	?	?	1.53	1.53

Coding Gain of Λ_n , for $n=1, 2, 3, \dots$

SER		10^{-1}	10^{-2}	10^{-3}	10^{-4}	10^{-5}
Dim.	Lattice					
1	\mathbb{Z}^1	0	0	0	0	0
2	A_2	0.14 (0.16)	0.27 (0.33)	0.33 (0.45)	0.42 (0.54)	0.46 (0.6)
3	A_3	0.20 (0.27)	0.42 (0.56)	0.55 (0.78)	0.65 (0.93)	0.72 (1.05)
	A_3^*	0.20 (0.27)	0.40 (0.56)	0.52 (0.78)	0.59 (0.93)	0.61 (1.05)
4	D_4	0.29 (0.36)	0.60 (0.75)	0.82 (1.03)	0.95 (1.24)	1.00 (1.40)
8	E_8	0.50 (0.56)	1.08 (1.2)	1.49 (1.68)	1.80 (2.04)	2.00 (2.30)
16	BW_{16}	0.63 (0.75)	1.47 (1.63)	2.09 (2.32)	2.52 (2.83)	2.80 (3.22)
24	Λ_{24}	0.75 (0.84)	1.76 (1.85)	2.51 (2.65)	3.08 (3.25)	3.50 (3.71)
∞	?	-2.0	1.9	4.0	5.5	6.6

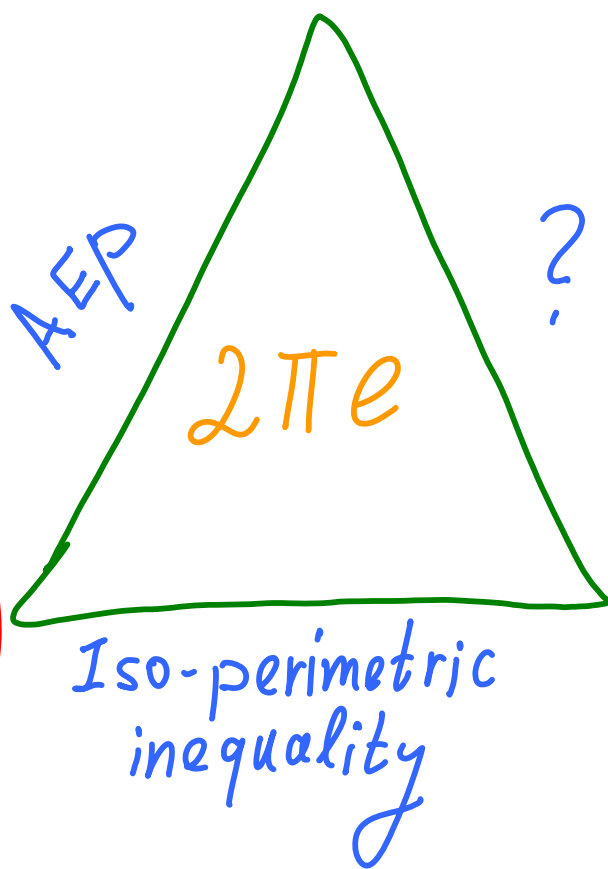
W.G.N. \leftrightarrow Ball $\leftrightarrow \Lambda$


white Gaussian noise



$W.G.N. \leftrightarrow \text{Ball} \leftrightarrow \Lambda$

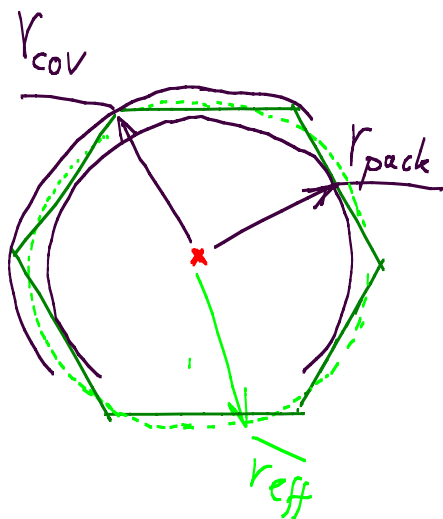
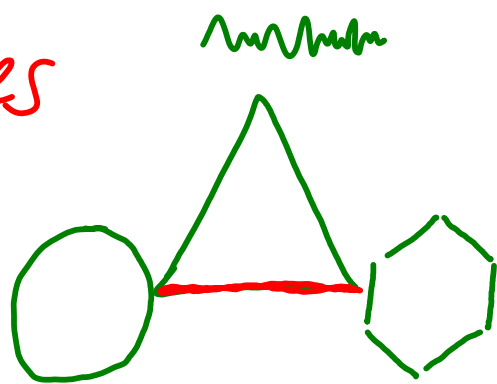

white Gaussian noise



n -dim
ball

Voronoi cell
of Λ

Iso-perimetric Inequalities (Sphere bounds)



Ball minimizes
* * *
over all bodies
of a fixed volume!

$$\sigma^2(\mathcal{L}) \geq \sigma^2(\text{ball with radius } r_{\text{eff}})$$

$$p_e(\mathcal{L}) \geq p_e(\text{ " " " " })$$



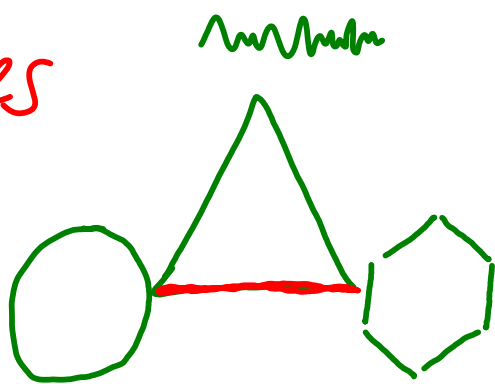
$$G(\mathcal{L}) \geq \text{N.S.M. of } n\text{-dim ball}$$

$$\mu(\mathcal{L}, p_e) \geq \text{V.N.R. " " " "}$$

Iso-perimetric Inequalities

$$G(\Lambda) \geq G_n(\text{Ball})$$

$$\mu(\Lambda, p_e) \geq \mu_n(\text{Ball}, p_e)$$



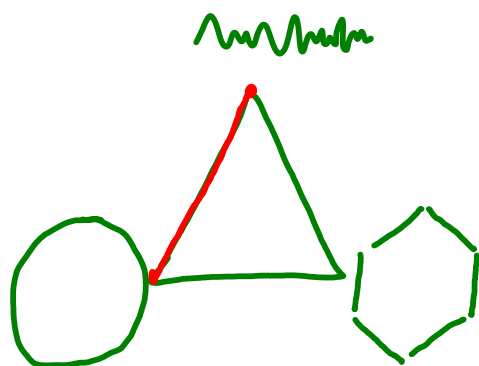
Sphere limits:

$$G_n(\text{Ball}) \rightarrow \frac{1}{2\pi\epsilon} \quad \text{as } n \rightarrow \infty$$

$$\mu_n(\text{Ball}, p_e) \rightarrow 2\pi\epsilon \quad \text{as } n \rightarrow \infty$$

Shannon's AEP:

W.G.N. \rightarrow ball



$$Z_1 \dots Z_n \sim \text{AWGN } N(0, \sigma^2)$$

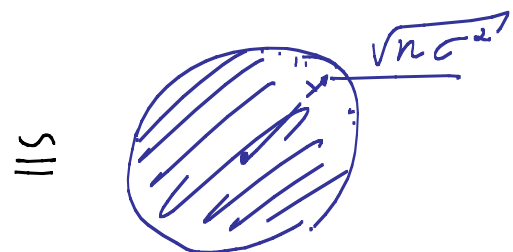
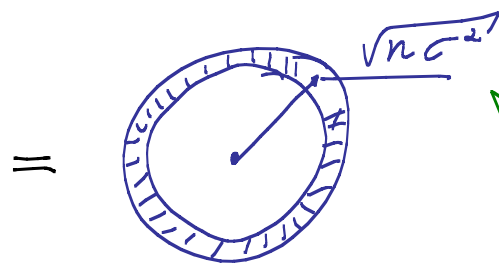
$$A_\epsilon = \left\{ \mathbf{z} : \frac{1}{n} \log f_z(\mathbf{z}) = h \pm \epsilon \right\}$$

$$= \left\{ \mathbf{z} : \|\mathbf{z}\| = \sqrt{n(\sigma^2 \pm \epsilon)} \right\}$$

AWGN

$$f_z \sim e^{-\frac{\|\mathbf{z}\|^2}{2\sigma^2}}$$

$$h = \frac{1}{2} \log 2\pi\sigma^2$$

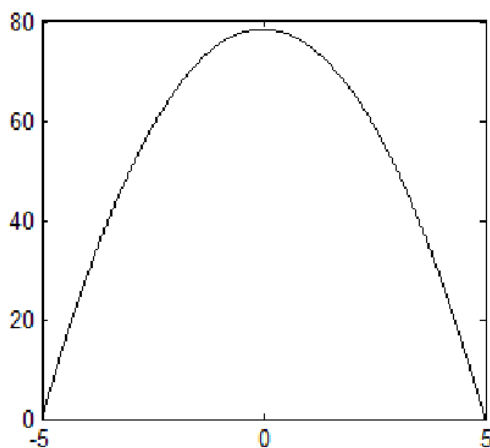
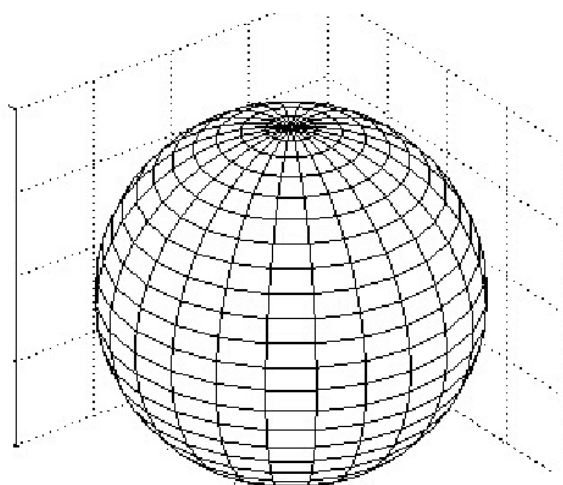
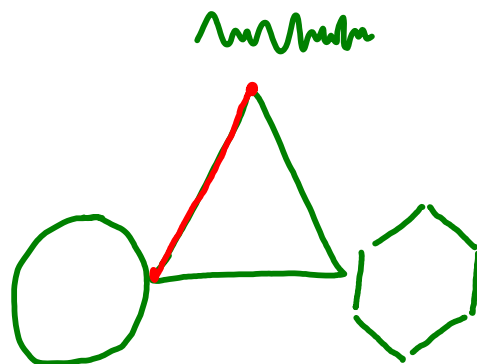


$\triangleq r_{\text{noise}}$

Thm. [AEP]: $\text{AWGN} \approx \text{Unif}(B(\mathbf{0}, \sqrt{n\sigma^2}))$

"Reverse" AEP:

W.G.N. \leftarrow ball

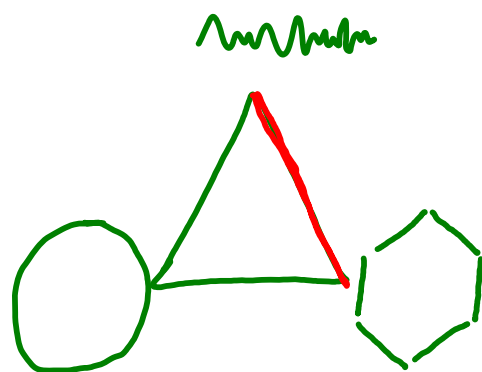


Thm. [Reverse AEP]:

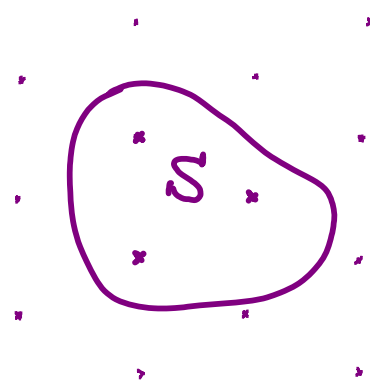
If $(Z_1, \dots, Z_n) \sim \text{Unif}(\text{Ball}(\mathbf{0}, \sqrt{n}\sigma^2))$,

then $Z_1 \xrightarrow{\text{dist}} N(\mathbf{0}, \sigma^2)$ as $n \rightarrow \infty$

A Random Lattice Ensemble: Minkowski - Hlawka - Siegel



$N_{\Lambda}(S) \triangleq$ number of nonzero points of Λ inside a body S



Theorem: For every dimension n , there exists an ensemble $\{\Lambda\}$ of lattices with a constant point density $\gamma = \frac{1}{V_{\Lambda}}$ (= a prob. measure over all generator matrices G with determinant $1/\gamma$) such that for every bounded body S

$$E_{MHS} \{ N_{\Lambda}(S) \} = \gamma \cdot \text{Vol}(S)$$

Just like a uniformly distributed random code!

Simultaneous Goodness

Thm. [Erez - Litsyn - Z 2004]

There exists a sequence of lattices Λ_n in dim. $n = 1, 2, \dots$, such that as $n \rightarrow \infty$

$$f_{\text{cov}}(\Lambda_n) \rightarrow 1$$

$$\underline{\lim} f_{\text{pack}}(\Lambda_n) \geq \frac{1}{2}$$

$$G(\Lambda_n) \rightarrow \frac{1}{2\pi e}$$

$$\mu(\Lambda_n, p_e) \rightarrow 2\pi e \quad \forall p_e > 0$$

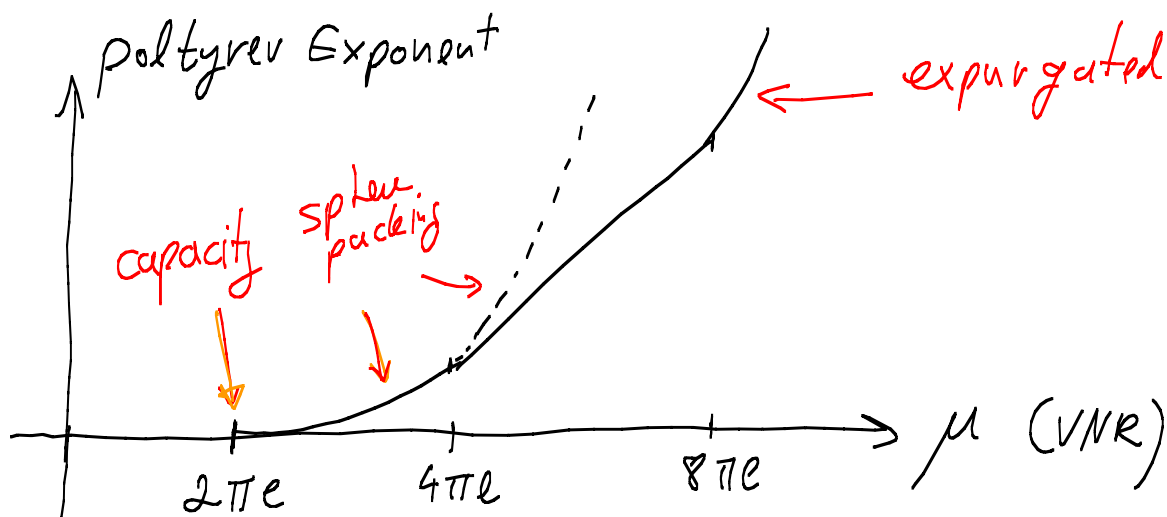
Error Exponents

$$P_e^{ML}(\mathcal{L}) = \int_0^{\infty} p(\|z\|=r) \cdot p\left(\begin{smallmatrix} \text{nonzero codeword} \\ \text{in Ball}(z, r) \end{smallmatrix}\right) dr$$

[Gallager 1962]

$$N_{\mathcal{L}}(\text{Ball}(z, r))$$

$$E_{\text{MHS}} \{ \} \Rightarrow \frac{1}{2} \cdot V_n \cdot r^n$$



$$\therefore \exists \mathcal{L}_n : \mu(\mathcal{L}_n, p_e) \xrightarrow{n \rightarrow \infty} 2\pi e \quad \forall p_e > 0$$

We'll talk about...

1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

5. Multi-level Constructions

x x

x x

x x

x x

x x

x x

Construction C

- * "Multi-level coded modulation"
- * Natural extension (?) of construction A to L levels
- * Bound on minimum distance $2 \rightarrow 2^{L-1}$
- * Super-position of L binary codes: C_1, \dots, C_L

$$\Gamma = \underbrace{C_1 + 2 \cdot C_2 + 4 \cdot C_3 + \dots + 2^{L-1} \cdot C_L}_{\text{coded levels}} + \underbrace{2^L \cdot \mathbb{Z}^n}_{\text{uncoded levels}}$$

- * Equivalent definitions: binary expansion

$$\{ \underline{x} \in \mathbb{Z}^n : \text{LSB}(\underline{x}) \in C_1, \text{MSB}_1(\underline{x}) \in C_2, \dots, \text{MSB}_{L-1}(\underline{x}) \in C_{L-1} \}$$



recursive law

$$\left\{ \underline{x} \in \mathbb{Z}^n : \begin{aligned} \hat{c}_1 &\triangleq \underline{x} \bmod 2 \in C_1 \\ \hat{c}_2 &\triangleq \frac{1}{2}(\underline{x} - \hat{c}_1) \bmod 2 \in C_2 \\ \hat{c}_3 &\triangleq \frac{1}{4}(\underline{x} - \hat{c}_1 - 2\hat{c}_2) \bmod 2 \in C_3 \\ &\vdots \\ \hat{c}_L &\triangleq \frac{1}{2^{L-1}}(\underline{x} - \hat{c}_1 - 2\hat{c}_2 - 4\hat{c}_3 - \dots) \bmod 2 \in C_L \end{aligned} \right\}$$

Construction C : general context

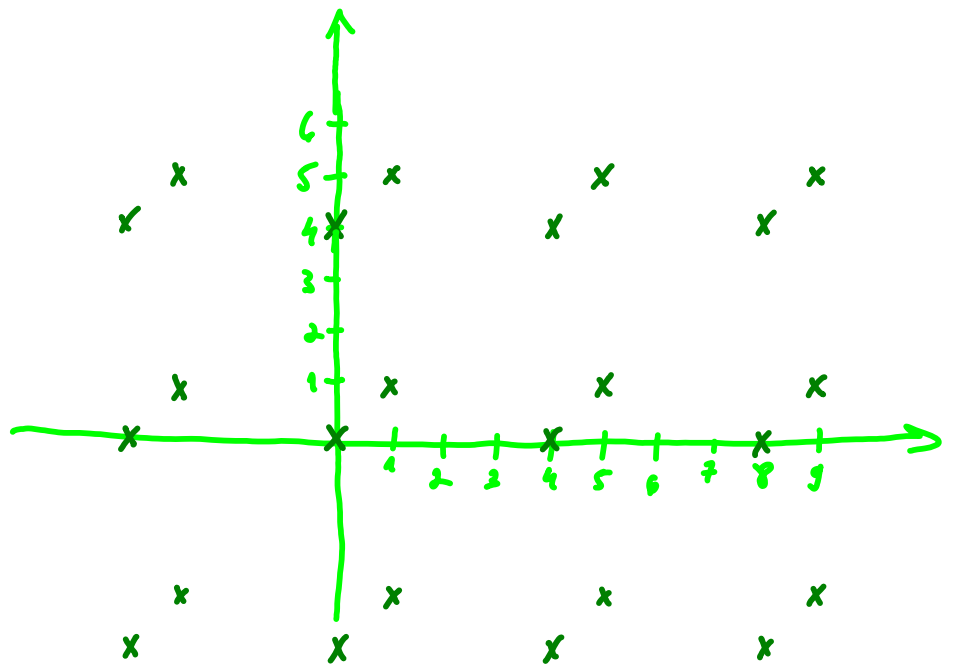
* Single level ($L=1$) \Rightarrow Construction A

* Multiple levels ($L > 1$) \Rightarrow not necessarily a lattice
even if all component codes are linear !

$$C_1 = \{(00), (11)\}$$

$$C_2 = \{(00)\}$$

$$V = C_1 + 2C_2 + 4\mathbb{Z}^2$$



* Multi-level coset codes [Forney - Trott - Chang 2000]:

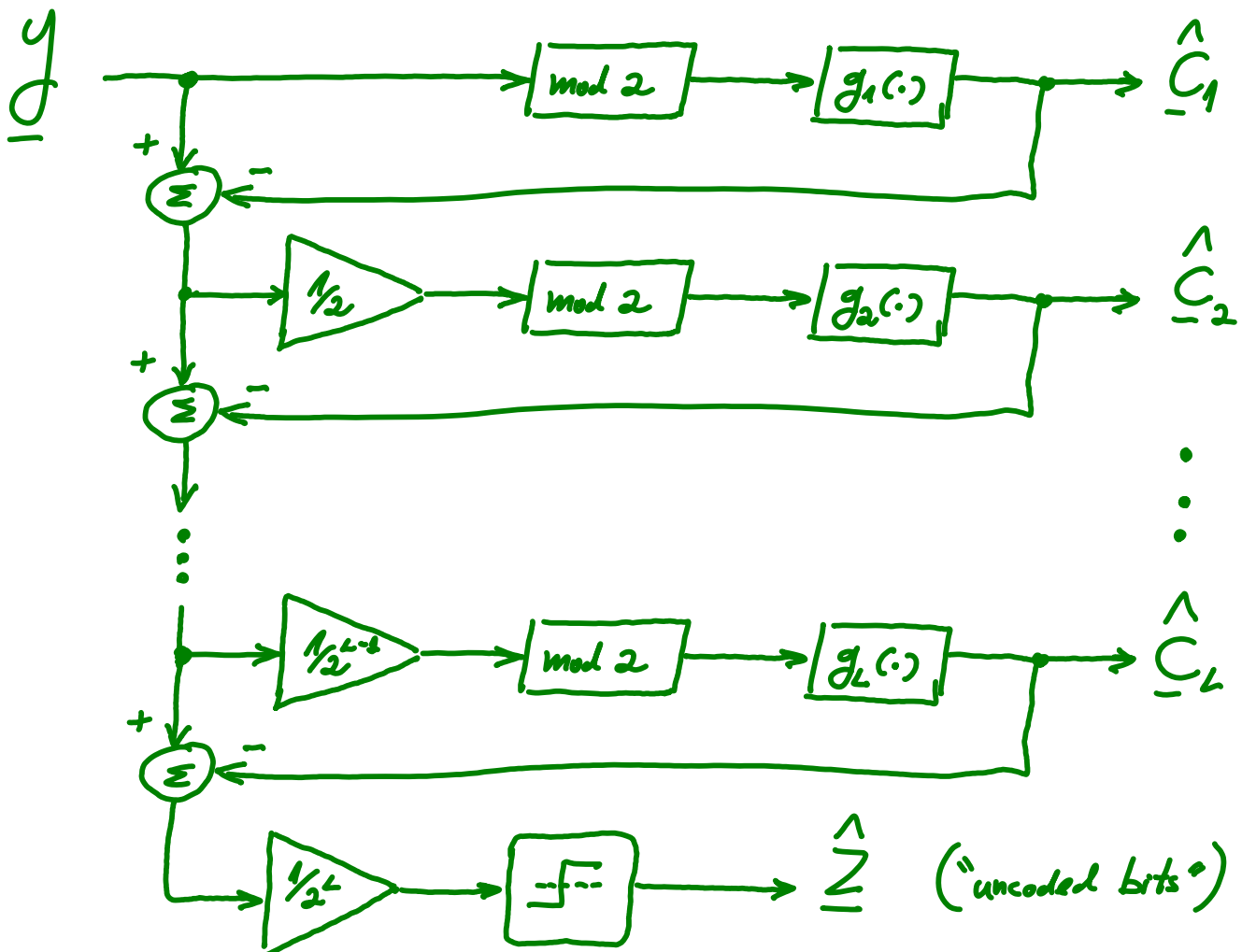
Special case where

$$\Lambda_1 / \Lambda_2 / \dots / \Lambda_L = \mathbb{Z} / 2\mathbb{Z} / \dots / 2^{L-1}\mathbb{Z}$$

Multi-Stage Decoding

$$\underline{Y} = \underline{X} + \underline{N}, \quad \underline{X} \in \mathcal{V}$$

Let $g_i(\cdot)$ = "soft-decision" decoder for $\underline{c} \in \mathcal{C}_i$
 in a modulo-2 channel: $\underline{\hat{y}} = \left[\underline{c} + \underline{N}/2^{i-1} \right] \bmod 2$.



Construction D

- * Multi-level lattice construction
- * Natural extension (?) of construction A (Def. IV)
- * Similar to (non-lattice) construction C
(same d_{\min} , allows MSD)
- * Based on a chain of nested linear binary codes:
 $C_1 \subset \dots \subset C_L$, where $C_j = (n, k_j, d_j)$ code, $k_1 \leq \dots \leq k_L$
- * Super-position of basis vectors (rather than of the codes)
- * Let $\underline{g}_1 \dots \underline{g}_n$ be a basis for $\{0, 1\}^n$, such that the $k_j \times n$ matrix $\underline{G}_j = \begin{bmatrix} -\underline{g}_1 \\ \vdots \\ -\underline{g}_{k_j} \end{bmatrix}$ is a generator matrix for C_j , $j=1 \dots L$.

real (not modulo 2) multiplication

$$\Lambda_D = \left\{ \sum_{j=1}^L 2^{j-1} \cdot \underbrace{\underline{w}_j \cdot \underline{G}_j}_{\text{real multiplication}} + 2^L \underline{z} : \underline{w}_j \in \{0, 1\}^{k_j}, \underline{z} \in \mathbb{Z}^n \right\}$$

code nesting \Rightarrow closed under mod- 2^L addition $\Rightarrow \Lambda_D$ is a lattice

Uniformity Properties of Construction C

Maiara Bollauf & RZ

* ISIT 2016 *

Classification of "almost"-lattice codes (infinite constellations)

Lattice Λ



Geometrically Uniform



Equi-Distance Spectrum

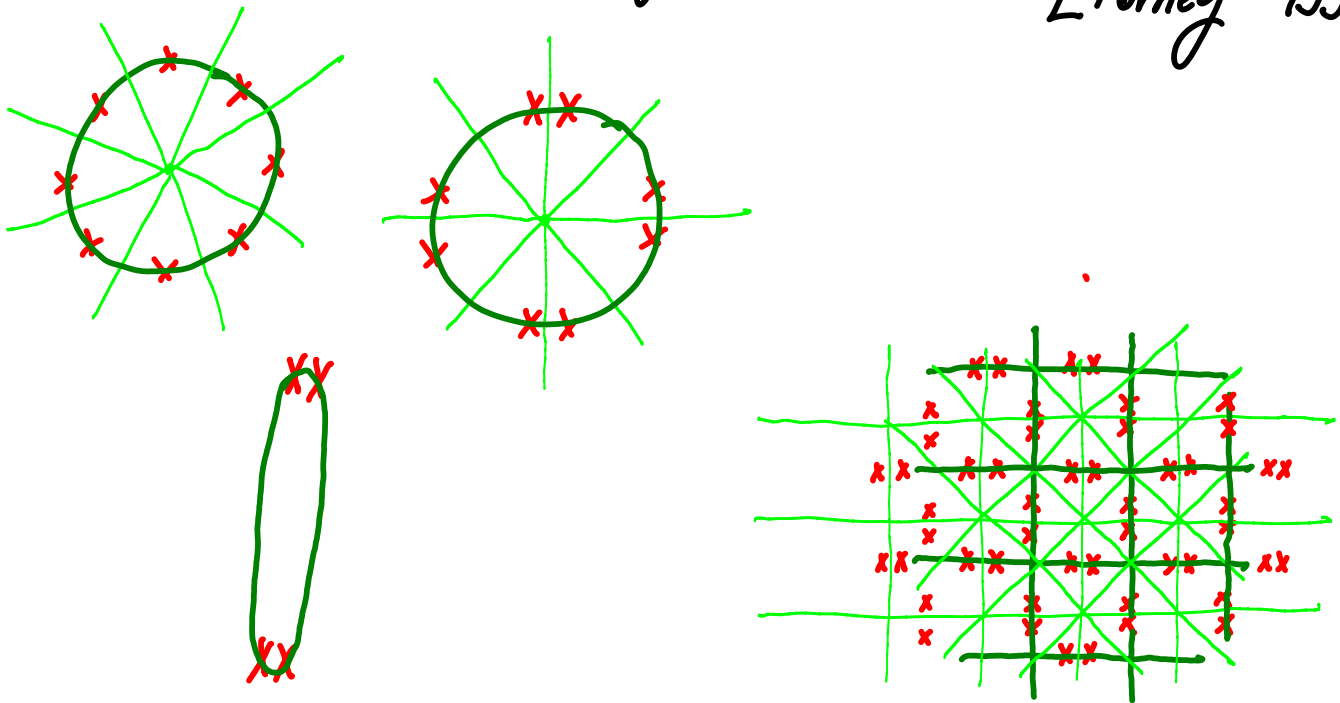


Equi-Minimum distance
(& Equi-kissing number)



Random, $n \rightarrow \infty$

Reminder : Geometrically Uniform Constellation [Forney 1991]



Definition: \mathcal{C} is GU if for any two codewords $c, c' \in \mathcal{C}$, there exists a distance-preserving transformation T (translation, reflection, rotation) such that

$$c' = T(c) \quad \text{and} \quad T(\mathcal{C}) = \mathcal{C}.$$

\Rightarrow The world seen by any codeword is the same, up to rotation and reflection.

\Rightarrow Same Voronoi cells (Euclidean distance)
Same $P_e(c)$ (Under AWGN).

Assume that C_1, \dots, C_L are linear,
then ...

Construction C is ^{always} \checkmark geometrically uniform
for $L \leq 2$

Construction C is ^{not always} \checkmark geometrically uniform
for $L \geq 3$

We'll talk about...

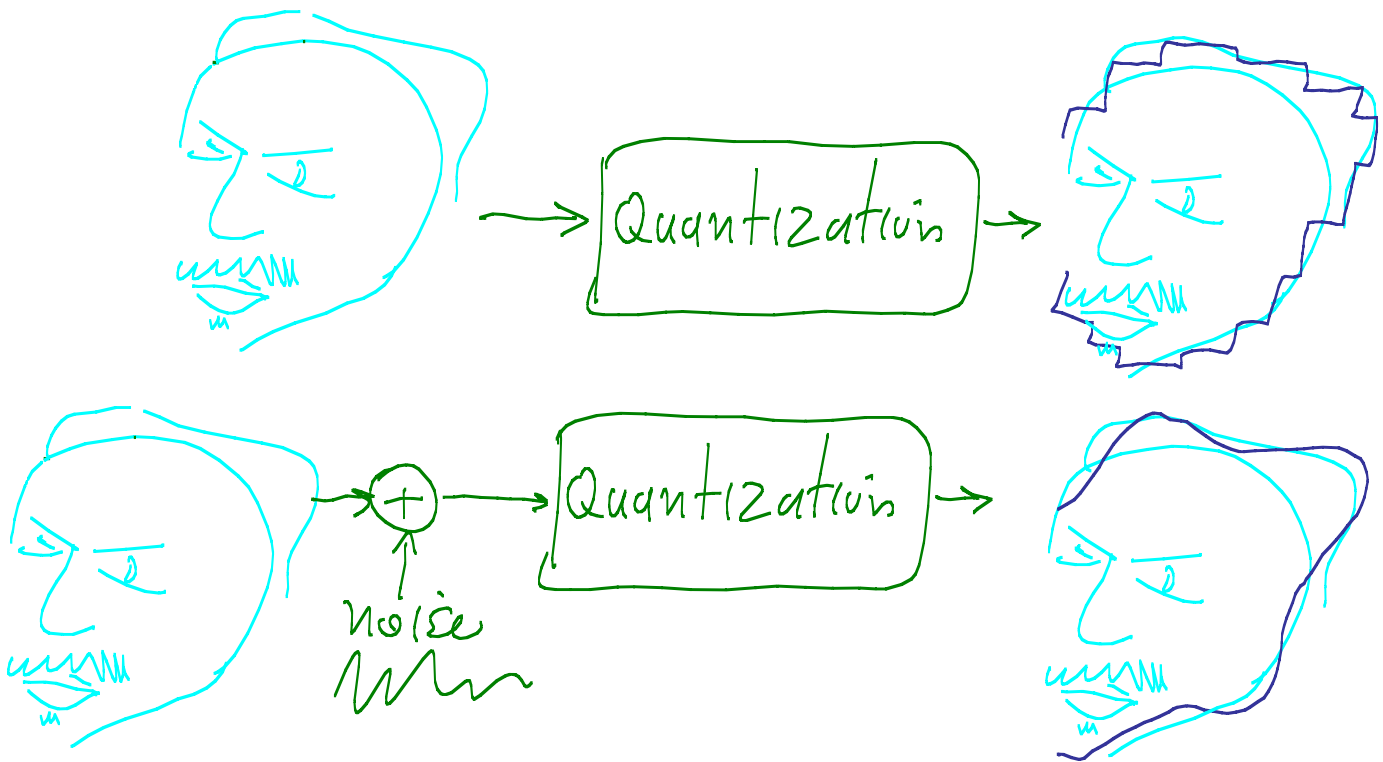
1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

6. Dither & estimation

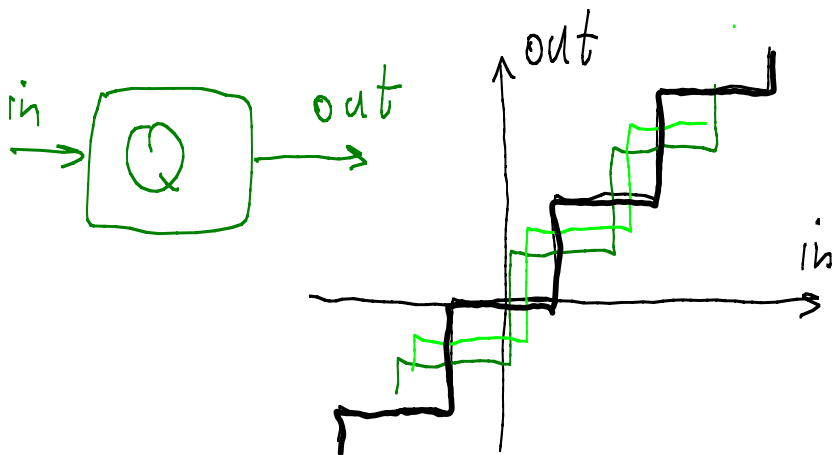
noise (Λ)

Dithered Quantization

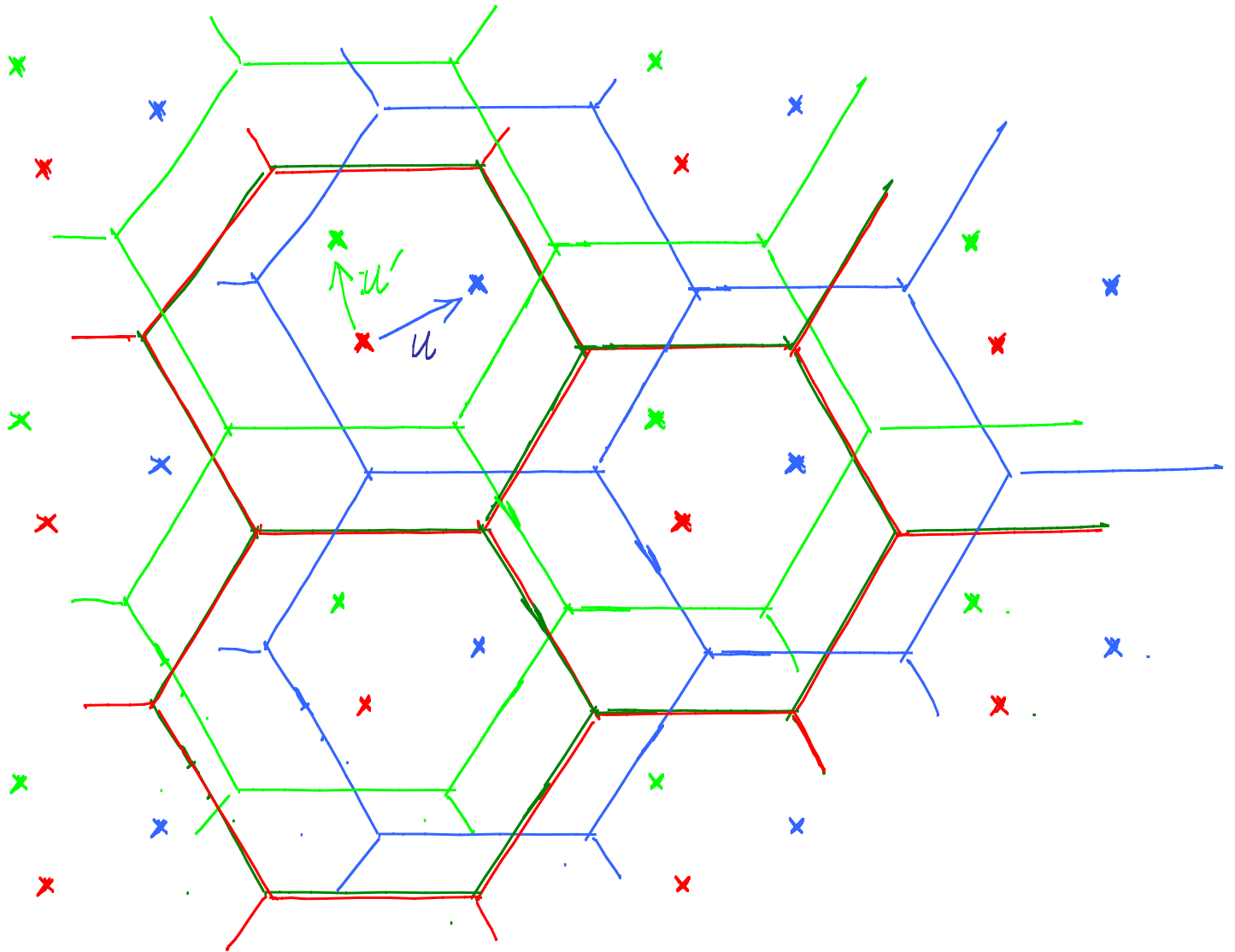
- dither for perceptual reasons:



- dither for analytical reasons:



$$Q_{\Lambda}(x+u) - u$$



\Rightarrow Random shift of the lattice quantizer

The Crypto-Lemma

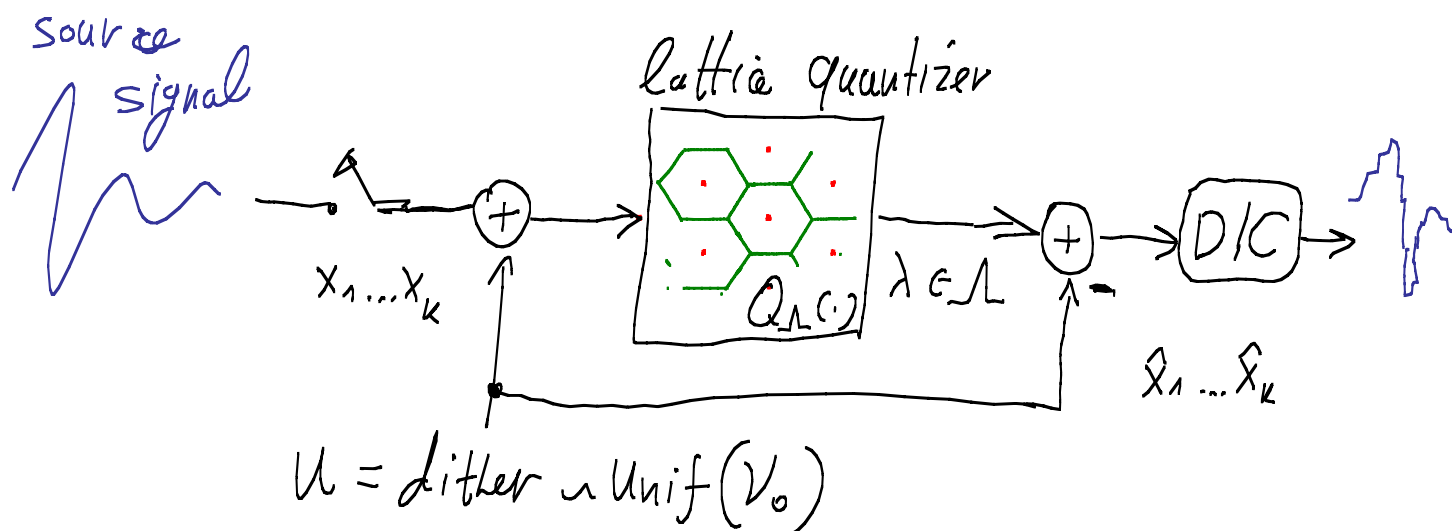
Let $x \bmod \Lambda \triangleq x - Q_{\Lambda}(x)$

If $U \sim \text{unif}(p_0)$, then

$(x+U) \bmod \Lambda \sim \text{unif}(p_0)$, $\forall x$

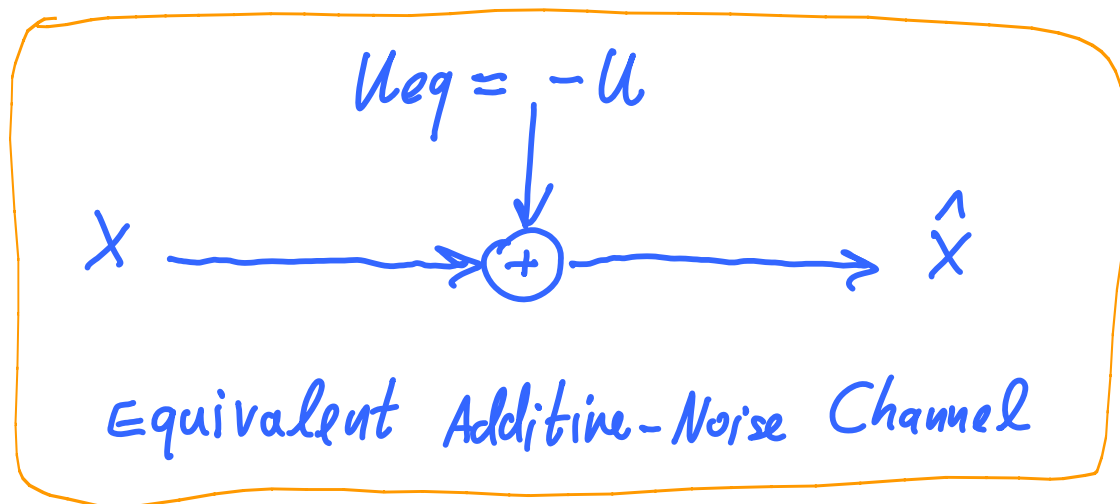
Proof: View as a modulo-additive noise channel, with a uniform noise,

Dithered Quantization Error



Crypto Lemma \Rightarrow

Thm. 1: quantization error $Q(x+u) - x - u$ is independent of input x , and uniform over (reflection of) lattice cell:



Generalized Dither

Def. U is G.D. if $(s+U) \bmod \Lambda \sim \text{Unif}(p_0) \quad \forall s$

Necessary condition on $f_u(\cdot)$ for G.D. ?

Generalized Dither

Def. U is G.D. if $(s+U) \bmod \Lambda \sim \text{Unif}(p_0) \quad \forall s$

Necessary condition for G.D. ?

1. U is G.D. iff $U \bmod \Lambda \sim \text{Unif}(p_0)$

2. U is G.D. iff $f_{U_{\text{rep}}}(x) = \text{constant}$
where,

$$f_{\text{rep}}(x) \triangleq \text{periodic replication } f(x) \triangleq \sum_{\lambda \in \Lambda} f(x - \lambda)$$



3. U is G.D. iff its characteristic function is zero on the dual lattice:

$$F\{f_U(\cdot)\} = 0 \quad \text{on } \Lambda^* \setminus \{0\}$$

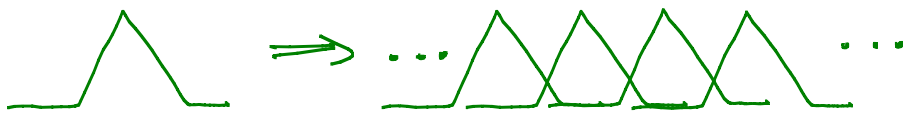
where $\Lambda^* = \text{dual lattice} = \Lambda(G^{-t})$

Generalized Dither

Def. U is G.D. if $(s+U) \bmod \Lambda \sim \text{Unif}(p_0) \quad \forall s$

Necessary condition for G.D. ?

$f_{\text{rep}}^{(x)} \triangleq \text{periodic replication } f(x) \triangleq \sum_{\lambda \in \Lambda} f(x - \lambda)$



Claims

1. $f_{\text{rep}}^{(x)}$ is periodic - Λ in space
2. If $X \sim f(x)$, and $p_0 = \text{fundamental cell of } \Lambda$, then

$$f_{X \bmod \Lambda}^{(x)} = \begin{cases} f_{\text{rep}}^{(x)}, & x \in p_0 \\ 0, & \text{o.w.} \end{cases}$$

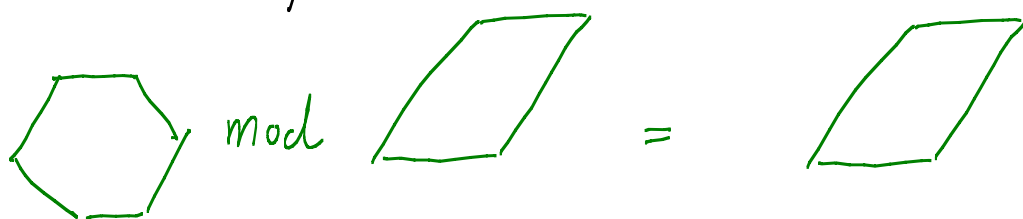
3. $X \bmod \Lambda \sim \text{Unif}(p_0)$ iff $f_{\text{rep}}^{(x)} = \text{constant}$
4. U is generalized dither iff $f_{U \text{ rep}}^{(x)} = \text{constant}$

Generalized Dither: Examples

1. Uniform over any fundamental cell

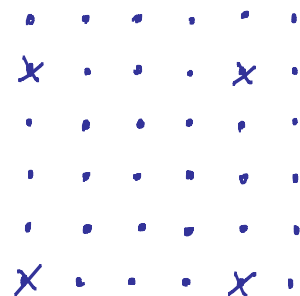
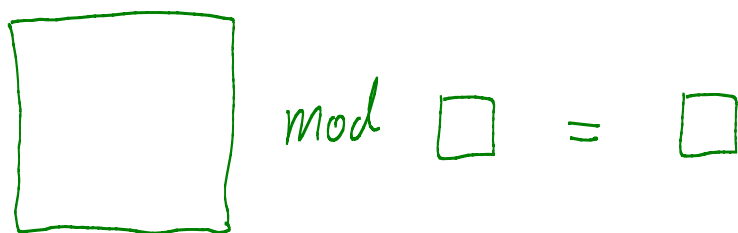
$$\text{Unif}(Q_0) \bmod_{P_0} \Lambda \sim \text{Unif}(P_0)$$

where $Q_0, P_0 = \text{fundamental cells of } \Lambda$.



2. Uniform over a nested coarse lattice cell

$Q_0 = \text{fundamental cell of } \Lambda_c \subset \Lambda$



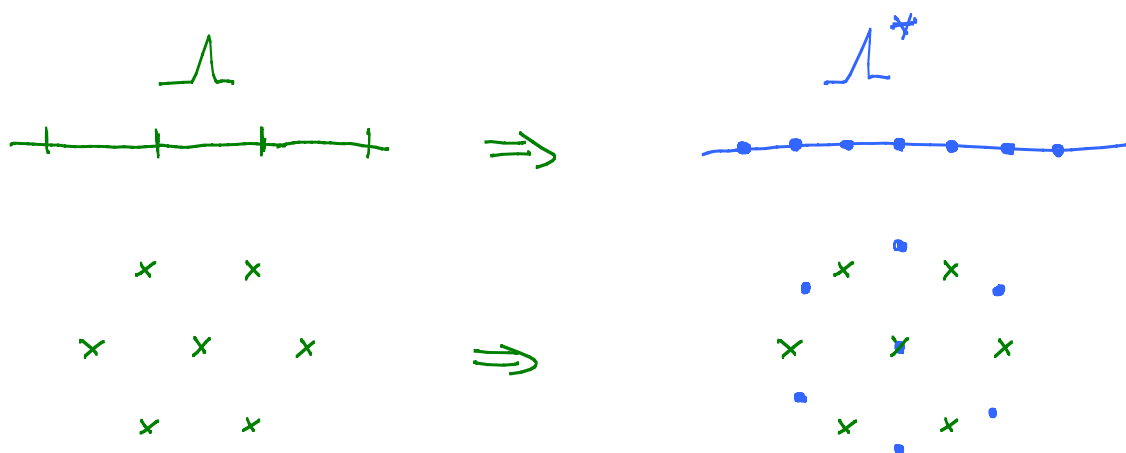
3. Spreading

$$\{f_u(\cdot)\}_{\text{rep}} = \text{constant} \Rightarrow \{f_u(\cdot) * \tilde{f}(\cdot)\}_{\text{rep}} = \text{constant}$$



Generalized Dither \Rightarrow Zeros on Dual Lattice

Def. Λ^* = dual lattice of $\Lambda(G)$
 $= \Lambda(G^{-t})$



Claim: u is G.D. iff its characteristic function is zero on the dual lattice:

$$F\{f_u(\cdot)\} = 0 \quad \text{on } \Lambda^* \setminus \underline{0}$$



Good lattice \Rightarrow white dither

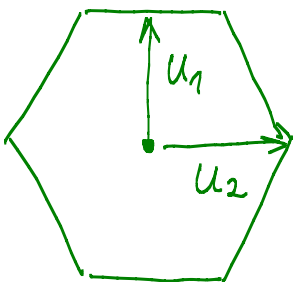
$$\underline{\underline{R_Q}} \triangleq \text{dither auto-correlation matrix} = E\{\underline{u} \cdot \underline{u}^t\}$$

$$\mu_u \triangleq \frac{1}{n} \text{trace}\{\underline{R_Q}\} \geq \sigma^2(\mathcal{L})$$

equality if Voronoi cells

Thm.: If \mathcal{L} is an optimal lattice quantizer in \mathbb{R}^n (minimizes N.S.M. GCL), then \underline{u} is white:

$$\underline{R_Q} = \sigma^2(\mathcal{L}) \cdot \underline{I}_n$$



u_1 and u_2 are dependent

but $\text{Var}(u_1) = \text{Var}(u_2)$

$$E\{u_1 \cdot u_2\} = 0$$

Proof:

1. $\Lambda, \mathcal{V}_0 \rightarrow \text{whitening (orthonormal) transformation} \rightarrow \Lambda', \mathcal{P}'_0$

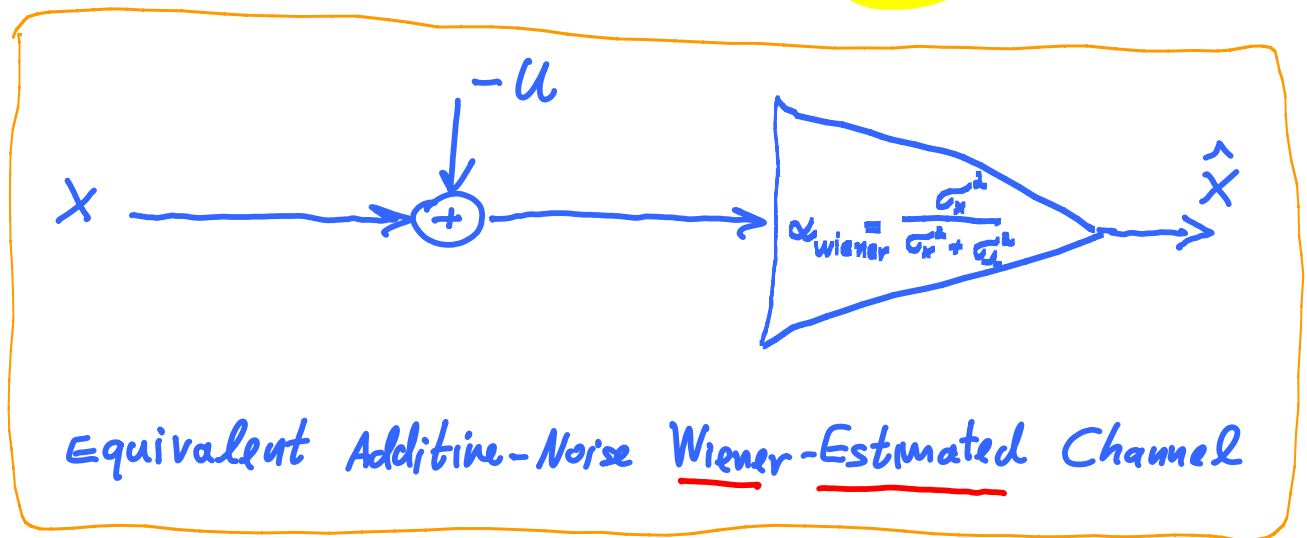
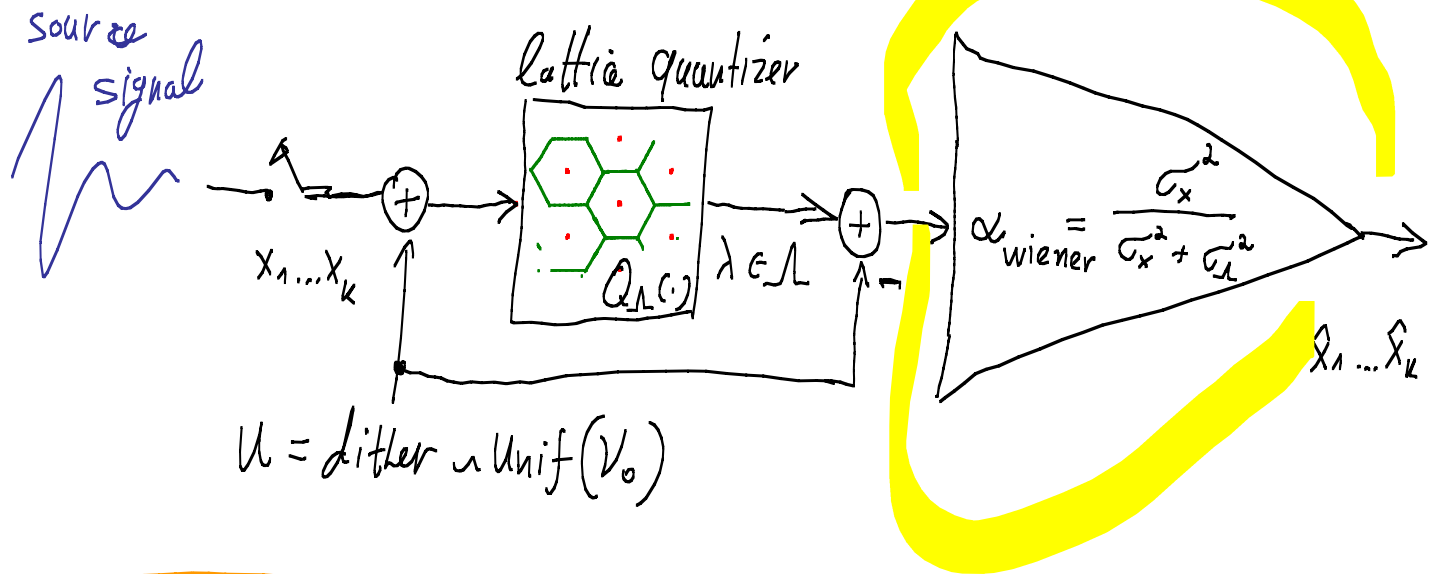
2. $\Lambda', \mathcal{P}'_0 \rightarrow \text{Voronoi Partition} \rightarrow \Lambda'', \mathcal{V}'_0$

and repeat ...

$\Rightarrow G(\Lambda) \geq G(\Lambda') \geq G(\Lambda'') \geq \dots$

w. equality iff Λ is white !

Wiener Estimation



\Rightarrow distortion : $\sigma_u^2 \rightarrow \frac{\sigma_x^2 \sigma_u^2}{\sigma_x^2 + \sigma_u^2}$

We'll talk about...

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7. side-information problems
8. distributed lattice coding

7. Side - information problems

Modulo (\perp)

Why Lattices in Communication?

① a bridge from $n=1$ to $n=\infty$
= non-asymptotic analysis per dimension



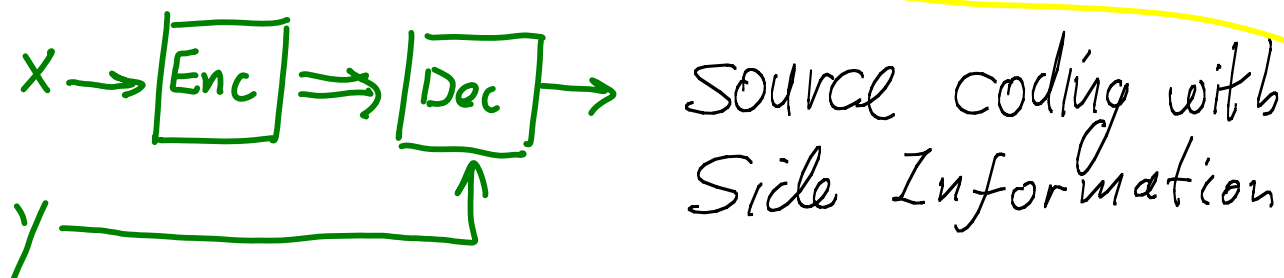
② Algebraic (low complexity) Binning
= structured coding schemes for networks

③ bridge from Analog - to - Digital
= Robust joint source - channel coding

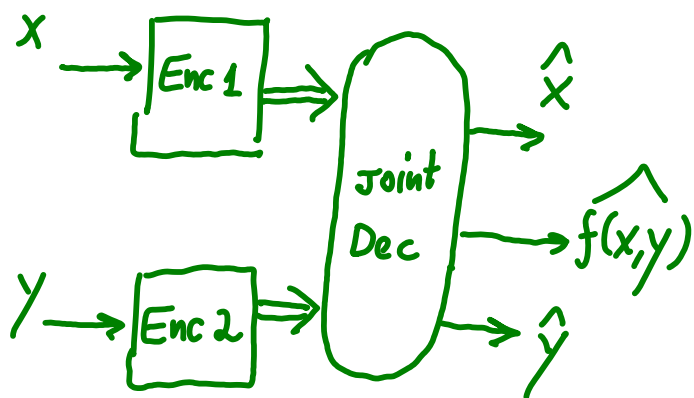
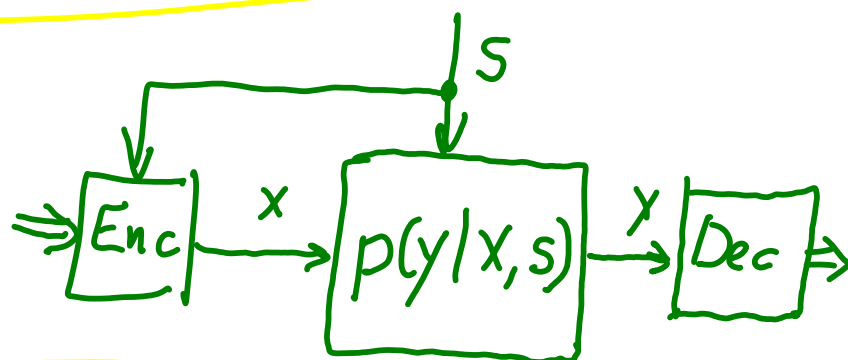


④ Better than Random-Coding !
in distributed side-information problems

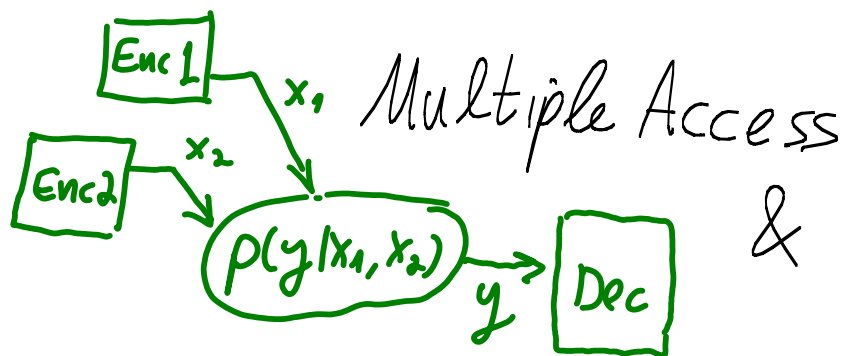
Lattices in Multi-Terminal Problems



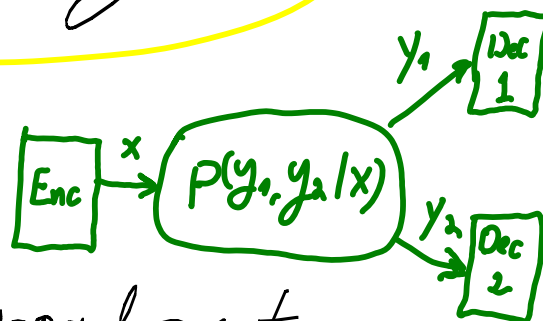
Channel Coding
with
Side Information



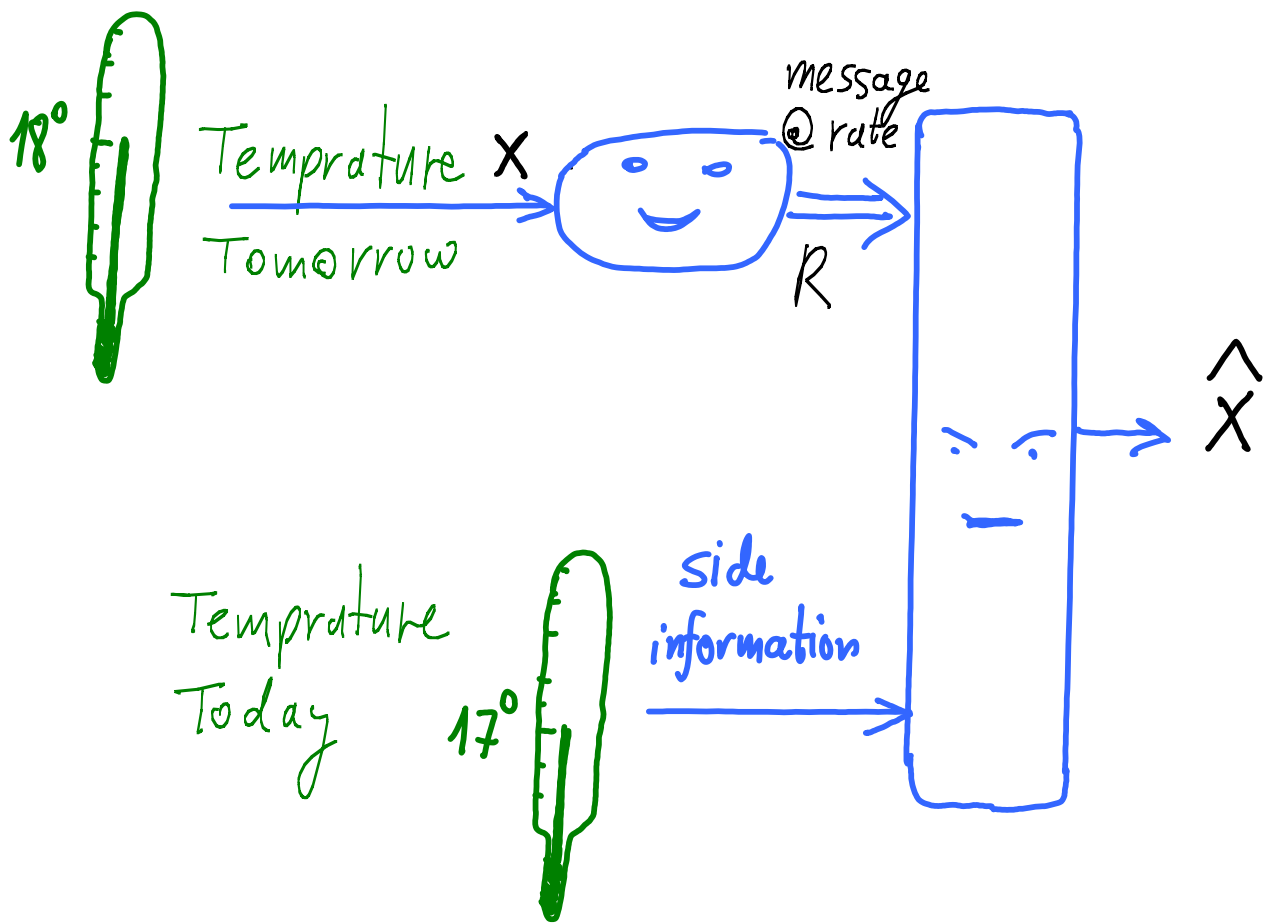
Multi-terminal
Source
coding



& Broadcast
Channels



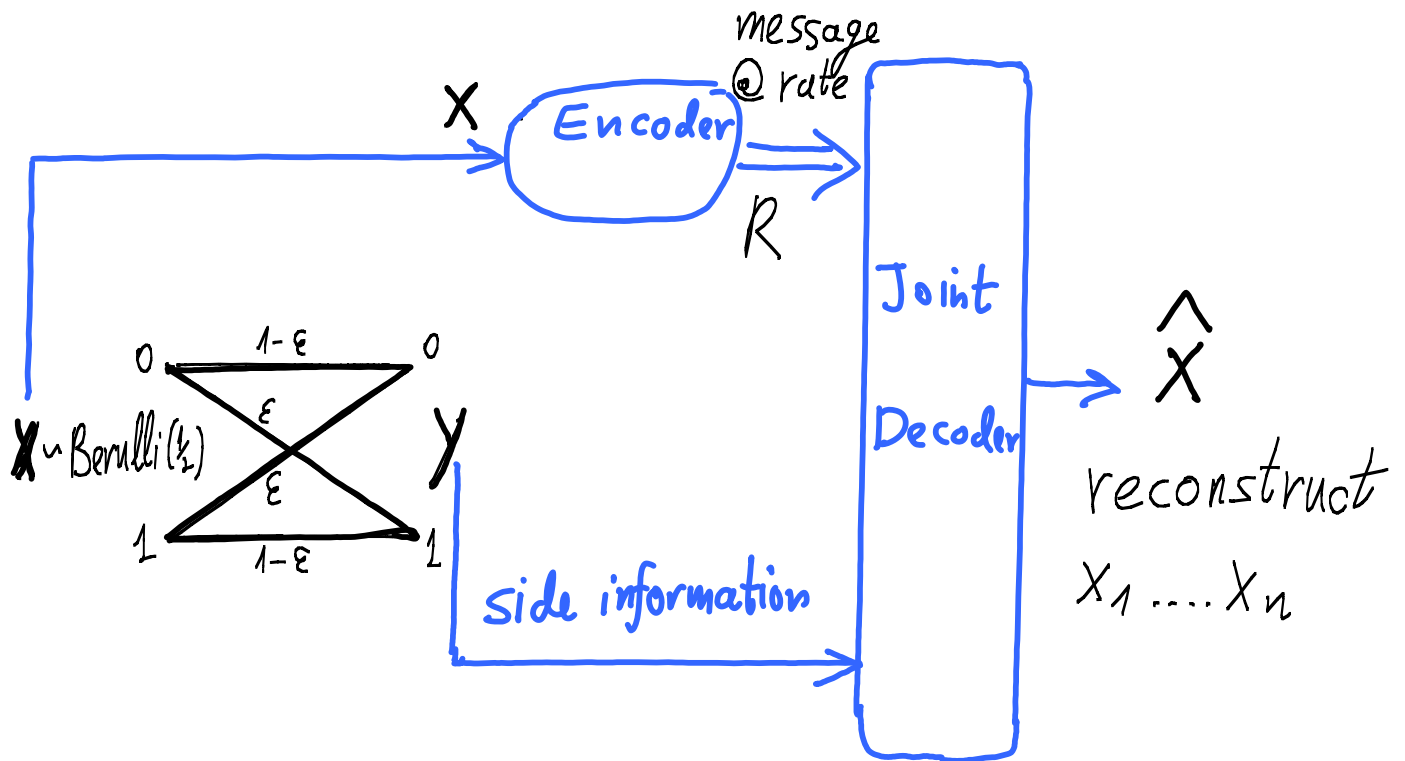
The Slepian-Wolf Problem



$$\underline{T_{\text{tomorrow}} = T_{\text{today}} \pm 1^\circ \text{C}}$$

Can we send T_{tomorrow} using
only one bit?

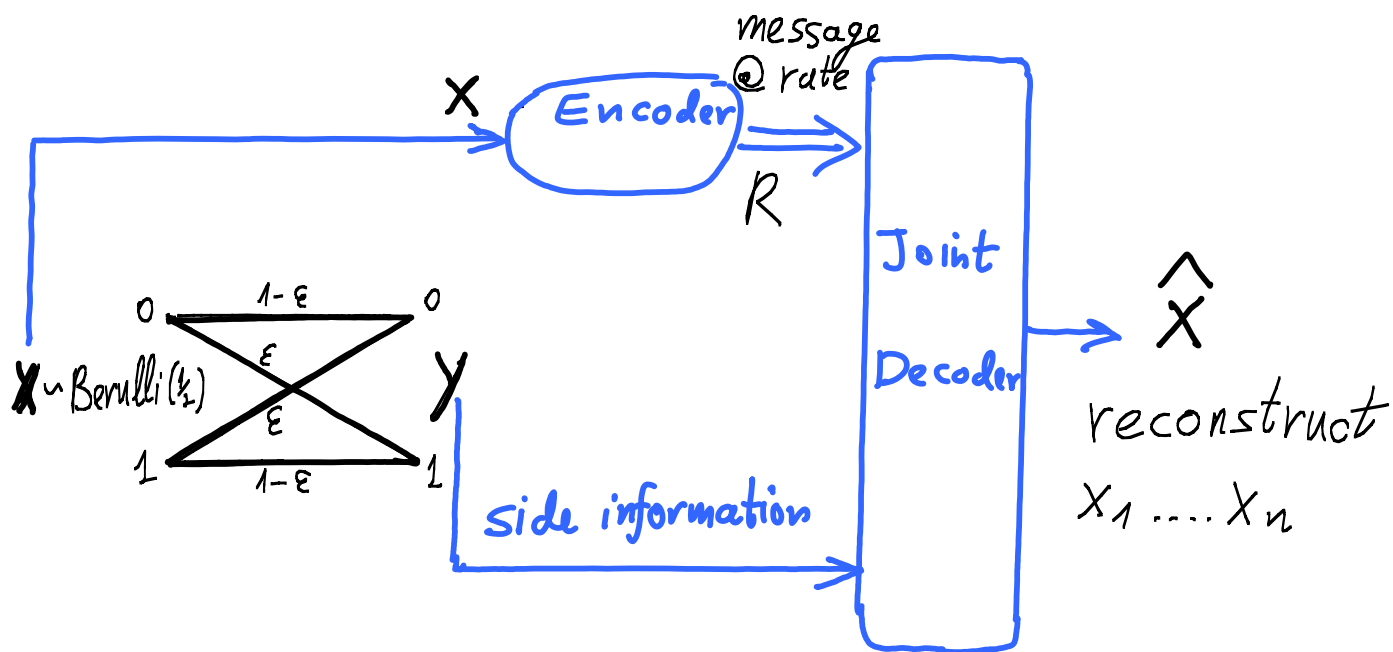
The Slepian-Wolf Problem



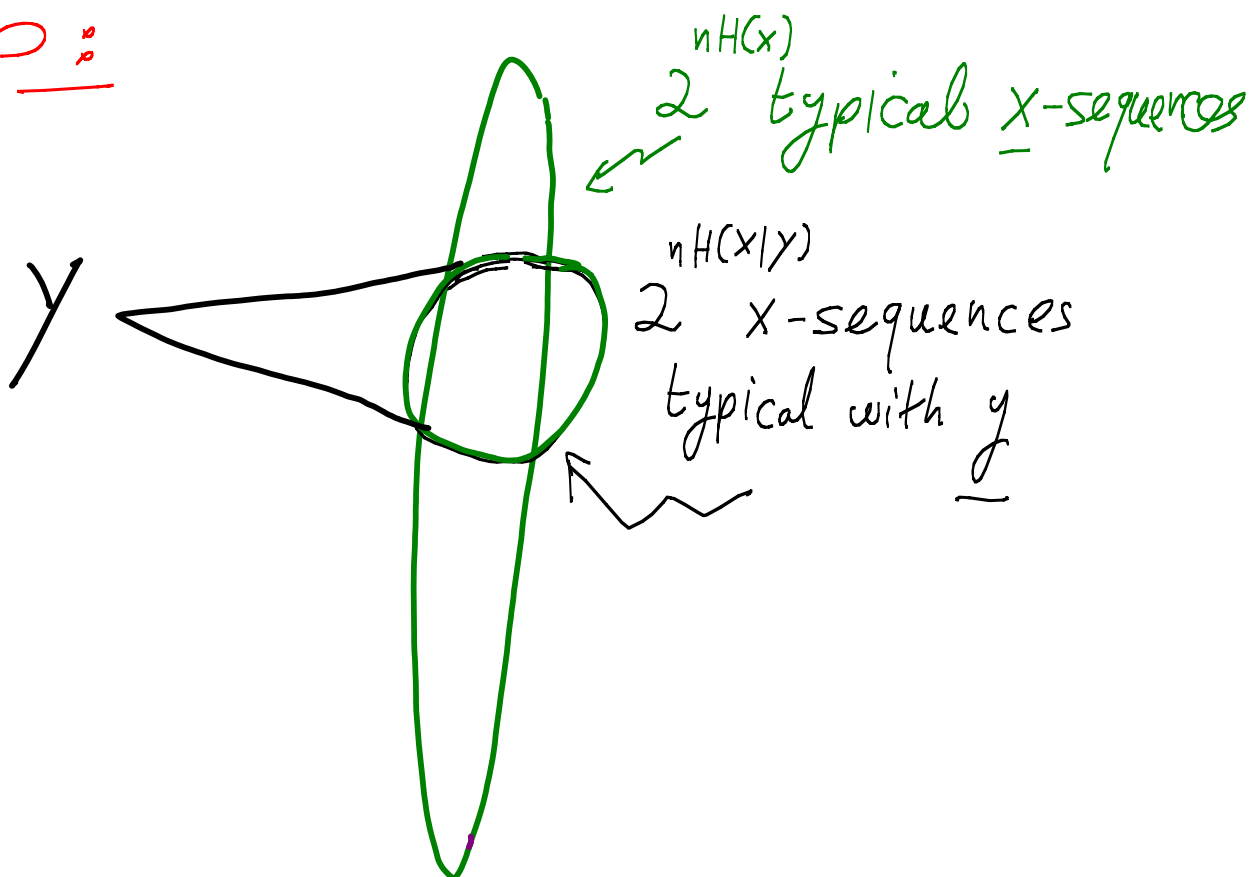
$$R = H(X|Y) = H(Z) = H_B(\epsilon) = 0.1 \text{ Bit}$$

as if Y were available @ both encoder + decoder!

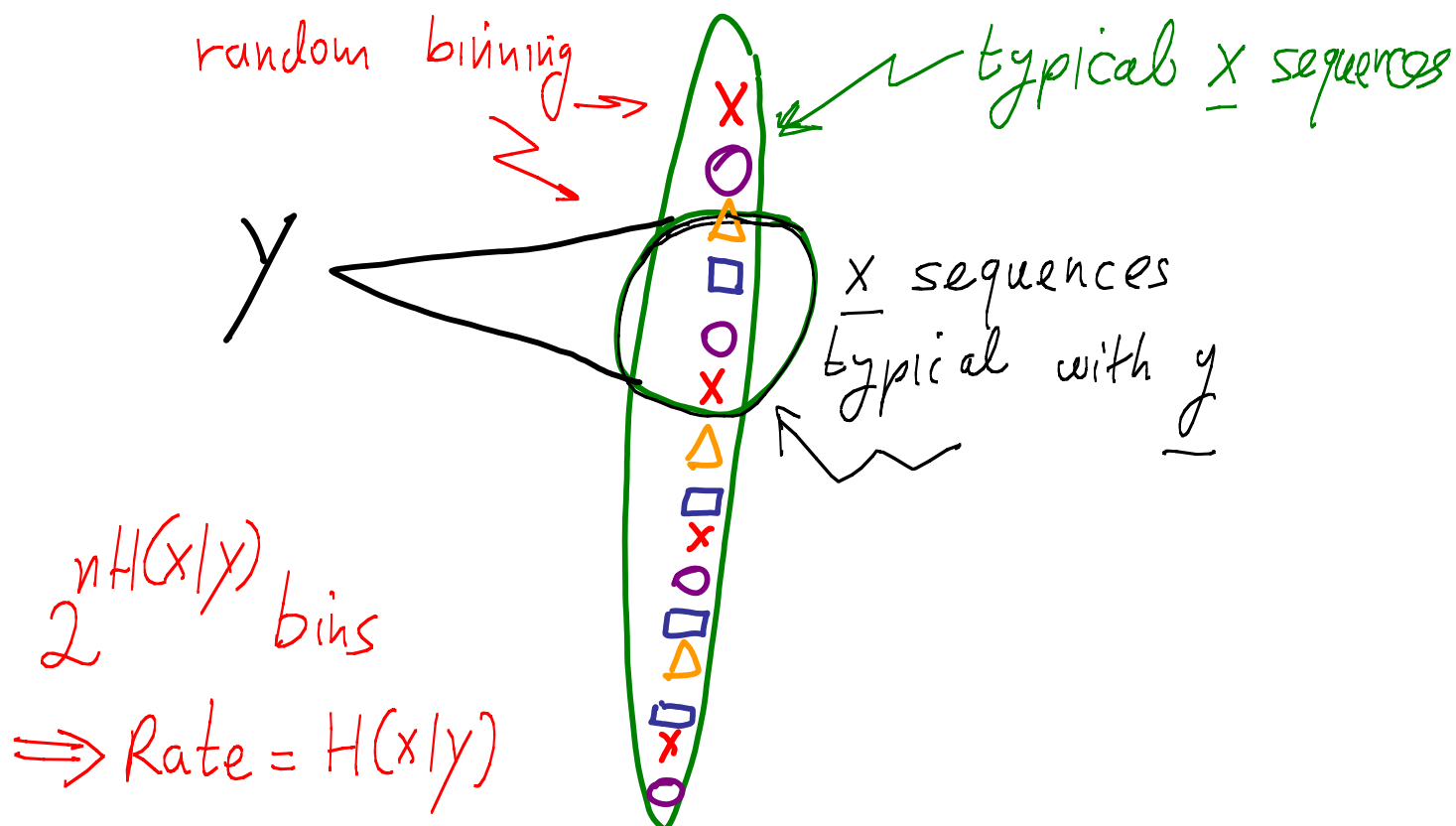
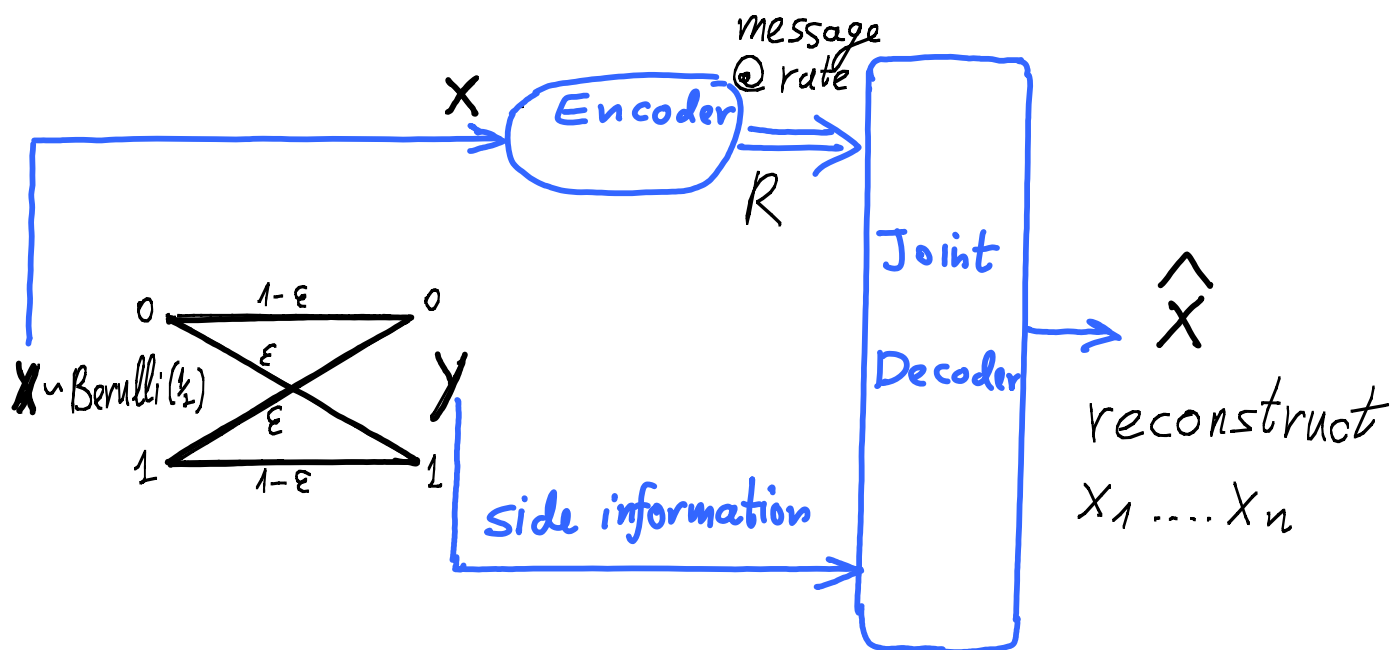
The SW Problem: Random Binning



AEP:



The SW Problem: Random Binning



From "random"

back to "Structure"...

(i) Hamming space \Leftarrow

(ii) Euclidean space

Syndrome Coding

1. Good linear binary codes:

$\mathbb{C} = (n, k)$ linear code for B.S.C. (ϵ)

general properties:

generator matrix

$$\underline{x} = \underline{G} \cdot \underline{i}$$

$n \times 1$ $n \times k$ $k \times 1$

parity-check

$$\underline{H} \cdot \underline{x} = \underline{0} \text{ for } \underline{x} \in \mathbb{C}$$

$(n-k) \times n$ $n \times 1$

BSC

$$\underline{x} \xrightarrow{\begin{matrix} \text{BSC} \\ \epsilon \end{matrix}} \underline{y} = \underline{x} \oplus \underline{z}, \quad \underline{z} \sim \text{Bernoulli}(\epsilon)$$

$$\text{Syndrome} = \underline{H} \cdot \underline{y} \quad (n-k \text{ dimensional})$$

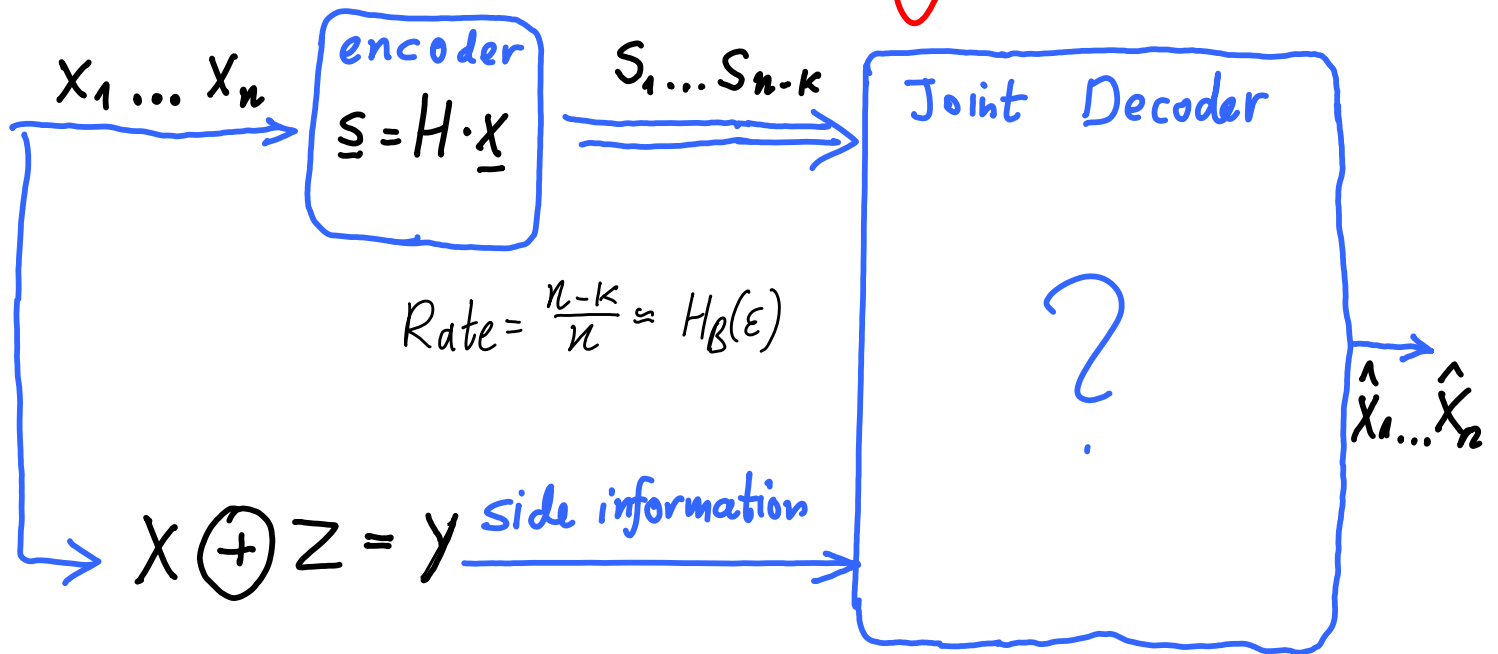
$$\hat{\underline{z}}_{ML} = \text{error}(\underline{y}, \mathbb{C}) = f(\underline{H} \cdot \underline{y}) \triangleq \underline{y} \bmod \mathbb{C}$$

$$P_e = \Pr\{\hat{\underline{z}}_{ML} \neq \underline{z}\} \longrightarrow 0 \quad \text{for "good" codes}$$

$$n \rightarrow \infty \text{ @ } \frac{k}{n} \approx 1 - H_B(\epsilon)$$

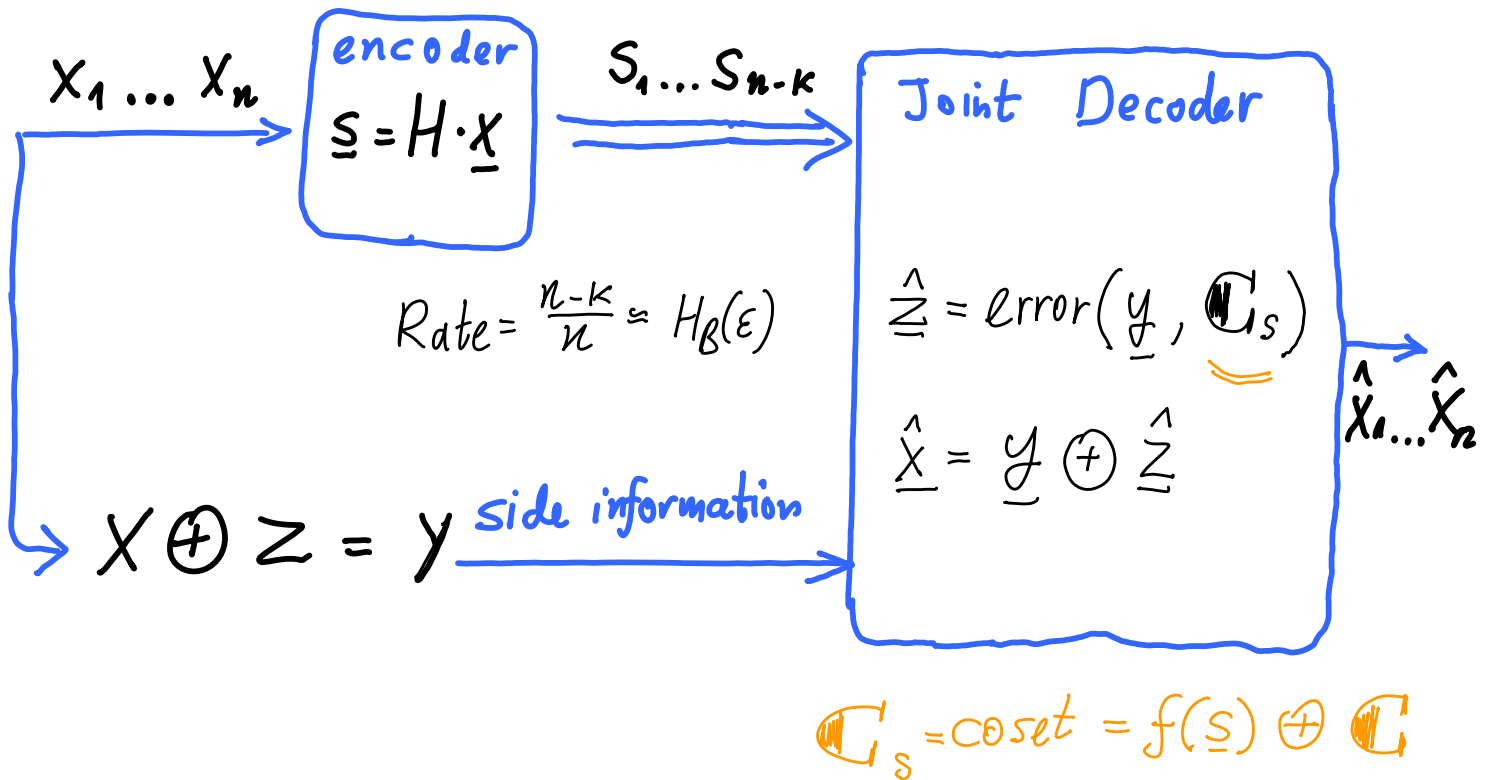
Syndrome Coding

2. -||- -||- for binary Slepian-Wolf:



$$\mathcal{C}_s = \text{coset} \triangleq f(\underline{s}) \oplus \mathcal{C}$$

Syndrome Coding



Equivalent scheme

- **encoder:** message = $\underline{s} \iff \underline{x} \bmod \underline{C}$
- **decoder:** $\hat{\underline{z}} = [(\underline{x} \bmod \underline{C}) \oplus \underline{y}] \bmod \underline{C}$
 $\xrightarrow{\text{distributive law}} (\underline{x} \oplus \underline{y}) \bmod \underline{C}$
 $= \underline{z} \bmod \underline{C}$
 $= \underline{z} \text{ w. h. prob.}$

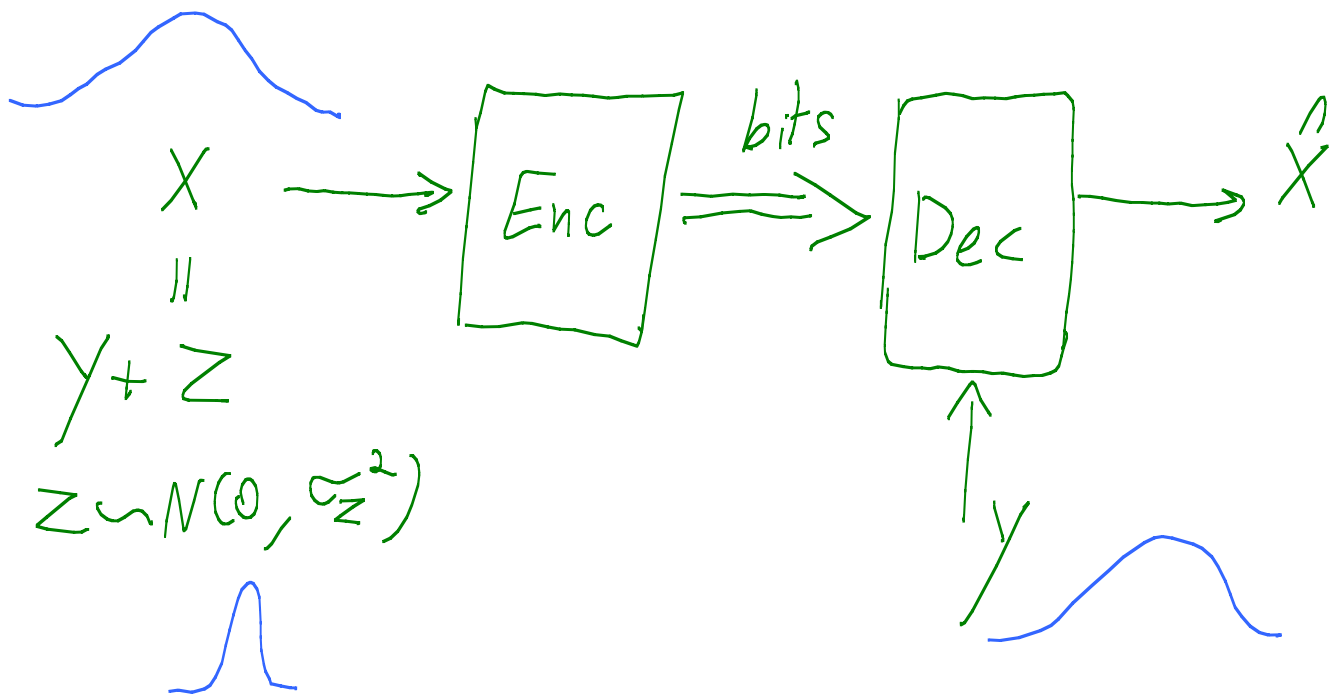
From "random"

back to "Structure"...

(i) Hamming space

(ii) Euclidean space \Leftarrow

The Wyner - Ziv Problem (Lossy Source Coding with S.I. @ Decoder)



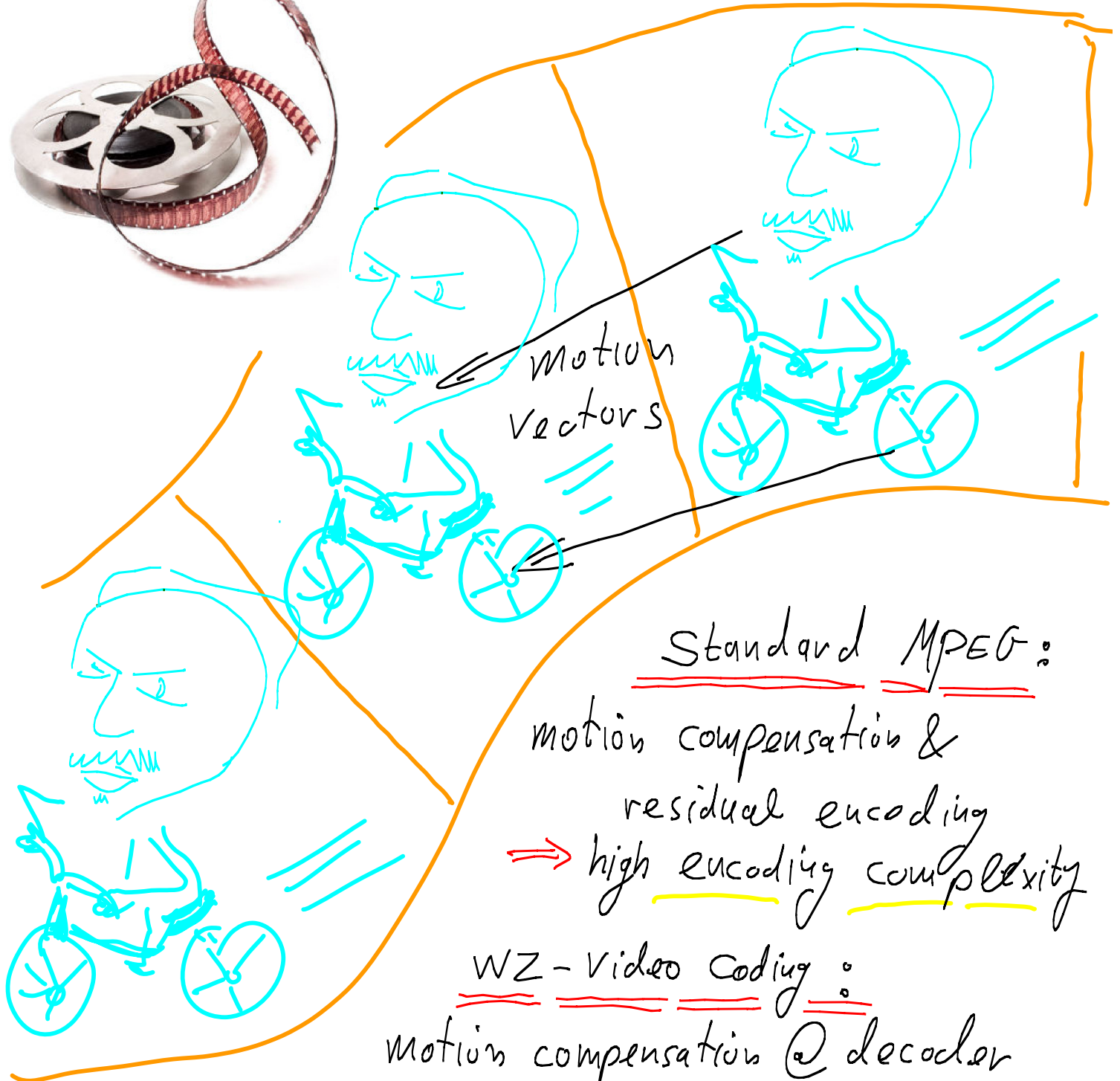
* The information-theoretic limit:

$$R_{x|y}^{\text{WZ}}(D) = R_Z(D) = \frac{1}{2} \log\left(\frac{\sigma_Z^2}{D}\right) \frac{\text{bit}}{\text{source sample}}$$

Wyner-Ziv 1976

Wyner 1978

Wyner-Ziv Video Coding

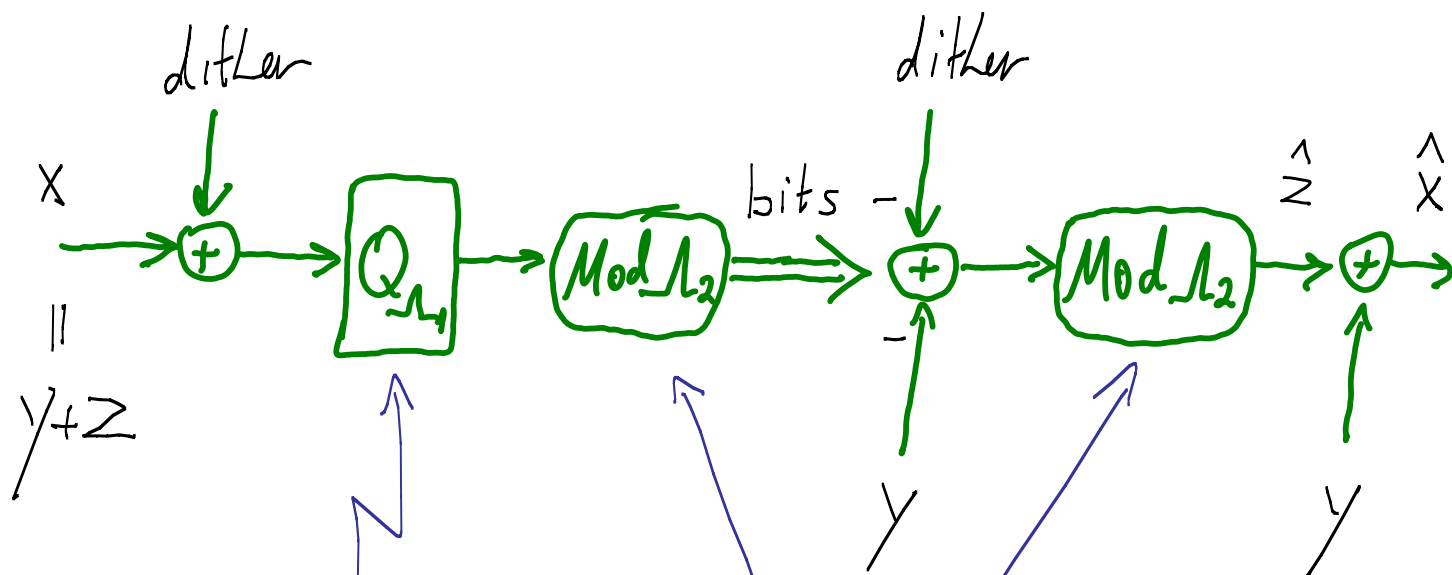


Standard MPEG :
motion compensation &
residual encoding
⇒ high encoding complexity

WZ-Video Coding :
motion compensation @ decoder
⇒ encoding = simple / decoding = complex

Lattice Wyner - Ziv Coding

[Z & Shamai Verdu]

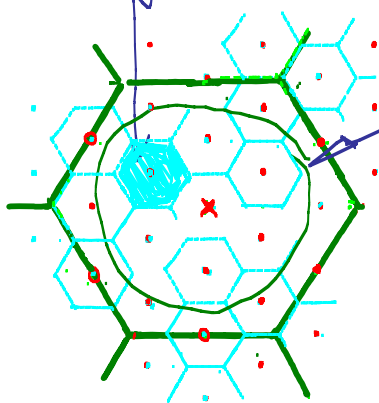


Good quantizer
for desired
distortion:

$$\mathcal{Q}(L_1) = D$$

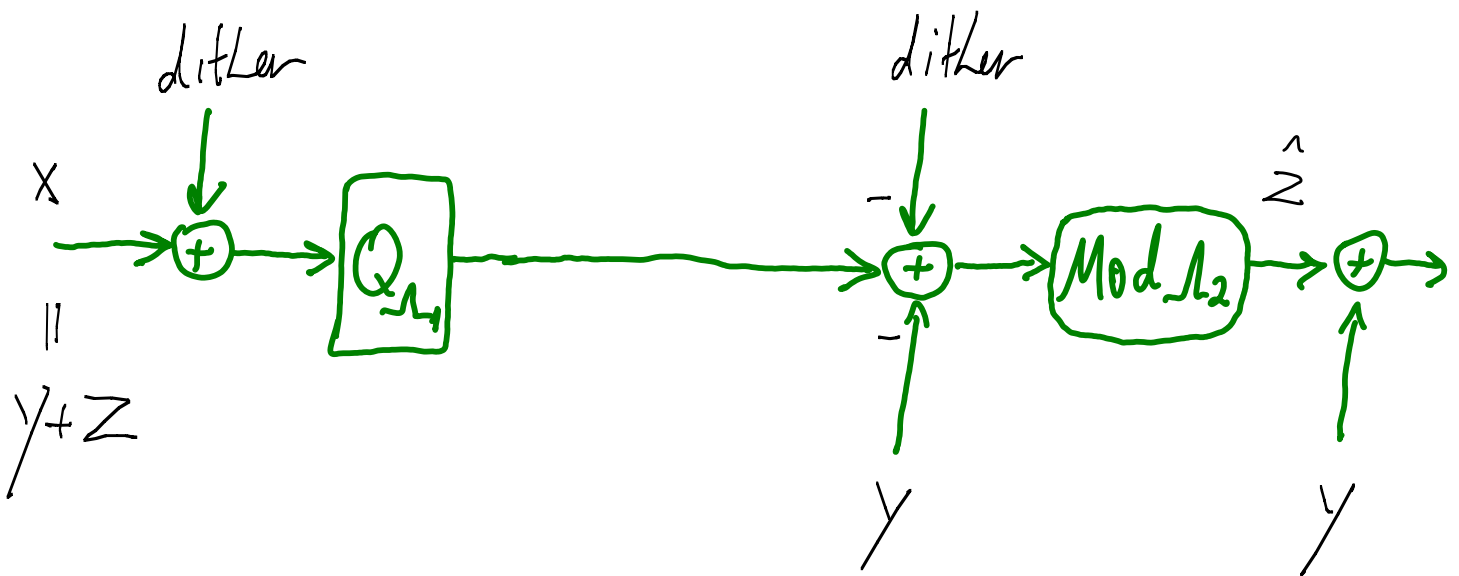
Good channel code
for the noise Z :

$$P_e(L_2, \sigma_Z^2) < \epsilon$$



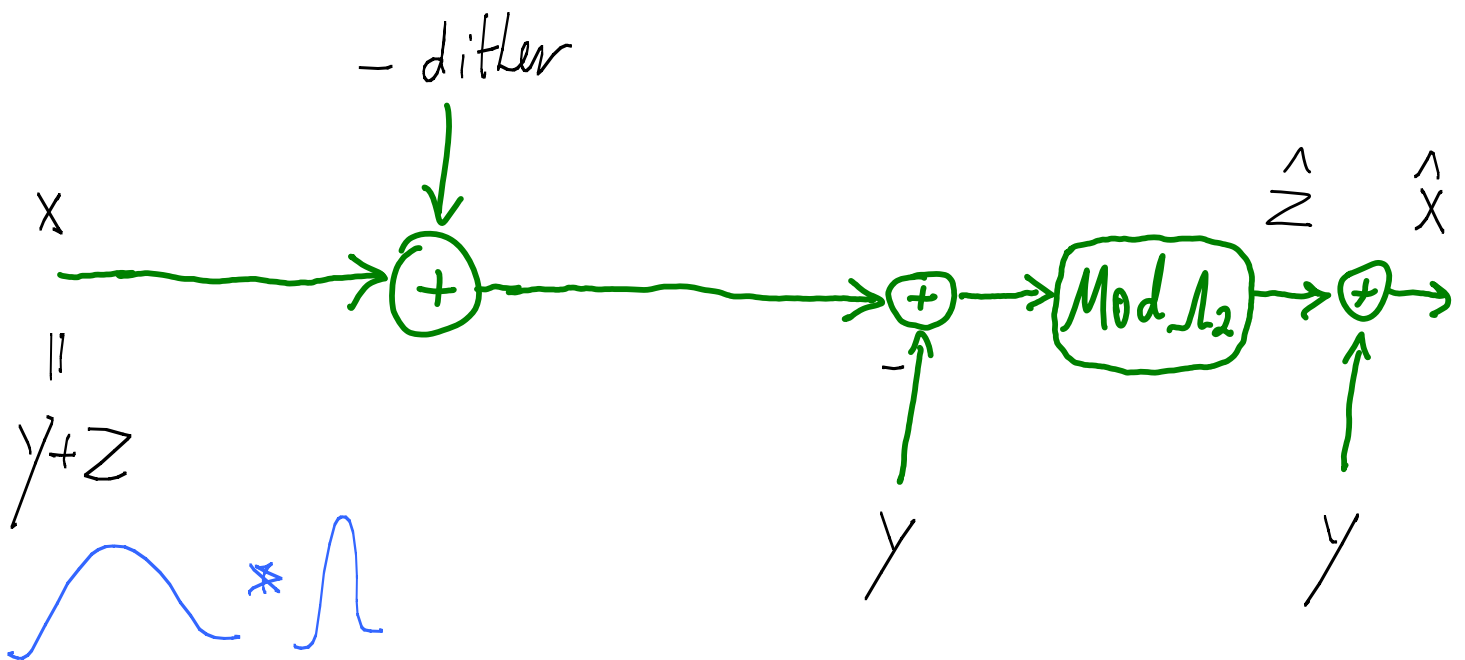
Lattice Wyner-Ziv Coding

$$(A \bmod \Lambda + B) \bmod \Lambda = (A+B) \bmod \Lambda$$



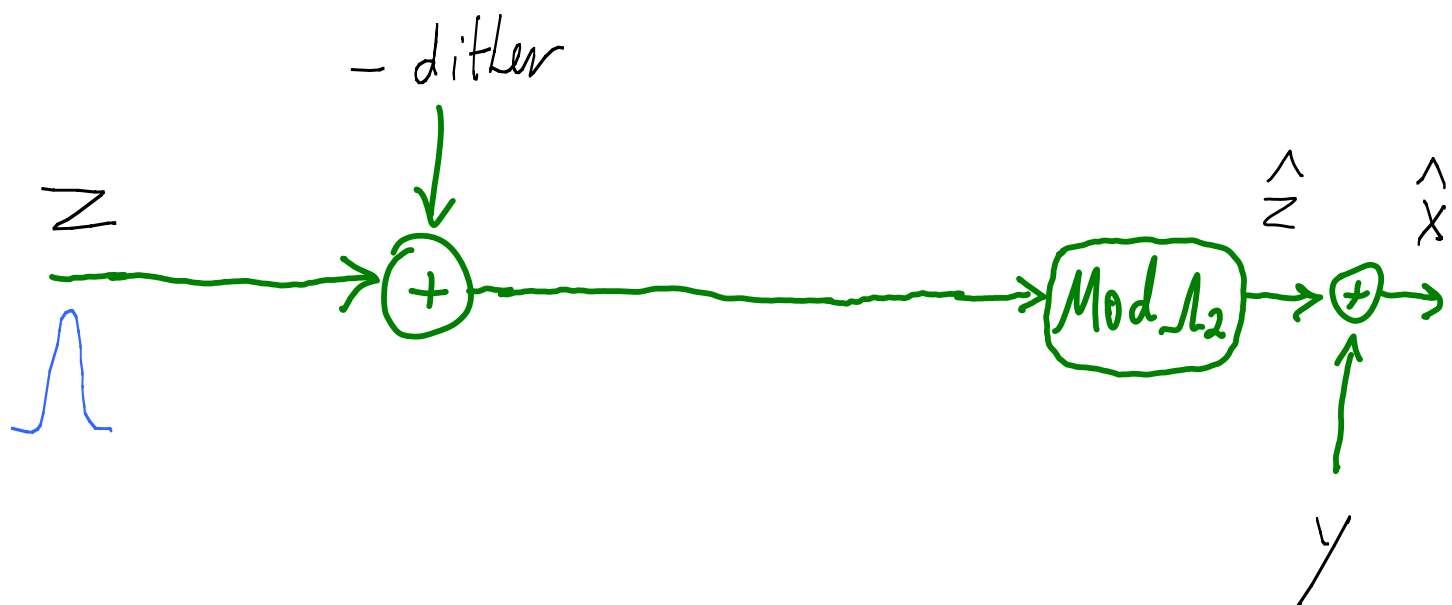
Lattice Wyner-Ziv Coding

dithered quantization \equiv additive noise



Lattice Wyner-Ziv Coding

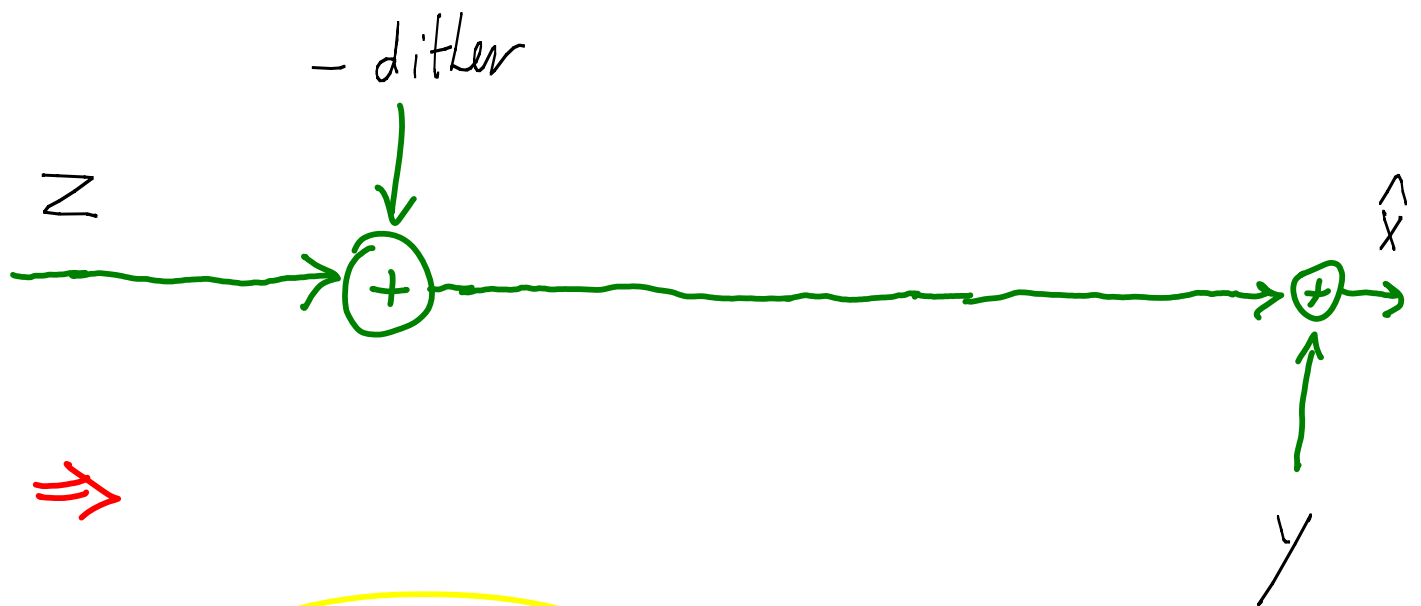
dithered quantization \equiv additive noise



Lattice Wyner-Ziv Coding

$\Lambda_2 =$ good channel code for $Z \sim \mathcal{N}(0, \sigma_z^2)$.
 $D \ll \sigma_z^2$.

\Rightarrow with prob. $> 1 - \epsilon$,

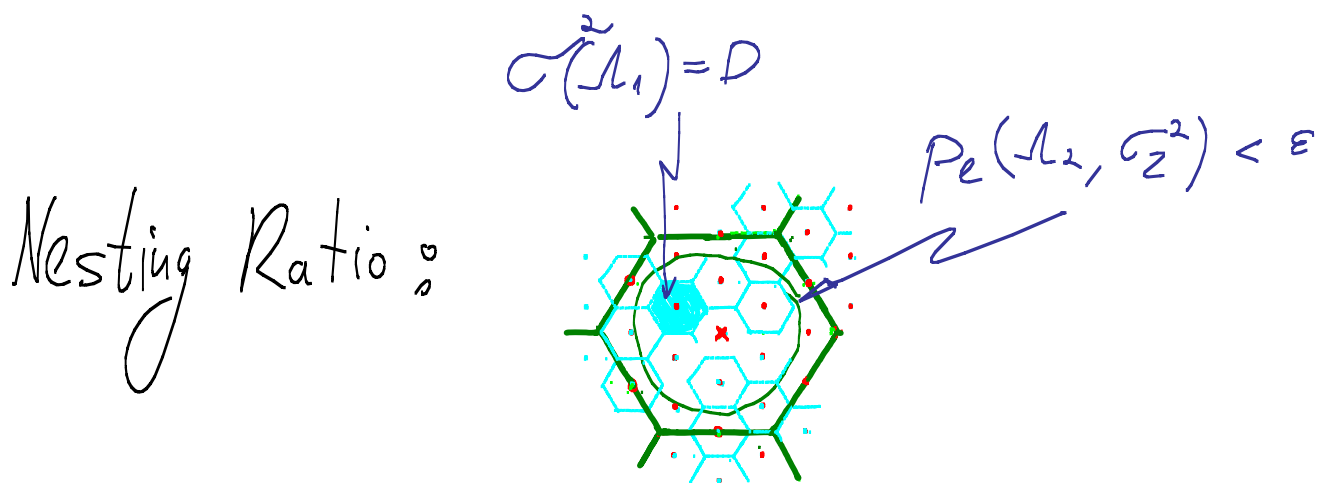


\Rightarrow

$$\hat{X} = X - \text{dither}, \quad \text{w.p.} > 1 - \epsilon$$

\Rightarrow distortion $= \sigma^2(\Lambda_1) = D$

Lattice Wyner-Ziv Coding



$$\text{Rate} = \frac{1}{n} \log\left(\frac{V_2}{V_1}\right) \text{ bit/sample}$$

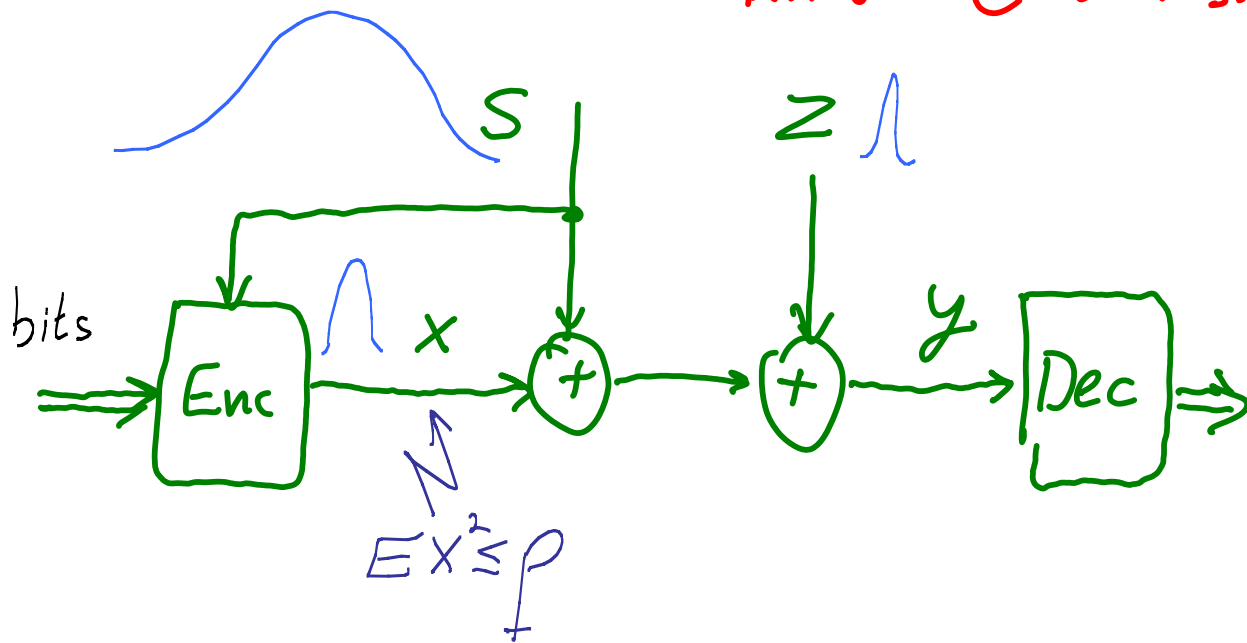
$$= \underbrace{\frac{1}{2} \log\left(\frac{\sigma_z^2}{D}\right)}_{R_Z(D)} + \underbrace{\frac{1}{2} \log(G(\Lambda_1) \cdot \mu(\Lambda_2, \epsilon))}_{\text{Redundancy} \rightarrow 0}$$

$N_{SM}(\Lambda_1)$
 $V_{NR}(\Lambda_2)$

$n \rightarrow \infty$
 for good lattices

"Writing on Dirty Paper"

(AWGN channel coding with Interference known @ transmitter)



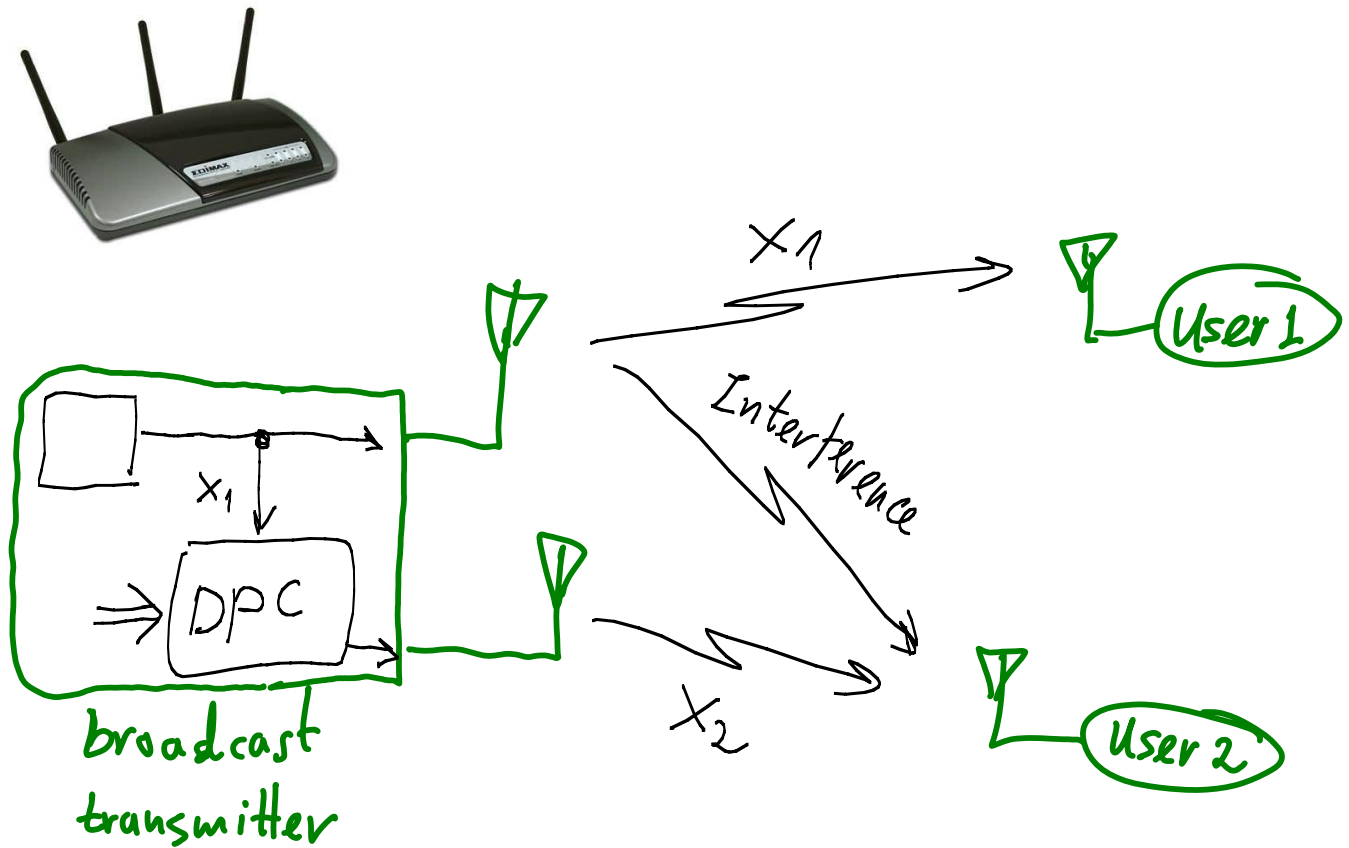
* The information-theoretic limit:

$$C_{SI@Tx} = \frac{1}{2} \log \left(1 + \frac{P}{\sigma_z^2} \right) = C_{AWGN}$$

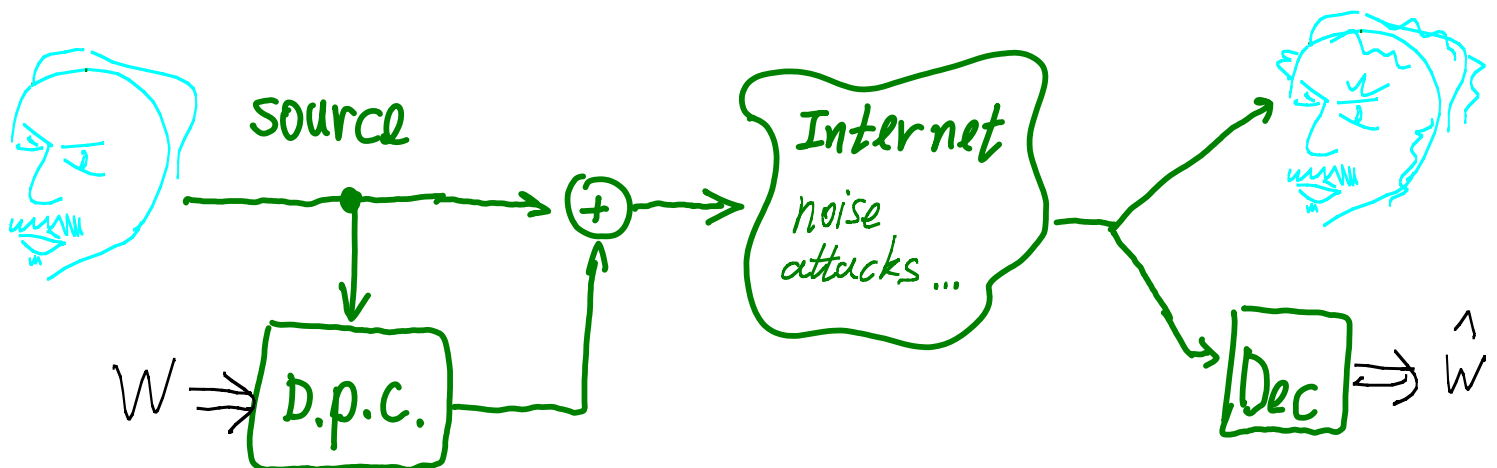
↑
Gelfand-Pinsker 1980
Costa 1983

Surprising: interference cancellation with no power penalty!

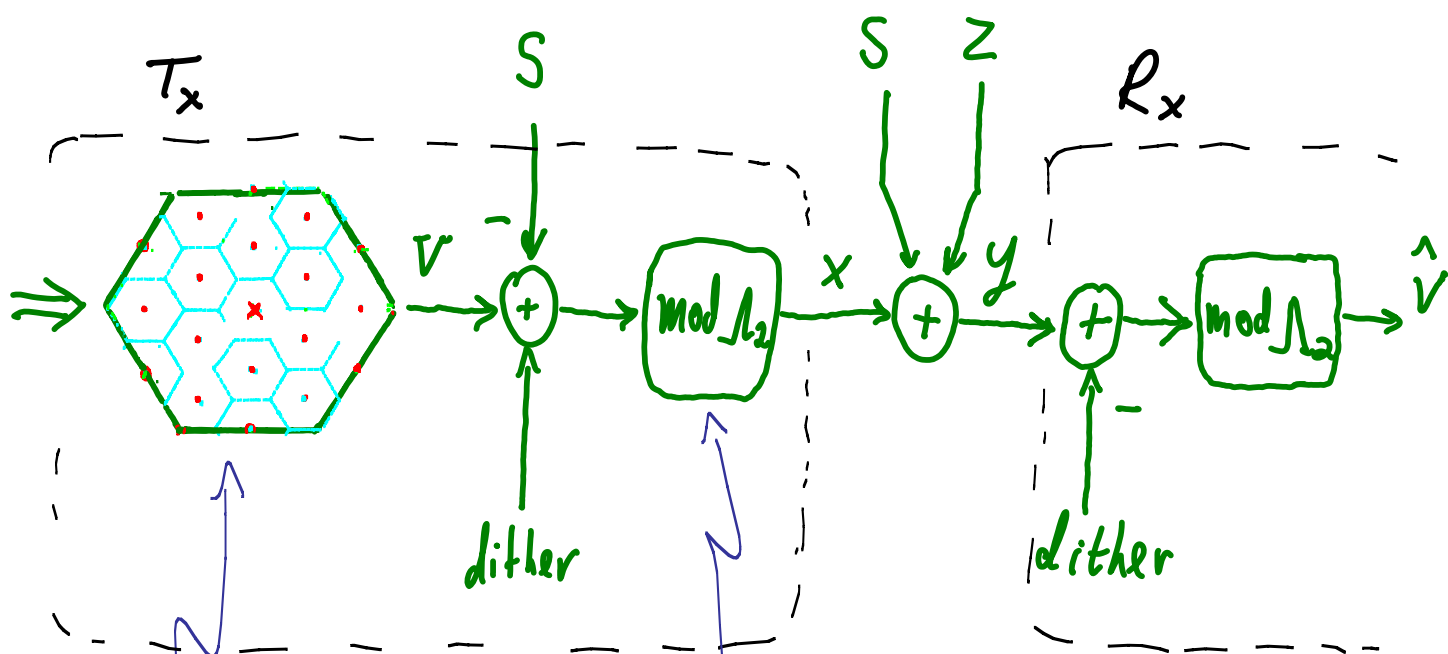
MIMO - Broadcast using D.p.c



Information Embedding ("Watermarking")



Lattice Dirty Paper Coding



Λ_1 / Λ_2
Voronoi
Constellation

Good quantizer
 $\sigma^2(\Lambda_2) = P + \text{dither}$

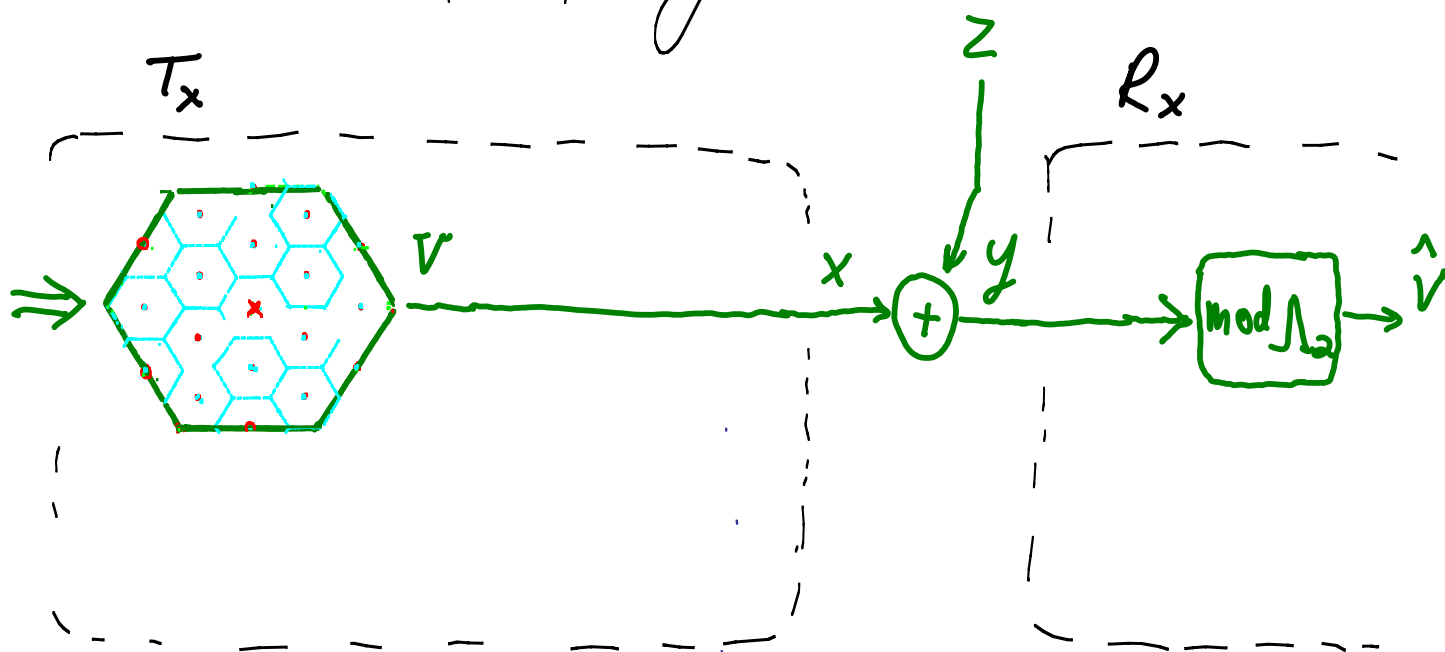
$\Lambda_1 = \text{good channel}$
code for $N(0, \sigma^2)$

$$E \frac{1}{k} \|x\|^2 = P$$

For any codeword!

Lattice Dirty Paper Coding

Modulo property \Rightarrow



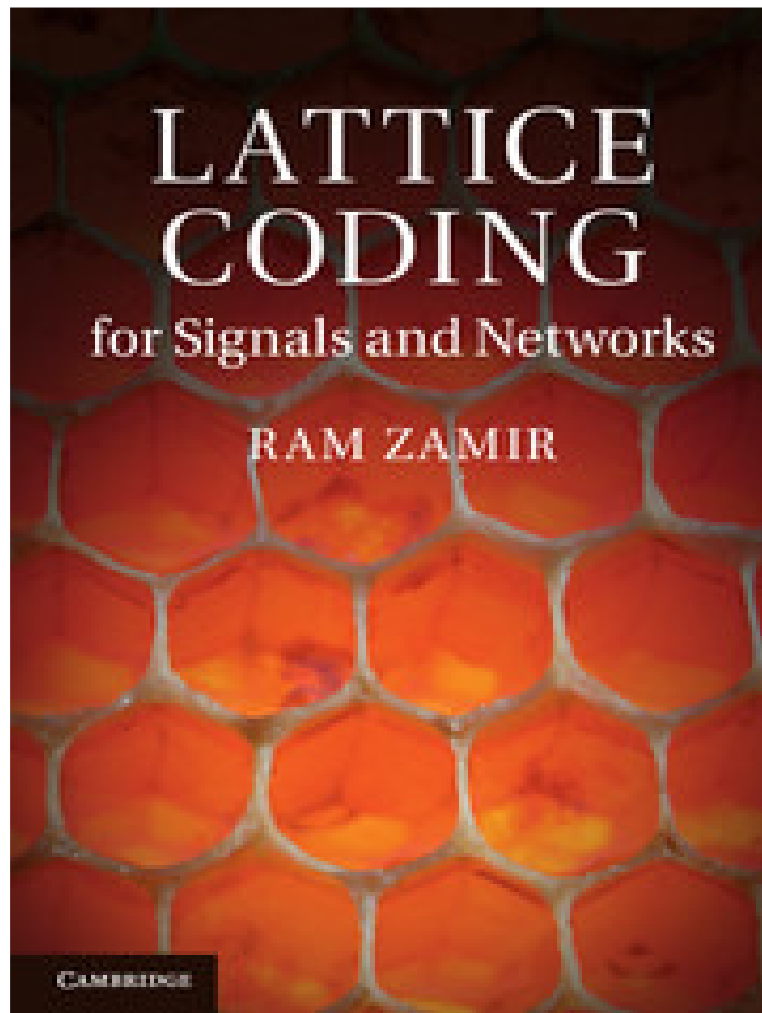
We'll talk about...

1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

8. Distributed lattice coding

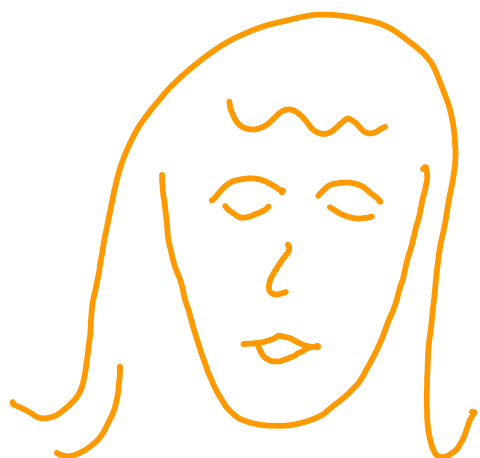
Moduloⁿ(Λ)

Lattices in Network Information Theory



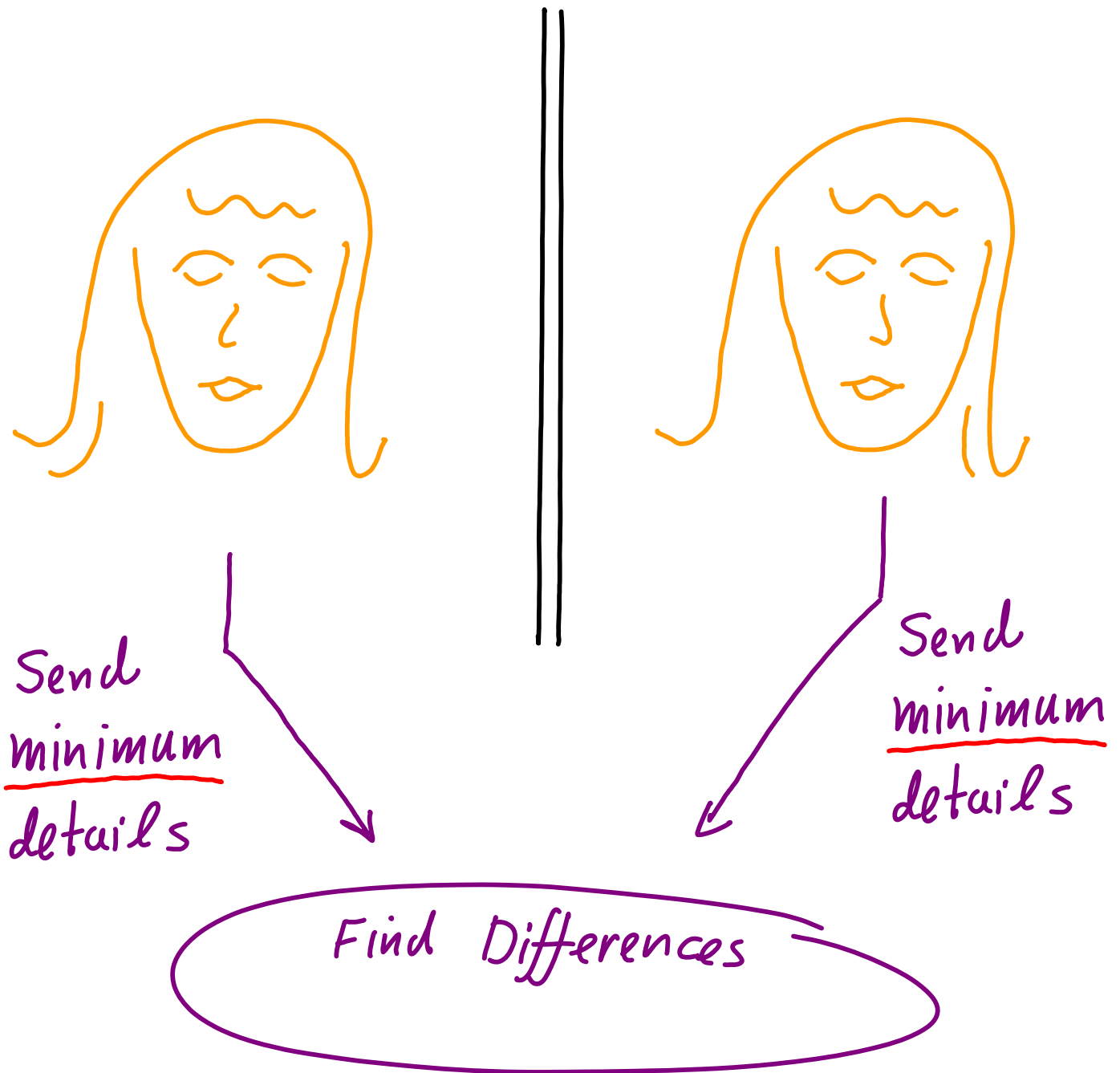
Can structure beat random ? ...

Find the Differences

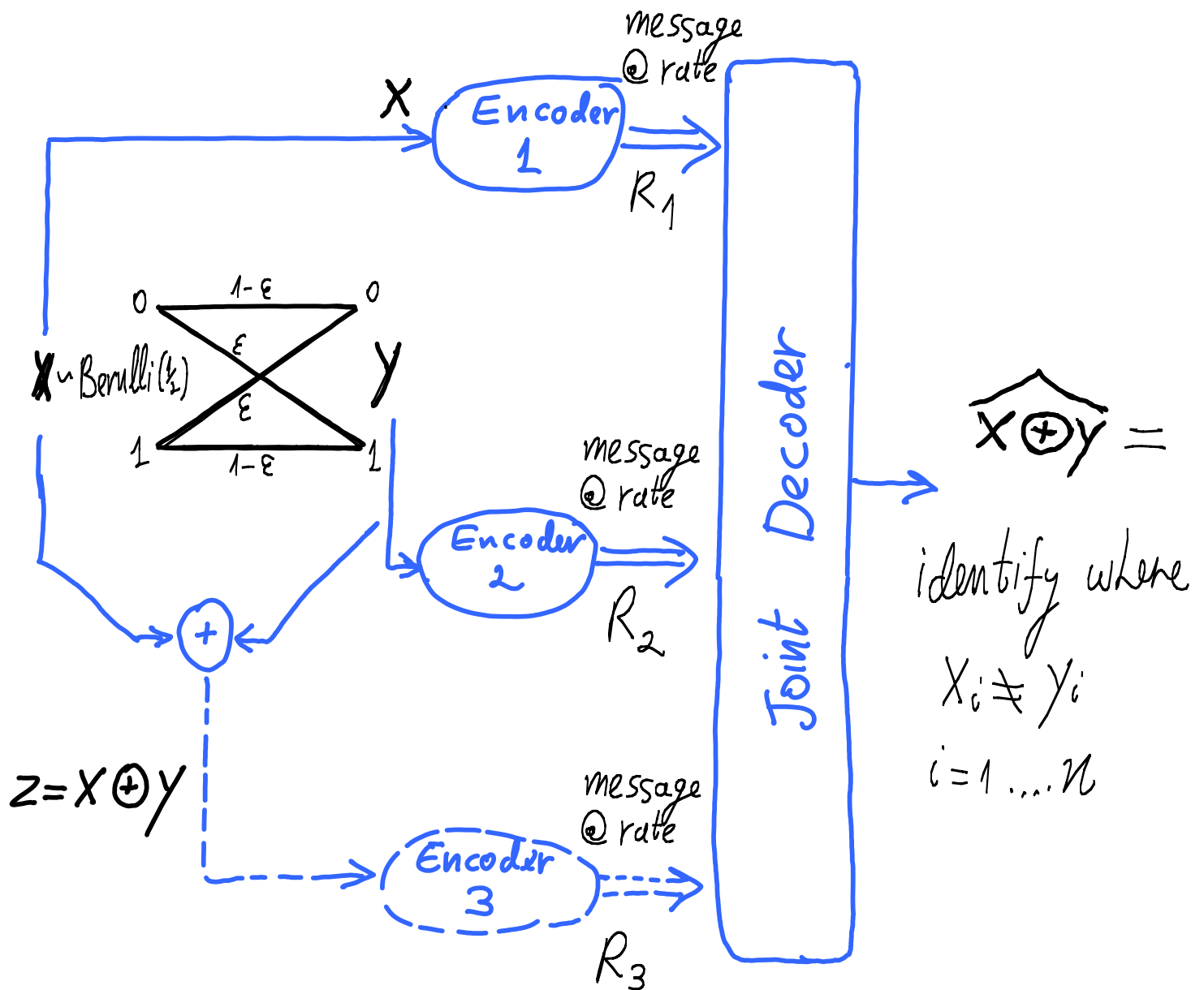


?

Communicate the Differences

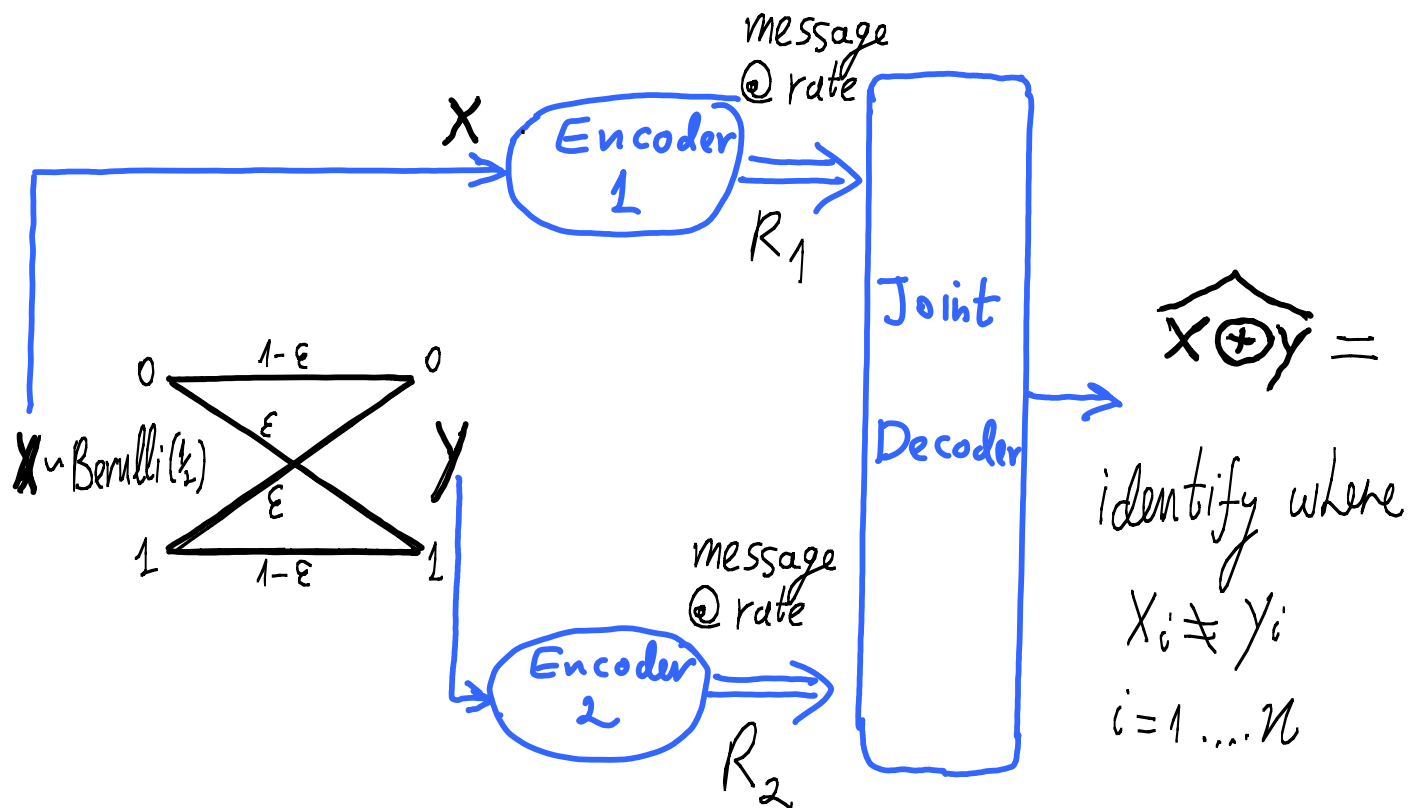


The Korner - Marton Problem



"Two help one" $\Rightarrow R_3 = 0$

The Korner - Marton Problem



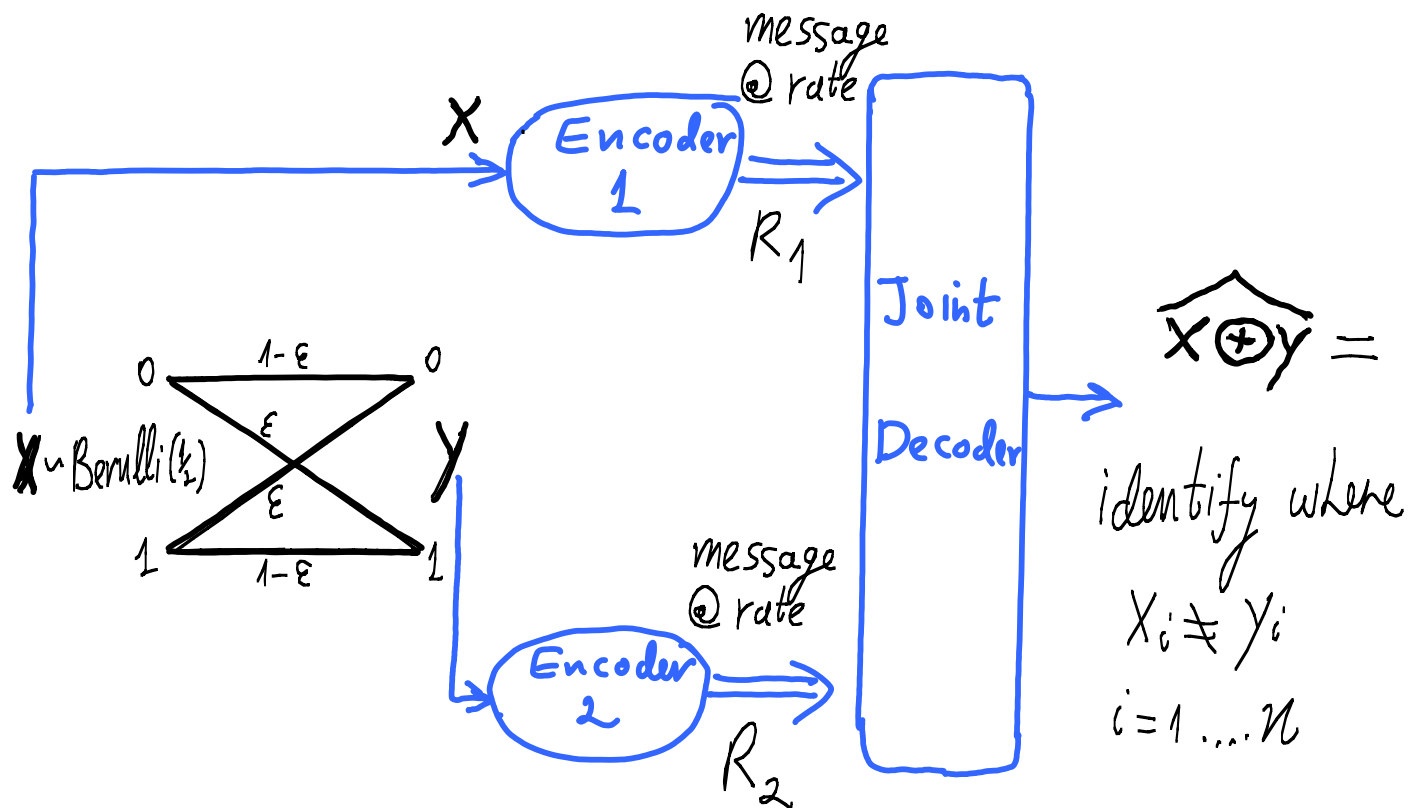
$$Z = X \oplus Y$$

Compress & estimate:

$$H(X) + H(Y) = 1 + 1 = 2 \text{ bit}$$

Rate = ?

The Korner - Marton Problem



$$Z = X \oplus Y$$

compress & estimate:

$$H(X) + H(Y) = 1 + 1 = 2 \text{ bit}$$

compress *well* & estimate \Rightarrow Slepian-Wolf:

$$H(X, Y) = H(X) + H(Z) = 1 + H_b(\epsilon) = 1.1 \text{ bit}$$

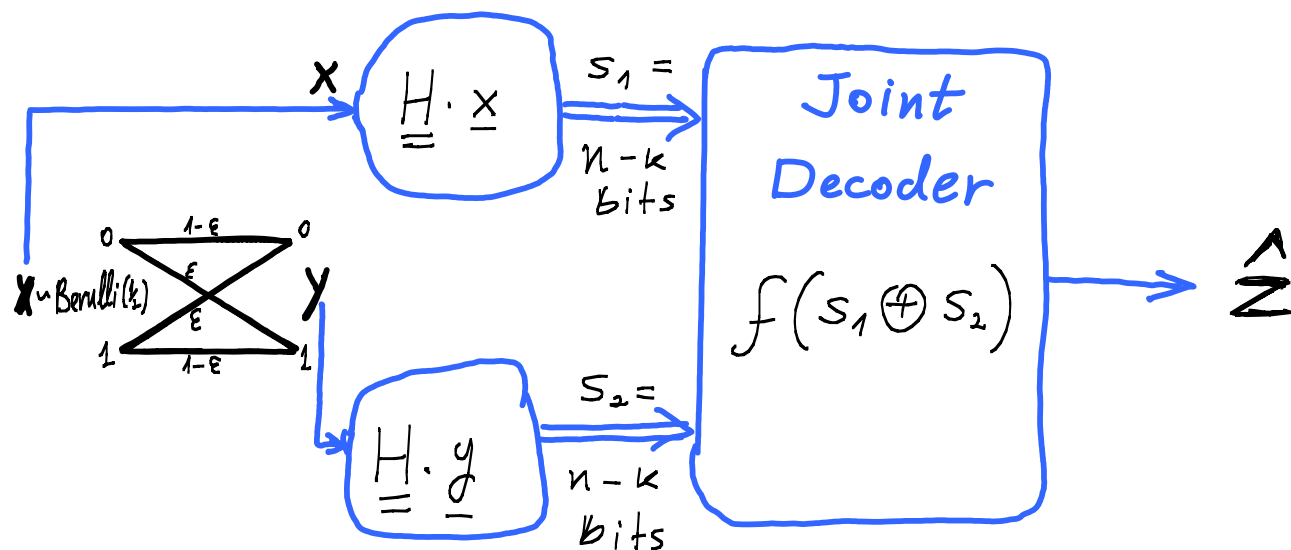
estimate & compress:

$$H(Z) = H_b(\epsilon) = 0.1 \text{ bit}$$

Rate = ?

A syndrome - Coding Solution [KM 1979]:

$\mathbf{C} = (n, k)$ linear code for B.S.C.(ϵ)

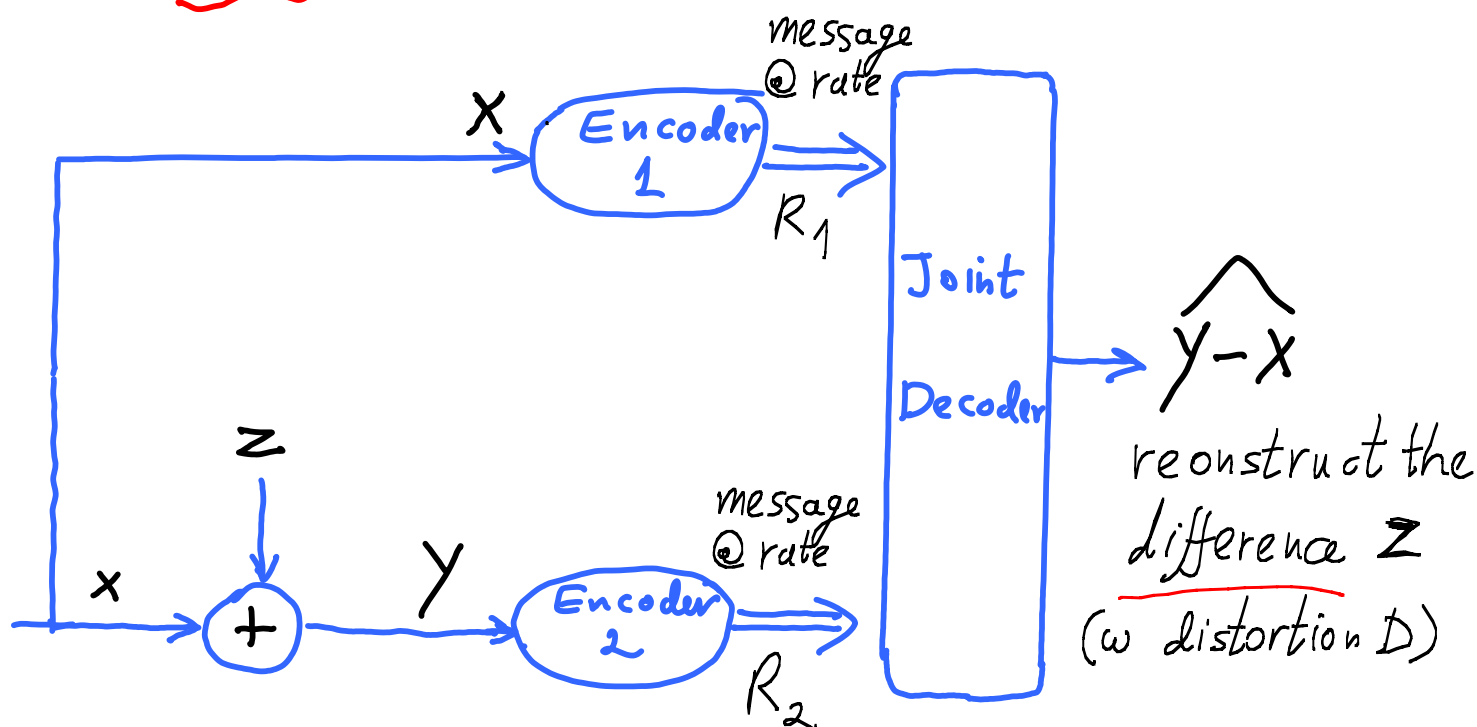


$$\begin{aligned}
 S_1 &\Leftrightarrow x \bmod \mathbf{C} \\
 S_2 &\Leftrightarrow y \bmod \mathbf{C}
 \end{aligned}
 \Rightarrow \left\{ \begin{aligned}
 \hat{z} &= (x \bmod \mathbf{C} \oplus y \bmod \mathbf{C}) \bmod \mathbf{C} \\
 &= (x \oplus y) \bmod \mathbf{C} \\
 &= z \bmod \mathbf{C} = z \text{ w.h.p.}
 \end{aligned} \right.$$

Total Rate = $2 \times \frac{n-k}{n} = 2 \times H_2(\epsilon) = 0.2 \text{ bits}$

A comment by KM: best known random coding solution ("single letter" solution) = Slepian Wolf \Rightarrow Rate = 1.1 bit

The Gaussian Korner - Marton Problem



Rate =

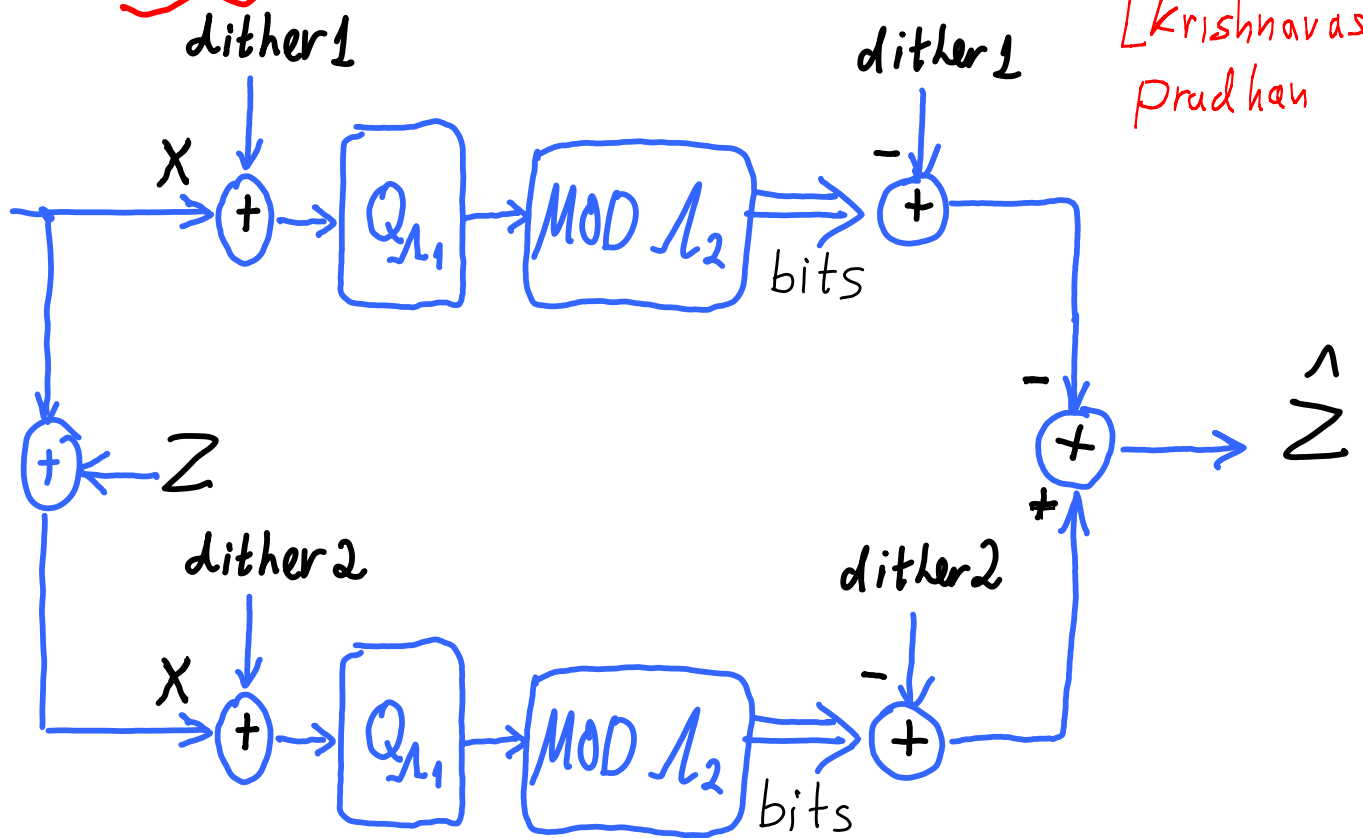
- $R_{x,y}(D_1, D_2)$ where $D_1 + D_2 = D$ (random coding ☹️)
- $R_z(D)$ (over optimistic 😊)
- $> 2R_z(D)$, $< 2 \cdot R_z(D/2)$ (outer/inner 😊)

genie aided (points to $> 2R_z(D)$)

smart lattice coding (points to $< 2 \cdot R_z(D/2)$)

The Gaussian Korner - Marton Problem

[Krishnavasan Prudhan]



* modulo distributive law \Rightarrow

$$\hat{Z} = Z + \widetilde{\text{dither 1}} + \widetilde{\text{dither 2}} \quad \text{w.h.p}$$

$$\Rightarrow R_1 = R_2 = R_2(D/2) + \frac{1}{2} \log(NSM_1 * VNR_2)$$

gap of $\frac{1}{2}$ bit
from outer bound

redundancy $\rightarrow 0$
@ $\dim \rightarrow \infty$

Distributed Lattice Coding Problems

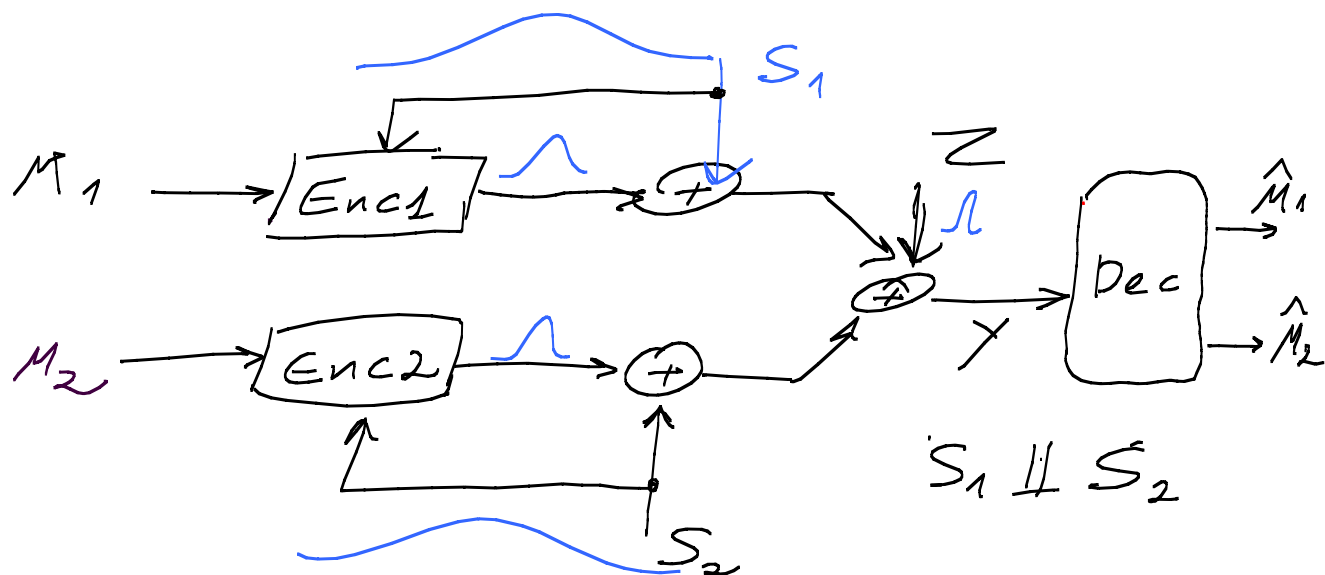
1. Korner-Marton (distributed computation)
2. Dirty Multiple-Access channel (distributed state)
@ Encoders
3. Lattice network coding (distributed relaying)
4. Lattice interference alignment

\Rightarrow Structure $>$ random ?

Distributed Lattice Coding Problems

1. Korner-Marton (distributed computation)

2. Dirty Multiple-Access channel (distributed state)
@ Encoders



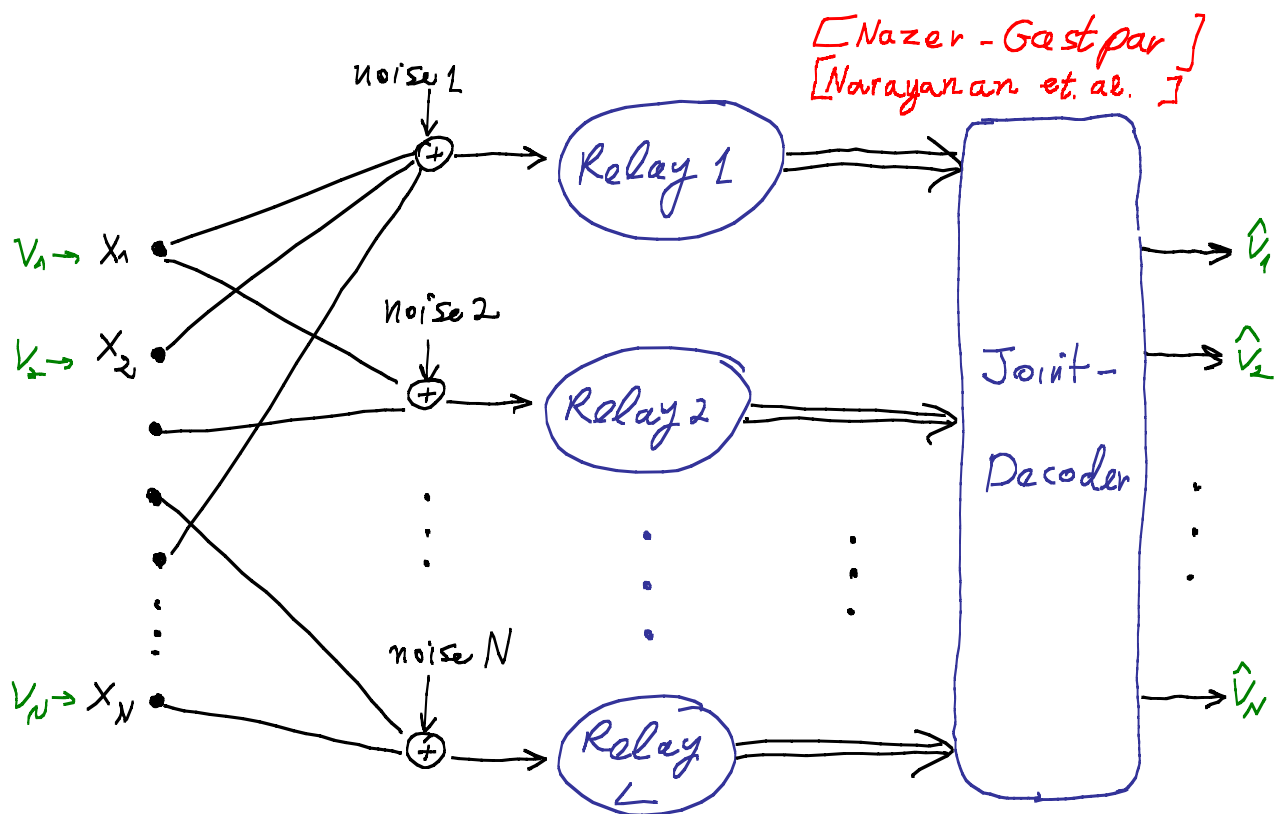
Knowledge of the interference (S_1, S_2) is split between two independent encoders

3. Lattice network coding (distributed relaying)

4. Lattice interference alignment

Distributed Lattice Coding Problems

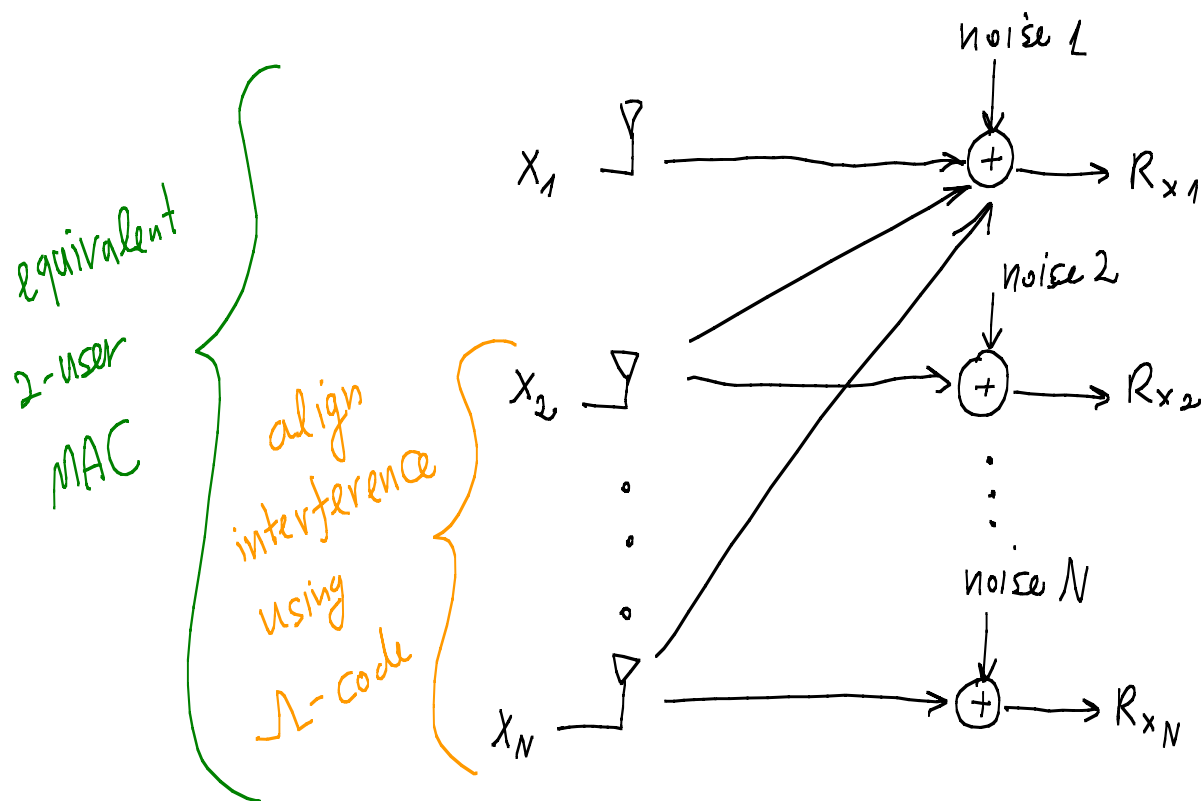
1. Korner-Marton (distributed computation)
2. Dirty Multiple-Access channel (distributed state)
@ Encoders
3. Lattice network coding (distributed relaying)



4. Lattice interference alignment

Distributed Lattice Coding Problems

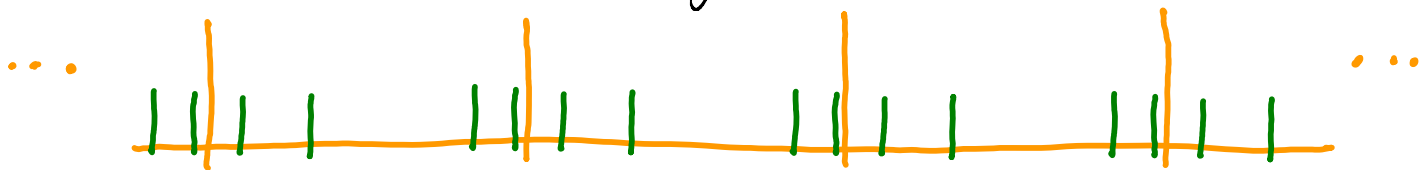
1. Korner-Marton (distributed computation)
2. Dirty Multiple-Access channel (distributed state)
@ Encoders
3. Lattice network coding (distributed relaying)
4. Lattice interference alignment



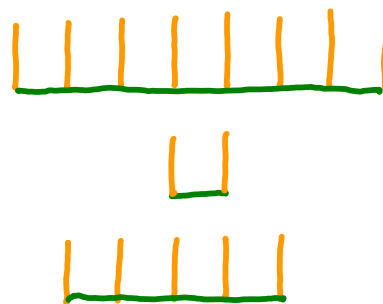
Lattice Alignment

	Align	must be linear	can be random
KM	reference signals =>	coarse lattice	fine (quantize) code
DMAC	i concentration points =>	coarse lattice	fine (channel) code
CO&F	desired codewords =>	fine lattice	coarse (shaping) code
IC	interfer codewords =>	fine lattice	coarse (shaping) code

• Coarse lattice alignment:



• fine lattice alignment:



Lattice Alignment

	Align	must be linear	can be random
KM	reference signals =>	coarse lattice	fine (quantize) code
DMAC	i concentration points =>	coarse lattice	fine (channel) code
CO&F	desired codewords =>	fine lattice	coarse (shaping) code
IC	interfer codewords =>	fine lattice	coarse (shaping) code

Open Q :

More cases ? ...

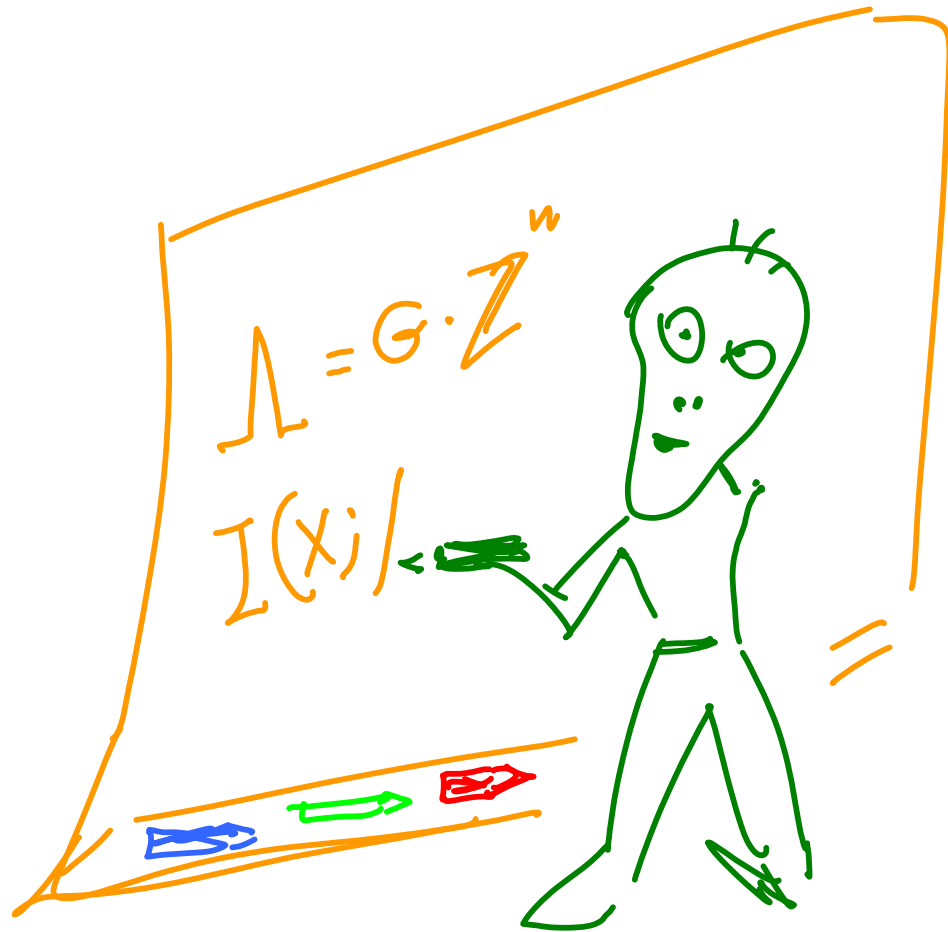


Thank You!

Z

Appendix

On-Board Calculation...



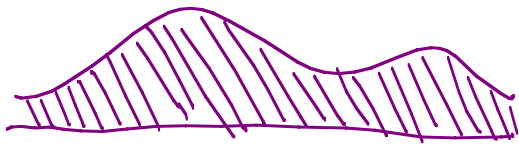
Minkowski - Hlawka - Siegel



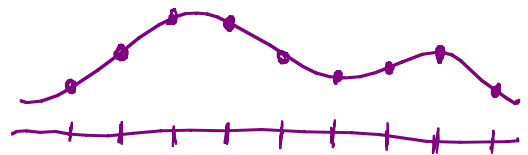
1. For any Riemann integrable function $f(\cdot)$

$$\text{integral} = \frac{1}{\tilde{v}} \cdot E_{MHS} \left\{ \begin{array}{c} \text{lattice-samples} \\ \text{sum} \end{array} \right\}$$

$$\int_{\mathbb{R}^n} f(x) dx$$



$$\sum_{\lambda \in \Lambda} f(\lambda)$$

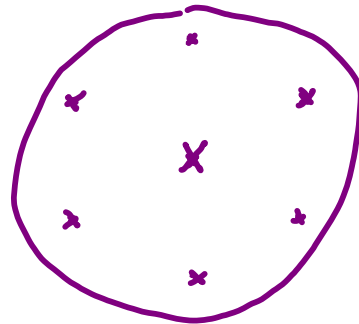


2. There exists (at least one) lattice which is (at least) as "good" as (1.)

Implication 1 : packing Goodness

$$S = \text{Ball}(0, r)$$

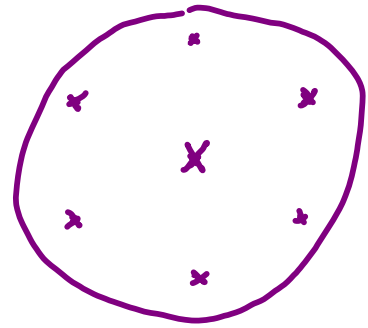
$$\mathbb{E}_{\text{MHS}} \{N_{\mathcal{L}}(\text{Ball})\} = \gamma \cdot V_n \cdot r^n$$



Implication 1 : packing Goodness

$$S = \text{Ball}(0, r)$$

$$E_{\text{MHS}} \{N_{\mathcal{L}}(\text{Ball})\} = \gamma \cdot V_n \cdot r^n$$



$$\text{If } \text{Vol}(\text{Ball}) = V_n \cdot r^n < 1/\gamma$$

$$\Leftrightarrow r < r_{\text{eff}} \quad (*)$$

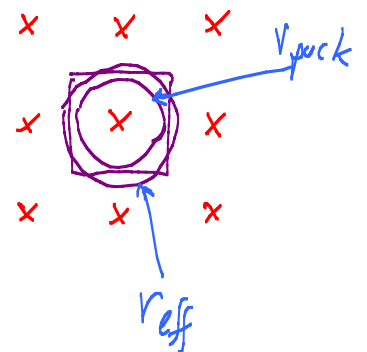
$$\Rightarrow E\{N_{\mathcal{L}}\} < 1$$

But $N_{\mathcal{L}} = \text{integer}$

$$\Rightarrow N_{\mathcal{L}} = 0 \text{ for some } \mathcal{L}^* \in \text{MHS}$$

$$\Rightarrow d_{\min} = \|\text{shortest vector}\| > r$$

$$\Rightarrow r_{\text{pack}} > r/2 \quad (**)$$

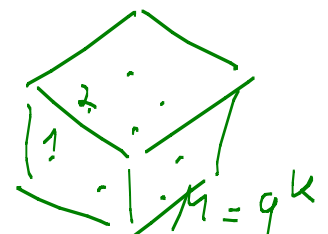


$$(*) + (**) \Rightarrow \text{packing efficiency of } \mathcal{L}^* = \frac{r_{\text{pack}}}{r_{\text{eff}}} \geq 1/2$$

(for each dim n)

Alternative Ensemble: Random Construction A (Loeliger 97, Erez et al 2005)

Let $\mathcal{C} = q$ -ary (n, k) linear code over $\mathbb{Q} = \{0, \dots, q-1\}$

$$= \{ \underset{n \times k}{\underline{G}} \cdot \underline{i} : \underline{i} \in \mathbb{Q}^k \}$$


Let $\Lambda_{\mathcal{C}} = \text{modulo-}q \text{ lattice}$

$$= \{ \lambda \in \mathbb{R}^n : \lambda \bmod q \in \mathcal{C} \}$$

G random (i.i.d uniform on \mathbb{Q})

$\Rightarrow \Lambda_{\mathcal{C}} = \underline{\text{random lattice}}$

$\therefore G(\Lambda_{\mathcal{C}}), \mu(\Lambda_{\mathcal{C}}, p_e) = \text{func}\{q, k, n\}$

* $\Lambda_{\mathcal{C}} \rightarrow \text{MHS property as } q \rightarrow \infty$!

